



Troubleshooting Laboratory Problems

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22nd International Symposium on Human Identification
Washington, DC
October 6, 2011

Purpose of This Workshop

- DNA labs often encounter challenges when working with the many variable aspects of STR analysis and capillary electrophoresis separation/detection.
- This workshop will explore common challenges experienced by forensic laboratories and suggest solutions for fixing various problems.
- Participants were invited to suggest problems that they would like to have reviewed in advance of the workshop.


Workshop Outline

- 15 min • Introductions
- 45 min (John) • Fundamentals of CE
 - sample prep, injection, separation, detection
- 30 min (Bruce) • Setting instrument parameters and thresholds
 - Applying validation data
 - Dealing with low-level DNA
- 15 min BREAK
- 45 min (Bruce) • Troubleshooting strategies and solutions
- 15 min (John) • ABI 3500 discussion
- 15 min (John/Bruce) • Questions

Our Backgrounds


John Butler

- NIST Fellow - **National Institute of Standards and Technology**
- PhD in Analytical Chemistry from University of Virginia (1995)
- Family: wife Terilynne and six children
- Hobbies: reading, writing, and making PowerPoint slides




Bruce McCord

- Professor of Analytical/Forensic Chemistry – **Florida International University**
- PhD in Analytical Chemistry from University of Wisconsin (1986)
- Family: wife Margie and three children
- Hobbies: dixieland jazz, windsurfing, sailing and editing John's slides



Background of Participants...

Your name
Your organization
Instrumentation in use (e.g., ABI 310, 3100, 3130xl, 3500)
What you hope to learn from this workshop



NIST and NIJ Disclaimer

Funding: Interagency Agreement between the **National Institute of Justice** and NIST Office of Law Enforcement Standards

Points of view are the presenters and do not necessarily represent the official position or policies of the US Department of Justice or the National Institute of Standards and Technology.

Certain commercial equipment, instruments and materials are identified in order to specify experimental procedures as completely as possible. In no case does such identification imply a recommendation or endorsement by the National Institute of Standards and Technology nor does it imply that any of the materials, instruments or equipment identified are necessarily the best available for the purpose.

Our publications and presentations are made available at:
<http://www.cstl.nist.gov/biotech/strbase/NISTpub.htm>

Fundamentals of Capillary Electrophoresis

John

Steps in DNA Analysis

Usually 1-2 day process (a minimum of ~5 hours)

Steps Involved

- Collection
- Specimen Storage
- Extraction**
- Quantitation**
- Multiplex PCR**
- STR Typing**
- Interpretation of Results**
- Database Storage & Searching**
- Calculation of Match Probability**

Genetics *If a match occurs, comparison of DNA profile to population allele frequencies to generate a case report with probability of a random match to an unrelated individual*

Technology

Male: 13, 14-15, 16-12, 13-10, 13-15, 16

J.M. Butler (2011) Advanced Topics in Forensic DNA Typing: Methodology, Table 6.1

Genetic Analyzers from Applied Biosystems

ABI Genetic Analyzer (gel system)	Years Released for Human ID	Number of Capillaries	Laser	Polymer delivery	Other features
373	1992-2003	–	40 mW Ar ⁺ (488/514 nm)	–	PMTs and color filter wheel for detection
377	1995-2006	–	40 mW Ar ⁺ (488/514 nm)	–	CCD camera
310	1995-	1	10 mW Ar ⁺ (488/514 nm)	syringe	Mac operating system & Windows NT (later)
3100	2000-2005	16	25 mW Ar ⁺ (488/514 nm)	syringe	
3100-Avant	2002-2007	4	25 mW Ar ⁺ (488/514 nm)	syringe	
3130	2003-2011	4	25 mW Ar ⁺ (488/514 nm)	pump	
3130xl	2003-2011	16	25 mW Ar ⁺ (488/514 nm)	pump	
3500	2010-	8	10-25 mW diode (505 nm)	new pump	110V power; RFID-tagged reagents; .hid files; normalization & 6-eye detection possible
3500xl	2010-	24			
3700	2002-2003	96	25 mW Ar ⁺ (488/514 nm)	cuvette-based	Split beam technology
3730	2005-	48	25 mW Ar ⁺ (488/514 nm)	pump	
3730xl	2005-	96	25 mW Ar ⁺ (488/514 nm)	pump	

Information courtesy of Michelle S. Shepherd, Applied Biosystems, LIFE Technologies.

ABI Genetic Analyzer Usage at NIST

(All instruments were purchased using NIJ funds)

ABI 310 Single capillary

- 1st was purchased in 1996 as Mac (A230, now B233)
- 2nd was purchased in June 2002 as NT (B261)

ABI 3100 → 3130xl 16 capillaries

- 1st purchased in April 2001 as ABI 3100
 - upgraded to 3130xl in Sept 2005
 - Located in a different room (A230, now B219)
- 2nd purchased in June 2002 as ABI 3100
 - Original data collection (v1.0.1) software retained
 - updated to 3130xl in Jan 2007 (B219, now B261)

ABI 3500 8 capillaries

- Purchased Nov 2010 (B233)

DNA Samples Run at NIST

we have **processed >100,000 samples** (from 1996-present)

- STR kits**
 - Identifiler, PP16, PP16HS, Identifiler Plus, Identifiler Direct, Profiler Plus, Cofiler, SGM Plus, ESI/ESX 17, SE33 monoplex
- Research & development on new assays**
 - STRs:** Y-STR 20plex, MeowPlex, miniSTRs, 26plex
 - SNPs:** SNaPshot assays: mtDNA (one 10plex), Y-SNPs (four 6plexes), Orchid SNPs (twelve 6plexes), ancestry SNPs (two 12plexes), SNPforID (one 29plex), SNPplex (one 48plex)
- DNA sequencing**
 - Variant allele sequencing

We have a unique breadth and depth of experience with these instruments...

Review Article on STRs and CE

pdf available from <http://www.cstl.nist.gov/biotech/strbase/NISTpub.htm>

<p><i>Electrophoresis</i> 2004, 25, 1397–1412</p> <p>Review</p> <p>John M. Butler¹ Eric Bush² Federica Crivellente^{3*} Bruce R. McCord³</p> <p>¹National Institute of Standards and Technology, Biotechnology Division, Gaithersburg, MD, USA ²Vermont Forensic Laboratory, Waterbury, VT, USA ³Ohio University, Department of Chemistry, Athens, OH, USA</p>	<p>Forensic DNA using the ABI for STR anal</p> <p>DNA typing with short applications including such as the ABI Profiler for many laboratories for sample preparation results using CE system in the context of throughput and ease</p>																																																												
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Analytical Requirements for STR Typing

Butler et al. (2004) *Electrophoresis* 25: 1397-1412

- Fluorescent dyes must be **spectrally resolved** in order to distinguish different dye labels on PCR products
- PCR products must be **spatially resolved** – desirable to have single base resolution out to >350 bp in order to distinguish variant alleles
- High **run-to-run precision** – an internal sizing standard is used to calibrate each run in order to compare data over time

Steps in STR Typing with ABI 310/3100

Butler, J.M. (2005) *Forensic DNA Typing, 2nd Edition*, Figure 13.8, © Elsevier Science/Academic Press

Detection with Multiple Capillaries (Irradiation for Capillary Arrays)

ABI 3100, 3130, 3100Avant ABI 3700 MegaBACE

Process Involved in 310/3100 Analysis

- Separation**
 - Capillary – 50um fused silica, 43 cm length (36 cm to detector)
 - POP-4 polymer – Polydimethyl acrylamide
 - Buffer - TAPS pH 8.0
 - Denaturants – urea, pyroldinone
- Injection**
 - electrokinetic injection process (formamide, water)
 - importance of sample stacking
- Detection**
 - fluorescent dyes with excitation and emission traits
 - CCD with defined virtual filters produced by assigning certain pixels

Separation

Ohm's Law

- $V = IR$ (where V is voltage, I is current, and R is resistance)
- Current, or the flow of ions, is what matters most in electrophoresis
- CE currents are much lower than gels because of a higher resistance in the narrow capillary
- CE can run a higher voltage because the capillary offers a higher surface area-to-volume ratio and can thus dissipate heat better from the ion flow (current)

DNA and Electrophoresis

“From a practical point of view it is disappointing that electrophoresis cannot be used to fractionate or analyze DNA's on the basis of size” Olivera, *Biopolymers* 1964, 2, 245

$$\mu_{ep} = q/6\pi\eta r$$

small ions with high charge move fastest

A
T
G
C
PO⁻
PO⁻
PO⁻

As size increases so does charge!

Figure 12.4. J.M. Butler (2005) *Forensic DNA Typing*, 2nd Edition © 2005 Elsevier Academic Press

Separation Issues

- **Electrophoresis buffer** –
 - Urea for denaturing and viscosity
 - Buffer for consistent pH
 - Pyrolidinone for denaturing DNA
 - EDTA for stability and chelating metals
- **Polymer solution** -- POP-4 (but others work also)
- **Capillary wall coating** -- dynamic coating with polymer
 - Wall charges are masked by methyl acrylamide
- **Run temperature** -- 60 °C helps reduce secondary structure on DNA and improves precision. (Temperature control affects DNA sizing)

What is in POP-4 and Genetic Analyzer Buffer?

© 1997 Oxford University Press *Nucleic Acids Research*, 1997, Vol. 25, No. 19 3925-3929

Improved single-strand DNA sizing accuracy in capillary electrophoresis

Barnett B. Rosenblum*, Frank Oaks, Steve Menchen and Ben Johnson

PE Applied Biosystems, 850 Lincoln Centre Drive, Foster City, CA 94404, USA
Received May 29, 1997; Revised and Accepted August 6, 1997

See also Wenz *et al.* (1998) *Genome Research* 8: 69-80

POP-4 (4% poly-dimethylacrylamide, 8 M urea, 5% 2-pyrrolidinone)

US Patent 5,552,028 covers POP-4 synthesis

Running buffer contains 100 mM TAPS and 1 mM EDTA (adjusted to pH 8.0 with NaOH) TAPS = *N-Tris-(hydroxymethyl)methyl-3-aminopropane-sulfonic acid*

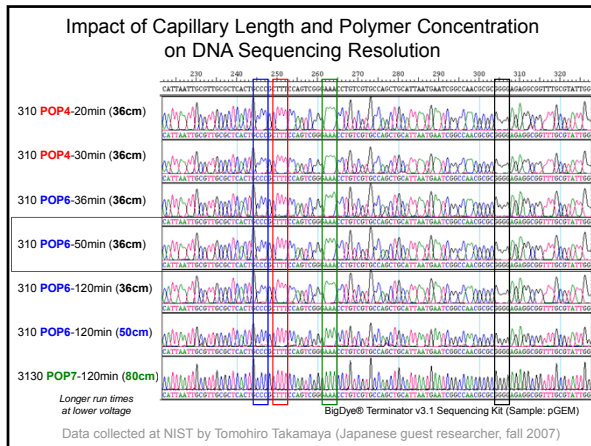
Capillary Wall Coatings Impact DNA Separations

Solvated ions drag solution towards cathode in a flat flow profile

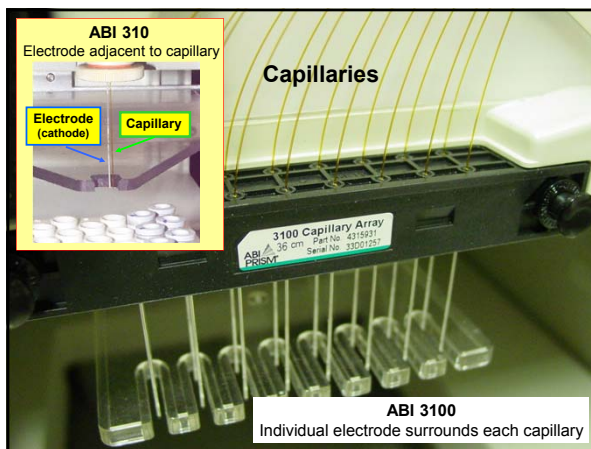
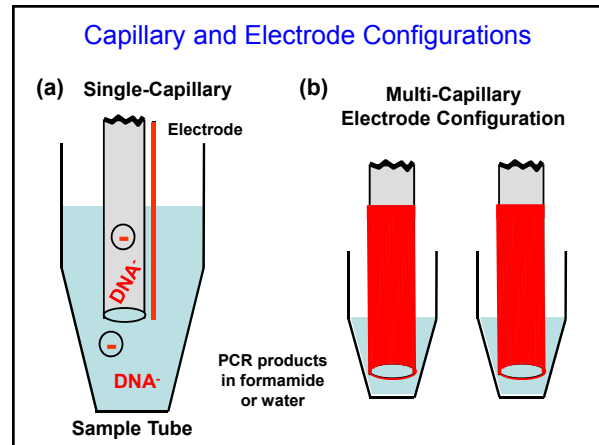
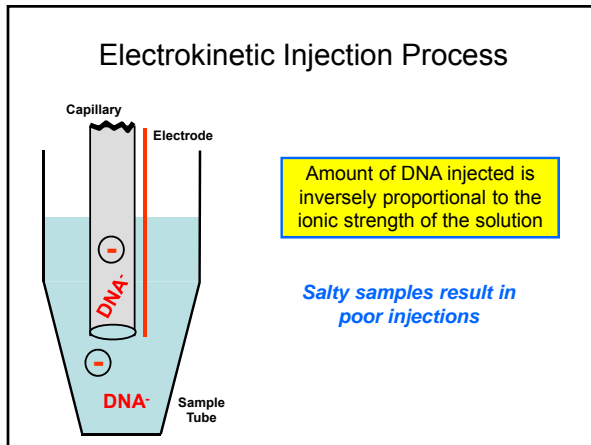
How to Improve Resolution?

1. Lower Field Strength
2. Increase Capillary Length
3. Increase Polymer Concentration
4. Increase Polymer Length

All of these come at a cost of longer separation run times



Injection



Sample Conductivity Impacts Amount Injected

$$[DNA_{inj}] = \frac{Et(\pi r^2)(\mu_{ep} + \mu_{eof})[DNA_{sample}](\lambda_{buffer})}{\lambda_{sample}}$$

$[DNA_{inj}]$ is the amount of sample injected

$[DNA_{sample}]$ is the concentration of DNA in the sample

E is the electric field applied

t is the injection time

r is the radius of the capillary

μ_{ep} is the mobility of the sample molecules

μ_{eof} is the electroosmotic mobility

λ_{buffer} is the buffer conductivity

λ_{sample} is the sample conductivity

Cl⁻ ions and other buffer ions present in PCR reaction contribute to the sample conductivity and thus will compete with DNA for injection onto the capillary

Butler et al. (2004) Electrophoresis 25: 1397-1412

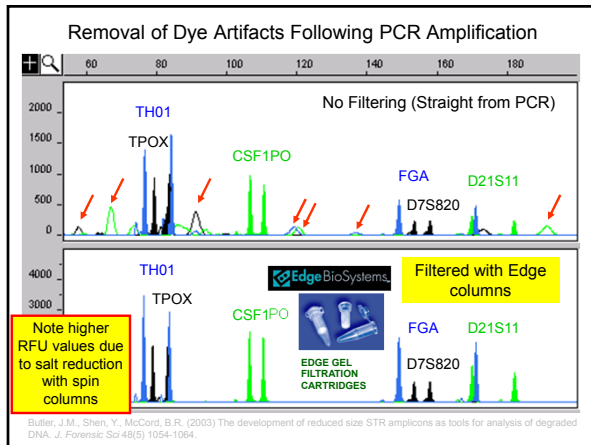
Steps Performed in Standard Module

See J.M. Butler (2005) *Forensic DNA Typing*, 2nd Edition; Chapter 14

- **Capillary fill** – polymer solution is forced into the capillary by applying a force to the syringe
- **Pre-electrophoresis** – the separation voltage is raised to 10,000 volts and run for 5 minutes;
- **Water wash of capillary** – capillary is dipped several times in deionized water to remove buffer salts that would interfere with the injection process
- **Sample injection** – the autosampler moves to position A1 (or the next sample in the sample set) and is moved up onto the capillary to perform the injection; a voltage is applied to the sample and a few nanoliters of sample are pulled onto the end of the capillary; the default injection is 15 kV (kilovolts) for 5 seconds
- **Water wash of capillary** – capillary is dipped several times in waste water to remove any contaminating solution adhering to the outside of the capillary
- **Water dip** – capillary is dipped in clean water (position 2) several times
- **Electrophoresis** – autosampler moves to inlet buffer vial (position 1) and separation voltage is applied across the capillary; the injected DNA molecules begin separating through the POP-4 polymer solution
- **Detection** – data collection begins; raw data is collected with no spectral deconvolution of the different dye colors; the matrix is applied during Genescan analysis

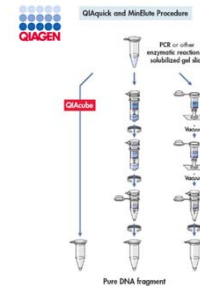
Comments on Sample Preparation

- Use high quality formamide (<100 μS/cm)
- Denaturation with heating and snap cooling is not needed (although most labs still do it...)
- **Post-PCR purification reduces salt levels and leads to more DNA injected onto the capillary**



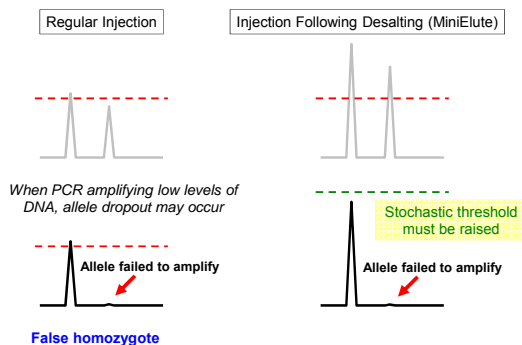
Why MiniElute increases peak heights

- QIAGEN MiniElute **reduces salt levels in samples causing more DNA to be injected**
- **Requires setting a higher stochastic threshold to account for the increased sensitivity**

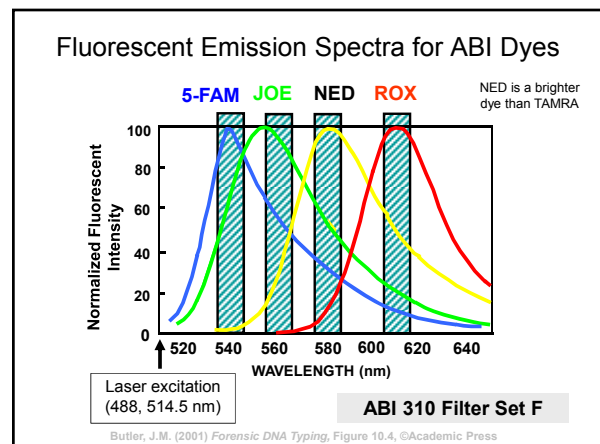
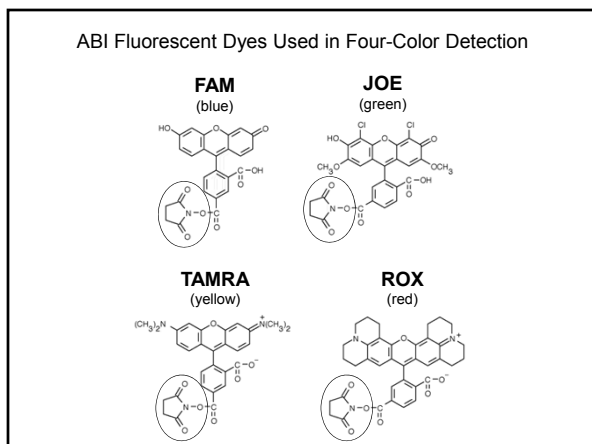
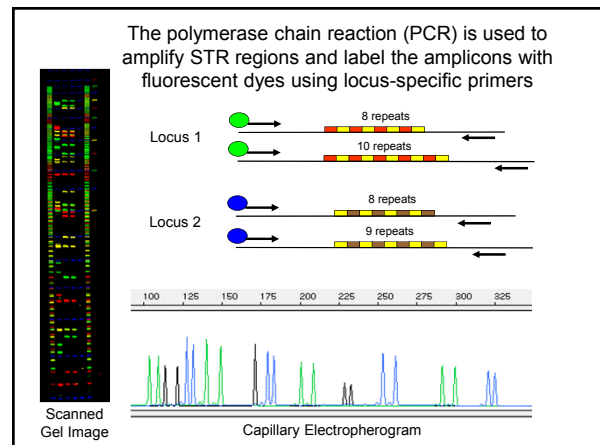
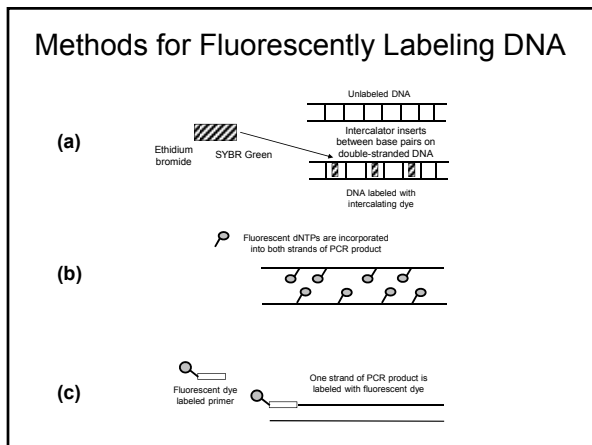
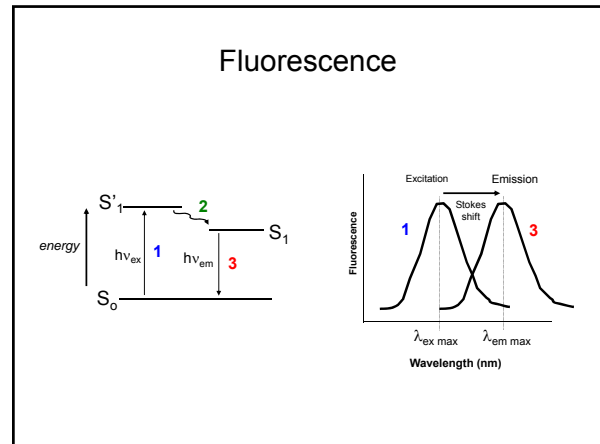
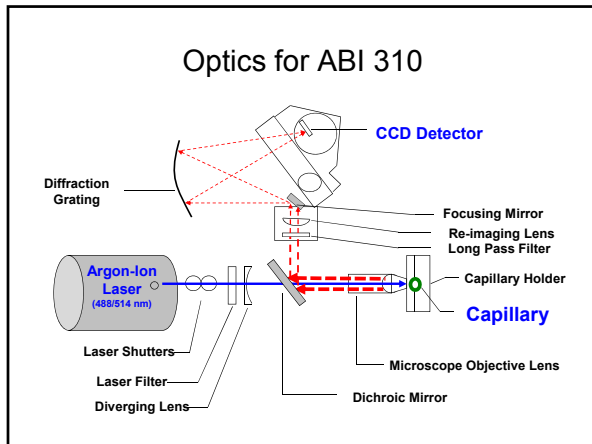


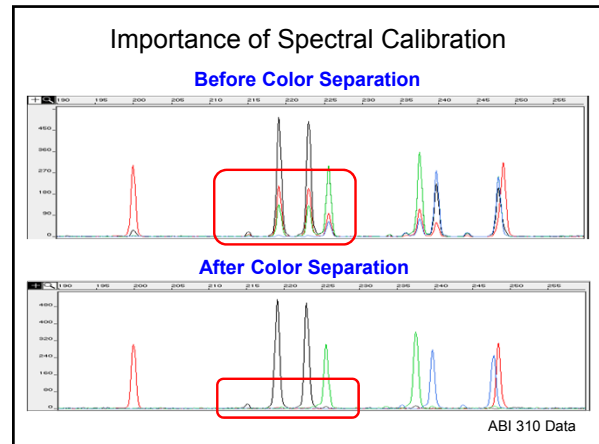
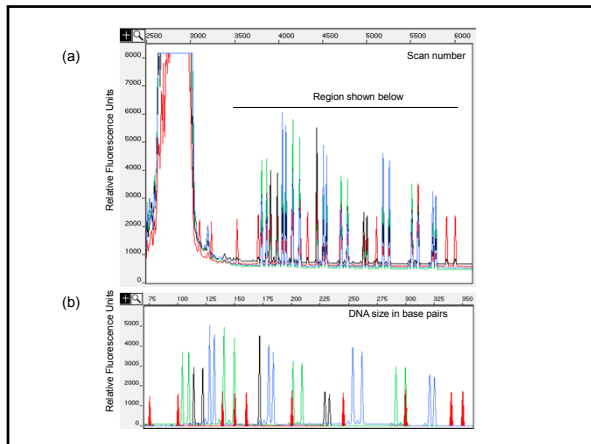
Smith, P.J. and Ballantyne, J. (2007) Simplified low-copy-number DNA analysis by post-PCR purification. *J. Forensic Sci.* 52: 820-829

Stochastic Effects and Thresholds



Detection





Matrix with 4 Dyes on ABI 310

$I_{540} = bx_b + gy_b + yz_b + rw_b$ intensity of blue
 $I_{560} = bx_g + gy_g + yz_g + rw_g$ intensity of green
 $I_{580} = bx_y + gy_y + yz_y + rw_y$ intensity of yellow
 $I_{610} = bx_r + gy_r + yz_r + rw_r$ intensity of red

Where
b is the %blue labeled DNA
g is the %green labeled DNA, etc.
x,y,z,w are the numbers in the matrix (sensitivity to each color)

PDP-4STRMODF				
Reactions				
	B	G	Y	R
B	1.0000	0.8502	0.1380	0.0009
G	0.8300	1.0000	0.7622	0.0051
Y	0.6416	0.8324	1.0000	0.1102
R	0.4493	0.6484	0.7851	1.0000

**If you solve xyzw for each dye individually
Then you can determine dye contribution for any mixture**

5 x 5 matrix for 5-dye analysis on ABI 310

6FAM_VIC_NED_PET_LIZ_042004.mts					
Reactions					
	B	G	Y	R	O
B	1.0000	0.3740	0.0228	0.0056	0.0107
G	0.5323	1.0000	0.4471	0.0036	0.0059
Y	0.2781	0.5460	1.0000	0.5610	0.0038
R	0.1928	0.3858	0.7212	1.0000	0.0061
O	0.0128	0.0598	0.0900	0.1484	1.0000

From Identifier User's Manual

Raw Data for Matrix Standards

Processed Data (matrix applied with baselining)

Virtual Filters Used in ABI 310

Visible spectrum range seen in CCD camera

Commonly used fluorescent dyes: FL, FAM, HEX, TET, JOE, VIC, NED, TMR, PET, ROX, LIZ.

Filter sets determine what regions of the CCD camera are activated and therefore what portion of the visible light spectrum is collected.

Filter	Blue	Green	Yellow	Red	Orange	Used with These Kits
Filter A	FL	JOE	TMR	CXR		PowerPlex 16
Filter C	6FAM	TET	HEX	ROX		in-house assays
Filter F	5FAM	JOE	NED	ROX		Profiler Plus
Filter G5	6FAM	VIC	NED	PET	LIZ	Identifier

Variable Binning Increases Red Peaks

Comparison of Data Collection Versions

(a) ABI 3100 Data Collection v1.0.1

(b) ABI 3130x1 Data Collection v3.0

The same PCR products examined with different data collection versions. In (a) there is an equal number of pixels of light collected from the CCD camera for the blue-labeled and red-labeled peaks. In (b) the signal increase in the red dye-labeled PCR products is accomplished with 'variable binning' where more pixels of light are collected from the CCD camera in the red-channel to help balance the less sensitive red dye with blue dye-labeled amplicons.

Maintenance of ABI 310/3100/3130

- Syringe – leaks cause capillary to not fill properly
- Capillary storage & wash – **it dries, it dies!**
- Pump block – cleaning helps insure good fill
- Change the running buffer regularly

YOU MUST BE CLEAN AROUND A CE!

Protocols Used for STR Typing

- Most forensic DNA laboratories follow PCR amplification and CE instrument protocols provided by the manufacturer
- Comments
 - Lower volume reactions may work fine and reduce costs
 - No heat denaturation/snap cooling is required prior to loading samples into ABI 310 or ABI 3100
 - Capillaries do not have to be thrown away after 100 runs
 - POP-4 polymer lasts much longer than 5 days on an ABI 310
 - **Validation does not have to be an overwhelming task**

Setting Instrument Parameters and Thresholds

Bruce

Setting thresholds for the ABI 310/3100

(Determining a true allele)

- Every laboratory needs to set an analytical threshold, a stochastic threshold, a limit of linearity and minimum peak height threshold.
- Can these values be set globally for the entire lab or are they instrument dependent?
- How do these values affect detection, stutter, pull-up, mixture interpretation, low copy DNA?

Different Threshold Overview

Example values (empirically determined based on own internal validation)

Called Peak (Greater confidence a sister allele has not dropped out)

Called Peak (Cannot be confident dropout of a sister allele did not occur)

Stochastic Threshold (350 RFUs): The value above which it is reasonable to assume that allelic dropout of a sister allele has not occurred

Analytical Threshold (150 RFUs): Minimum threshold for data comparison and peak detection in the DNA typing process

Noise

Peak not considered reliable

Butler, J.M. (2006) Fundamentals of Forensic DNA Typing. Elsevier Academic Press: San Diego.

Fundamental parameters for allele detection by CE

Detection Limit (analytical threshold): 3x the standard deviation of the noise. Estimated using **2x peak to peak noise** (approximately 35 - 50 RFUs). Peaks below this level may be random noise

Limit of Quantitation: 10x the standard deviation of the noise. Estimated using **7x peak to peak noise** (~100 RFUs). Below this point estimates of peak area or height are unreliable and may not be reliable indicators of mixture ratios


Stochastic Threshold: Level of DNA below which a significant chance of allele dropout can occur. Set high enough that a heterozygous peak will produce its companion allele in the grey zone between stochastic and analytical threshold. (150-200 RFUs)

Limit of linearity: The level of DNA above which enhanced pull-up, flat top peaks and elevated stutter occurs. Determined by examining the relationship between input DNA and fluorescence signal varies with instrument- (~4500 RFUs for ABI 310)

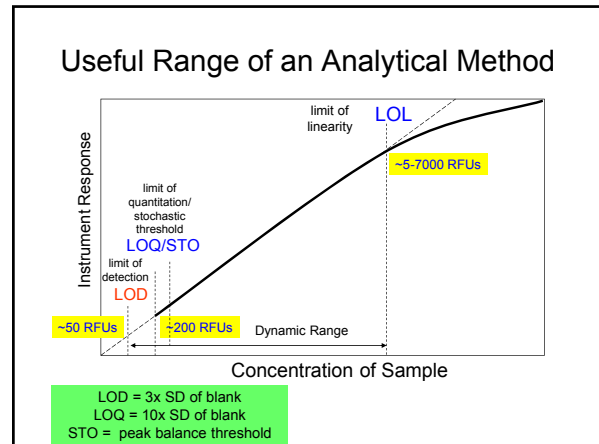
Heterozygous peak ratio: The minimum peak height ratio expected for a clean, single source DNA sample at a particular concentration (typically 60-70%)

The Scientific Reasoning behind the Concept of an Analytical Threshold/limit of detection

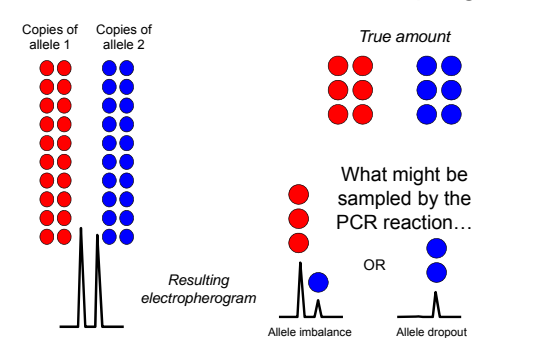
- This is fundamentally an issue of reliability
- For a peak intensity below the LOD there is a very real chance that such a signal is the result of a random fluctuation**
- You want to be sure to avoid labeling noise!



Abracadabra! It's an allele



Stochastic Statistical Sampling

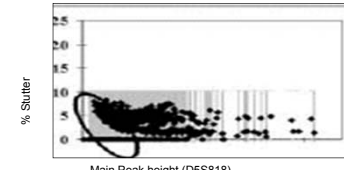


>20 copies per allele

6 copies per allele (LCN)

Another problem is stutter

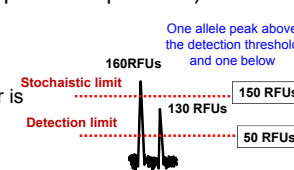
- Stutter also increases at low levels of input DNA due to stochastic sampling
- Leclair et. al (2004) Systematic analysis of stutter percentages and allele peak height and peak area ratios at heterozygous STR loci for forensic casework and database samples. J. Forensic Sci. 49(5): 968-980



Types of Results at Low Signal Intensity (Stochastic amplification potential)

Straddle Data

- Only one allele in a pair is above the laboratory stochastic threshold




At low levels of input DNA, the potential for straddle data is high. The issue is best avoided by reamping the sample at higher input DNA. Otherwise straddle data makes locus inconclusive.

Straddle data may be caused by degradation, inhibition and low copy issues.

The Scientific Reasoning behind the LOQ/Stochastic threshold

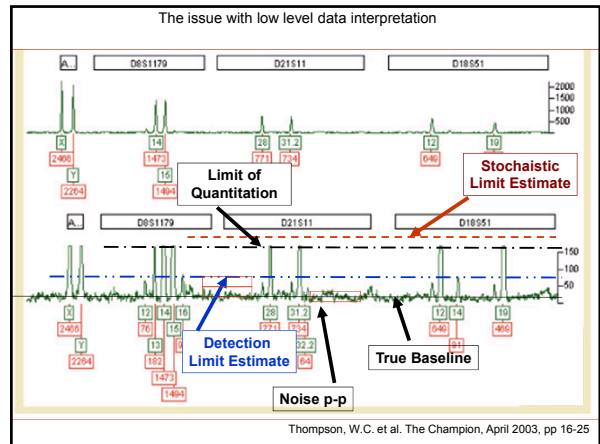
- With peak intensity below the LOQ, you have significant variation in height from one sample to the next.
- Similarly due to stochastic fluctuation in peak height ratios, interpreting data below the stochastic threshold presents the real problem of allele dropout due to variation.
- You rely on peak heights to detect major and minor profiles and you need to be certain when calculating statistics that you do not have a dropped heterozygous allele.



How low can you go?

Issues with Data below the Stochastic Threshold

- PCR artifacts and stutter become prevalent
- Low levels of bleed through are possible
- Instrument spikes are more numerous
- -A peaks may appear
- Dye blobs become more significant in overall e-gram
- Low level 2nd contributors may show peaks



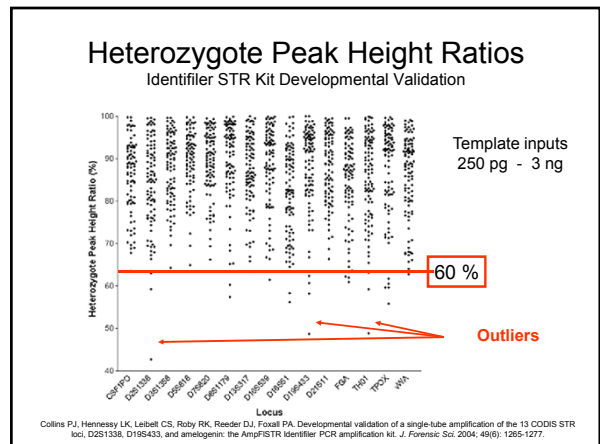
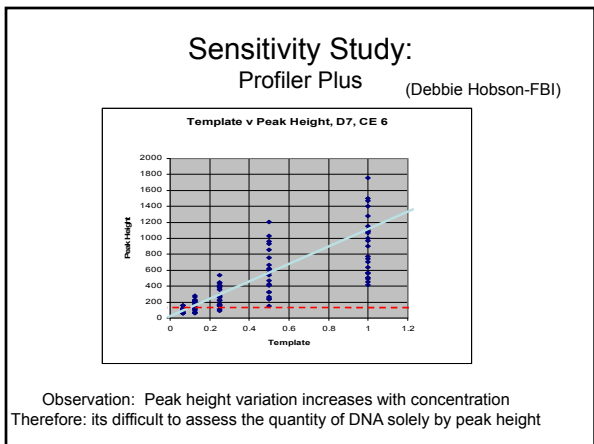
Limit of Linearity (LOL)

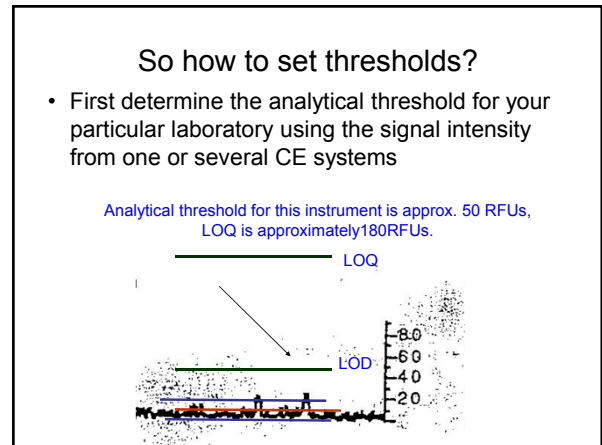
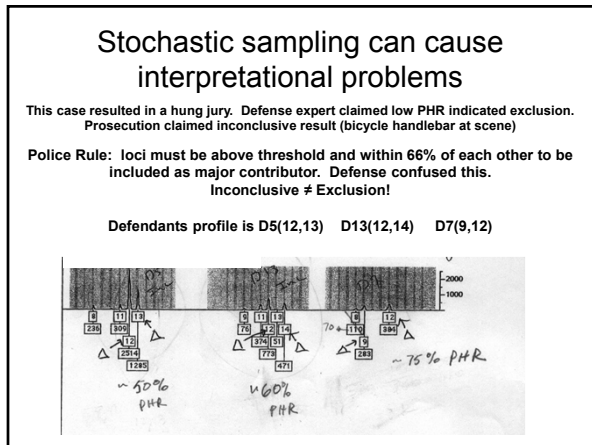
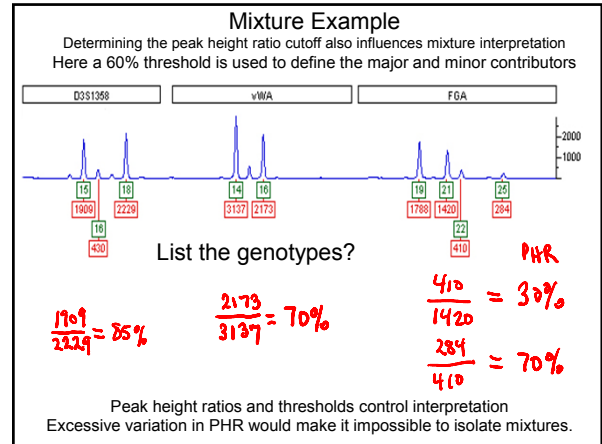
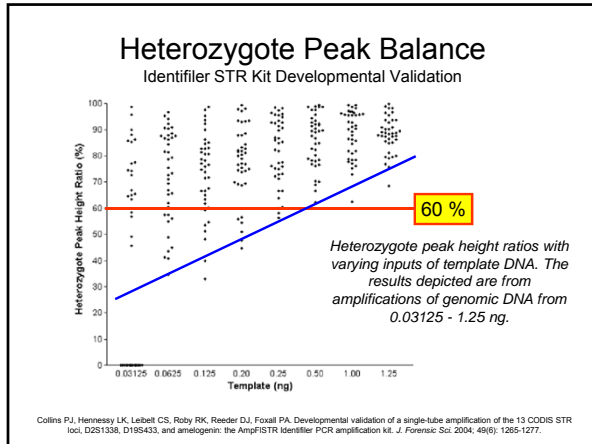
- Point of saturation for an instrument detector so that higher amounts of analyte do not produce a linear response in signal
- In ABI 310 or ABI 3100 detectors, the CCD camera saturates leading to flat-topped peaks.

Limit of Linearity/ Issues with off-scale peaks

Artifacts produced by overloading:
Non-specific amplification, poor adenylation, +4 stutter

Pull-up: odd peaks produced by non-linear matrix effects

$$\text{Signal} = x(\text{fam}) + y(\text{vic}) + z(\text{ned}) + a(\text{pet}) + b(\text{liz})$$




- ### Next determine the dynamic range
- Perform a series of amplifications of 5 different samples with 5.00, 2.00, 1.00, 0.50, 0.25, 0.13, 0.06, 0.03 ng DNA
 - Use your laboratory quantification system, your thermal cycler, and your 310.
 - Determine the average and standard deviation of each set of samples
 - Your dynamic range is the range of concentrations that are not overloaded. Overload point is where peaks flat top. (this can be checked by experiments)

- ### Determination of Minimum Sample
- Goal: avoid situations where peak imbalance results in only one detectable allele from a heterozygous pair.
 - Examine further dilutions (1ng - 8pg) of 2 control samples which are heterozygous at multiple loci
 - Reliability can be defined by an increase in the standard deviation of peak height intensity or an increase in the standard deviation of signal intensity or both. (peak balance will begin to fall below 60%)
 - The stochastic threshold should be greater than the limit of quantitation
-

Alternative Procedure for setting stochastic threshold

1. Since most estimates for LCN show up from 100-250pg DNA, select a low level- say 150pg as your stochastic limit.
2. Amplify 2 or more samples at a range of concentrations (1.0-0.005) ng multiple times and score the intensity
3. The stochastic limit is the intensity (RFUs) at which half the alleles have intensity above this value and half are below
4. In this way you define straddle data as at the point 50% of your alleles will be above this mark

Alternative Procedure (Mass State Police)

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CE011 Titration Sets: Average of All Sets and Replicates

Instrument factors

1. Because only signal is measured (RFUs) in forensic DNA analysis, many labs find that one instrument or another is more sensitive
2. There are also differences in sensitivity based on injection parameters, capillary illumination (single vs multiple) and laser intensity
3. Lastly the variation in qPCR sensitivity affects the output of any system
4. These differences should be corrected by proper setting of threshold parameters and/or adjustment of qPCR results.
5. It is not realistic to constantly alter and adjust levels. Instead, thresholds should be set conservatively

310 vs 3100

Sample

310 1.5uL in 24 uL formamide
31xx 1uL in 10uL formamide

Injection

310 5s@15kV = 75kVs
3130 (4 cap) 5s@3kV = 15kVs
3100(16cap) 10s@3kV = 30kVs

Irradiation

310 direct
3130 (4 cap) side
3100 (16 cap) both sides

Bottom line: you would expect to see

1. an approximate 3 fold difference in rfus between a 310 and a 3130 (4 cap)
2. an approximate 2 fold difference between a 310 and a 3130xl (16 cap)

Additional Issues

Threshold (ABI)

310 50 RFUs
31xx 30 RFUs

Stochastic

310 150 RFUs
31xx 90 RFU

Dynamic Range

310 4500
31131xx 3500

Bottom line: 310 will appear more sensitive with a wider dynamic range unless proper validations are performed.

Bottom Line


Validate each class of instrument and expect differences in sensitivity/ signal to noise

Compensate for differences by choosing appropriate thresholds

Validate at 2 or more injection levels so that injection time can be increased- remembering that longer injections risk drifting into LCN regime

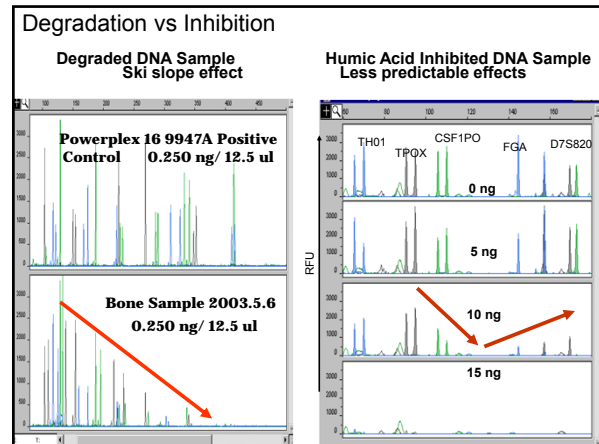
Calling thresholds involve sensitivity, dynamic range and the necessity to avoid LCN data

What else can go wrong?



Yarr, Take care mates!


- Most validation studies are performed on pristine samples derived from clean sources.
- DNA degradation will result in dropped alleles from larger sized amplicons
- DNA inhibition will result in dropped alleles from any location and the effects are difficult to predict
- Inhibition and degradation can produce stochastic effects – peak balance issues and allele dropout.




The bottom line:

1. Low signal levels are bad because:
 - a. They may indicate low copy # DNA = inconsistent or misleading results
 - b. They often coincide with peak imbalance
 - c. PCR and instrumental artifacts appear at these levels
2. Relying on signal level to determine DNA quantity can be misleading
 - a. There is wide variation in signal strength of amplified DNA
 - b. Inhibitors and mixtures complicate interpretation
 1. peak imbalance can occur even in single source samples due to inhibition and degradation
 2. instruments can vary in sensitivity

Fuzzy Logic in Data Interpretation



- Capillary Electrophoresis is a dynamic process
- Sensitivity varies with
 - Allele size
 - Injection solvent
 - Input DNA
 - Instrument factors
 - Presence of PCR inhibitors
 - Gel matrix
- Thus interpretation must be conservative and data from these studies yields guidelines, not rules.
- These guidelines must be based on in-house validation.
- In addition the interpretation and its significance cannot be dissociated from the overall facts of the case.




Conclusions

- Be conservative in interpretation
 - Set thresholds based on signal to noise and stochastic amplification (2 thresholds). Base these numbers on controlled in-house experiments
 - Understand that different instruments may vary in sensitivity – set thresholds high enough to encompass this variation
 - Understand that even with such guidelines issues such as degradation and inhibition can skew results.
- Leave room for the facts of the sample in your interpretation

BREAK

Troubleshooting: Strategies and Solutions



Bruce



Hang in there.....

Volume 6 (2), Sept 2003, pp. 10-12

TECH TIPS

Troubleshooting Capillary Electrophoresis Systems

By Bruce McCord
Associate Professor of Forensic Chemistry, Ohio University, Athens, Ohio

The key to producing good DNA separations is to understand the principles underlying the injection, separation and detection of each allele.

INTRODUCTION

The development of capillary electrophoresis (CE) has played a key role in bringing about the modern application of DNA typing. Forensic laboratories are the beneficiaries of this new technology, but many practitioners are not fully aware of the underlying principles of the CE system. This article attempts to address the important issues in CE separations to aid analysts in troubleshooting problematic separations. The key to producing good DNA separations is to understand the principles underlying the injection, separation and detection of each allele. These points are addressed below.

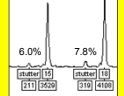
SEPARATION

DNA analysis by CE is performed using entangled polymer buffers (Figure 1). These buffers can be easily pumped into a capillary prior to a separation and pumped out at its conclusion, providing a fresh separation matrix for each run. A typical buffer for forensic DNA separation contains 4% polydimethyl acrylamide (pDMA), buffered to pH 8

1. Deciphering Artifacts from the True Alleles

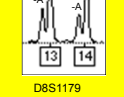
Biological (PCR) artifacts

Stutter products



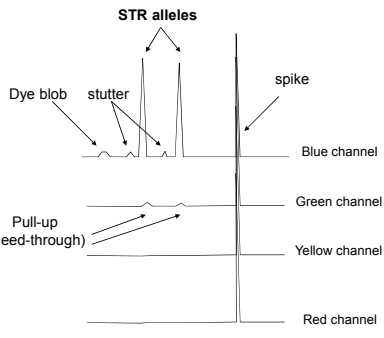
D3S1358

Incomplete adenylation



D8S1179

STR alleles



Butler, J.M. (2005) Forensic DNA Typing, 2nd Edition, Figure 15.4. © Elsevier Science/Academic Press

Applied Biosystems

Forensic News

October 2007 FAS Corner

http://marketing.appliedbiosystems.com/images/forensic/volume11/docs/52808_FN_FAS_r3.pdf

Troubleshooting Amplification and Electrophoresis of the AmpF/STR® Kits

One of the key responsibilities of our Human Identification Field Application Specialists is to troubleshoot results obtained using any of the AmpF/STR® kits on any Applied Biosystems validated instrument platform.

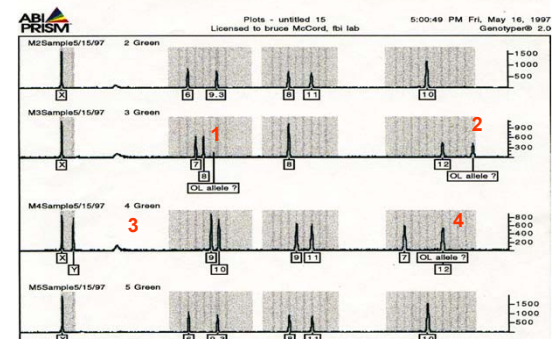
Troubleshooting Electrophoresis

Below are some common observations that may be seen during electrophoresis of AmpF/STR® kit PCR products:

- Spikes/Extraneous peaks
- No signal or low signal
- Loss of resolution
- Arcing
- Low reproducibility
- Contamination
- Baseline issues
- Poor peak morphology

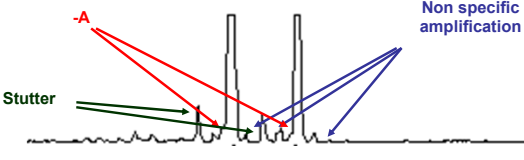


Off-ladder alleles Four types



1. Spike
2. OL Allele
3. Free Dye
4. Noise

Overloaded peaks will also show relatively high stutter and possibly artefacts

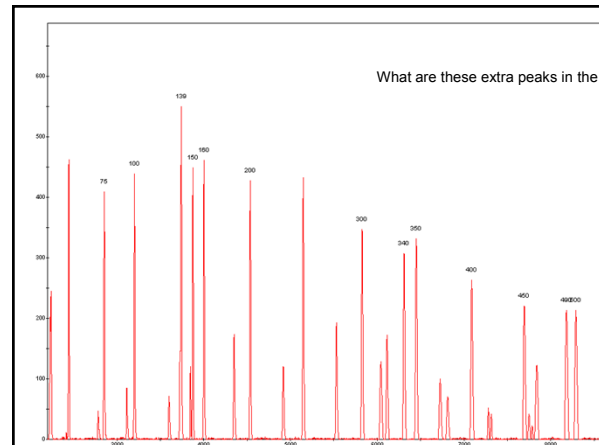
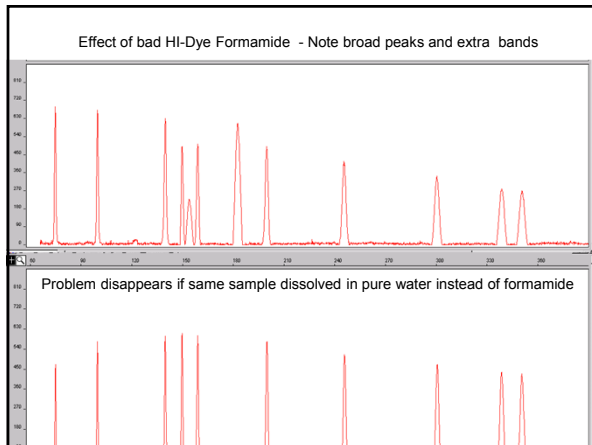
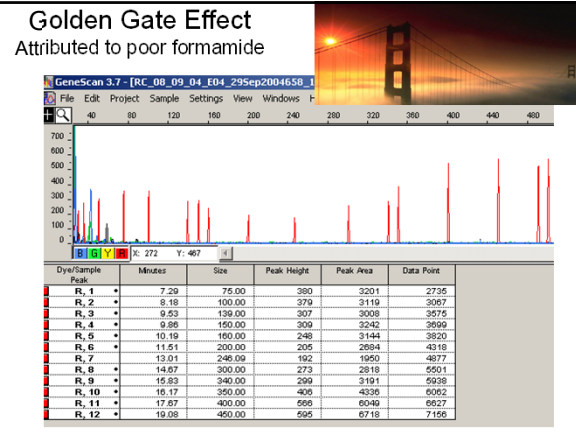


**Truncated peaks give wrong ratios for peak stutter
Why else is overloading bad?**

1. raised baseline
2. non specific amplification
3. peak height ratios
4. -A

2. Sample Issues

- Formamide Conductivity
- Excessive salt in sample due to evaporation
- Metal ion contamination
- Sensitivity issues with Microcon cleanup (salt removal)
- Dye "blobs" – artifacts from primer synthesis



December 21, 2007

What does ABI Say?

Dear Valued Customer,

We are writing this letter in response to inquiries from customers regarding artifact peaks that appear as "shadow peaks" to true DNA peaks observed in the electropherogram. In most cases, these artifacts appear to be the most prevalent in the dye channel corresponding to the size standard and do not affect accurate sizing of the size standard peaks.

An example electropherogram is shown below:

Electropherogram showing shadow peaks in GS500 ROX

The occurrence of these "shadow peaks" has been replicated at Applied Biosystems. We also observed during the testing process that higher shadow peak heights result from longer injection times. We are in the process of investigating the occurrence of these "shadow peaks" to determine the root cause and address the issue.

Applied Biosystems is committed to providing the highest quality products available for use in DNA typing. Thank you for your valued feedback. Your input is extremely valuable to us in our efforts to improve the quality of our products. Please feel free to contact HID Technical Support at 1.888.821.4HID (4443), #1 for further information.

What is it really?

Incomplete denaturation of standard due to excessive salt in sample or in formamide

ds DNA migrates faster and over time with this set of runs
ds DNA replaced the ssDNA

Shadow peaks

Shadow peaks result from incomplete denaturation or from rehybridization. dsDNA migrates faster than ssDNA and the extra peaks appear ahead of the main peaks

They are most visible in the size standard but can appear in other dye lanes

In sample - shadow peaks appearing to left of allelic peaks

Hybridization due to leftover primers

Artifacts in the Powerplex 16 amplification of the vWA locus. Two artifacts occur.

1. The doubled peaks and shoulders are the result of primer hybridization to PCR amplicons not adenylation.
2. The additional peak eluting earlier is the result of renaturation of the ssDNA amplicon.

The first two slides are performed on a 3100 system. The second is on a 310. The 310 denatures the samples better due to its heat plate and eliminates the splitting, however, the dsDNA product is still present.

Recent Promega Solution to Eliminating vWA Artifacts in PowerPlex 16 Results

Available online at www.sciencedirect.com

ScienceDirect

Formisic Science International: Genetics 2 (2008) 257-273

ELSEVIER

FSI GENETICS

www.elsevier.com/locate/fig

Post-injection hybridization of complementary DNA strands on capillary electrophoresis platforms: A novel solution for dsDNA artifacts

Robert S. McLaren^{a,*}, Martin G. Ensensberger^a, Bruce Budowle^b, Dawn Rabbach^a, Patricia M. Fulmer^a, Cindy J. Sprecher^a, Joseph Bessetti^a, Terri M. Sundquist^a, Douglas R. Storts^a

^aPromega Corporation, 2000 Woods Hollow Road, Madison, WI 53711, United States
^bFederal Bureau of Investigation, 2501 Investigation Parkway, Quantico, VA 22133, United States

Received 5 February 2008; received in revised form 11 March 2008; accepted 13 March 2008

Several laboratories have reported the occurrence of a split or n-1 peak at the vWA locus in PowerPlex 16... The root cause of this artifact is post-PCR reannealing of the unlabeled, unincorporated vWA primer to the 30-end of the tetramethylrhodamine (TMR)-labeled strand of the vWA amplicon. **This reannealing occurs in the capillary post-electrokinetic injection.** The split peak is eliminated by incorporation into the loading cocktail of a sacrificial hybridization sequence (SHS) oligonucleotide that is complementary to the vWA primer. The SHS preferentially anneals to the primer instead of the TMR-labeled strand of the vWA amplicon...

Impact of Added Oligos to vWA Amplicon Peaks

From Figure 5
 McLaren et al. (2008) *Forensic Science International: Genetics* 2: 257-273

Dye Blobs and their Removal

Residual dye artifacts

Dye blob removal with Edge columns

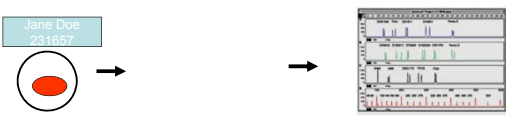
NIST Y-STR 20plex assay

Butler, J.M. (2005) Constructing STR multiplex assays. *Methods in Molecular Biology: Forensic DNA Typing Protocols* (Carracedo, A., ed.), Humana Press: Totowa, New Jersey, 297-33-66.


3. External Factors

- Room temperature
 - Variations in room temperature can cause mobility shifts with band shifts and loss of calibration
 - Temperature is also important due to effects of high humidity on electrical conductance
- Cleanliness
 - Urea left in sample block can crystallize and catalyze further crystal formation causing spikes, clogs and other problems.
 - Best bet is to keep polymer in system and not remove or change block until polymer is used up.

Large Multiplex Kits provide Efficient and Rapid Analysis of Convicted Offender Samples

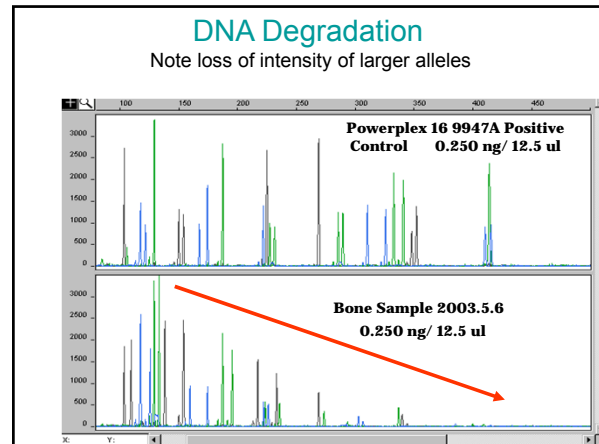


But what about degraded DNA ?



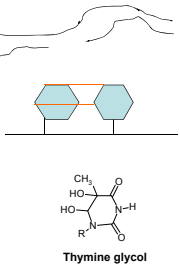
Such samples present a special challenge

Skeletal material being preped for extraction

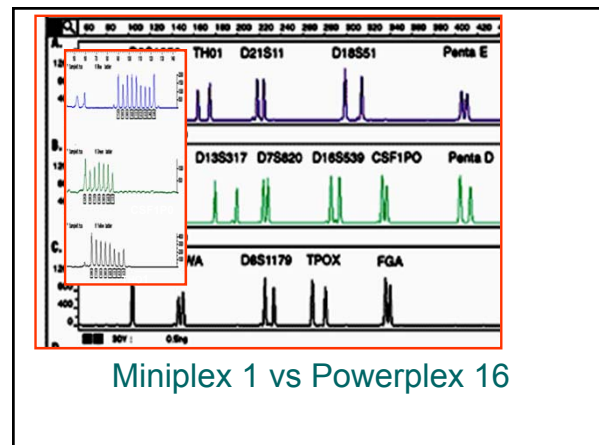


DNA Degradation

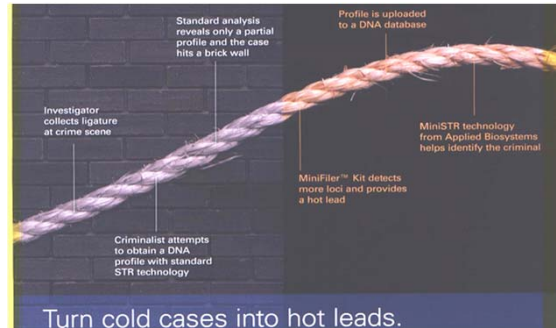
1. polymer hydrolyzes (nucleic acids break apart)
2. Pyrimidine dimers (bases X-link)
3. Chemical oxidation (bases become unreadable)



Thymine glycol



ABI MiniSTRs



Investigator collects ligature at crime scene

Standard analysis reveals only a partial profile and the case hits a brick wall

Profile is uploaded to a DNA database


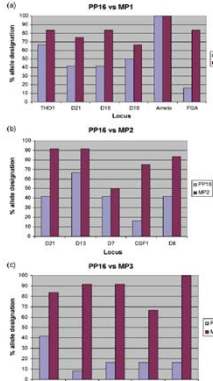
MiniSTR technology from Applied Biosystems helps identify the criminal

MiniFiler™ Kit detects more loci and provides a hot lead

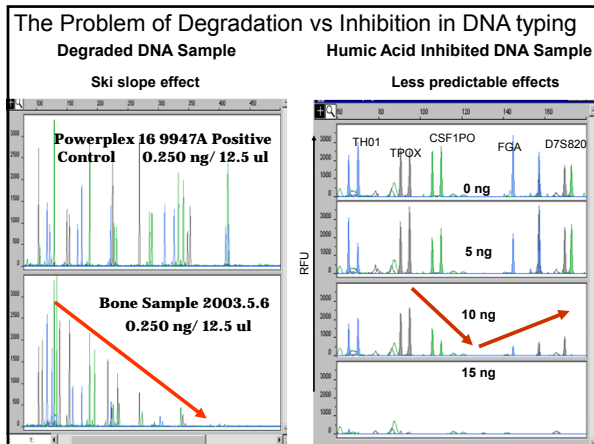
Criminalist attempts to obtain a DNA profile with standard STR technology

Turn cold cases into hot leads.

Application of MiniSTRs in bone/bone reassociation Yugoslavia

Parsons et al, Forensic Science International: Genetics 1 (2007) 175-179



PCR Inhibitors

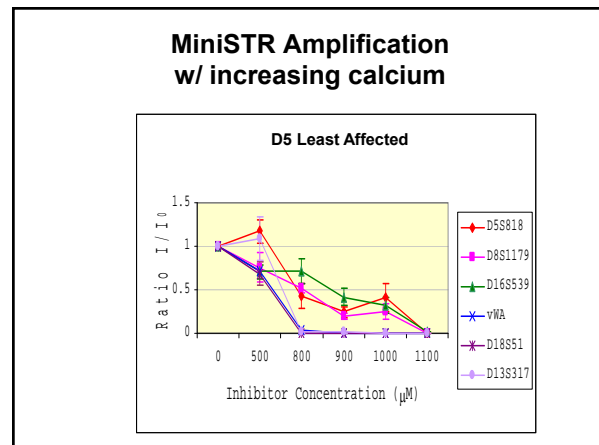
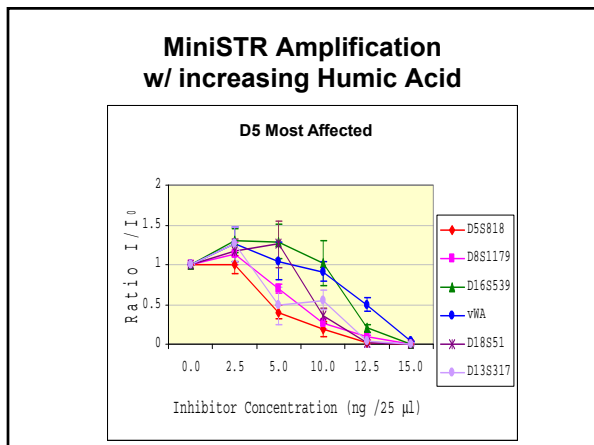
Three potential mechanisms exist for PCR inhibition

- 1) Blocking of primer binding
- 2) Binding of the inhibitor to the polymerase.
- 3) Binding of the inhibitor to the DNA template.

Inhibitor binds to primer effecting Taq extension

Inhibitor binds to Taq, effecting amplification efficiency

Inhibitor binds to DNA, reducing available template



Modeling PCR inhibition

Taq Inhibition
Significant changes in d parameter

Template binding
Significant changes in c parameter

$$F_x = \frac{F_{max}}{\left[1 + e^{\left(\frac{1}{b}(x-c)\right)}\right]^d} + F_b$$

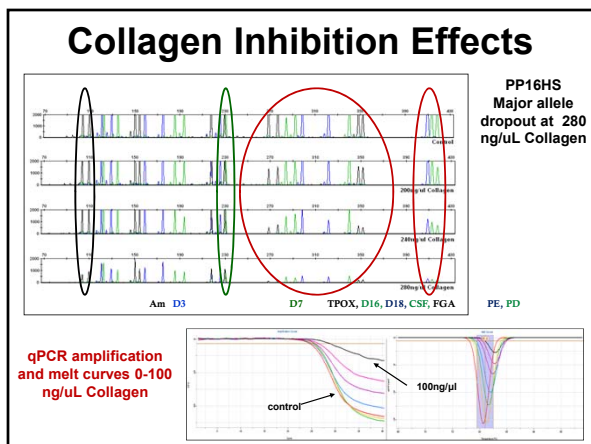
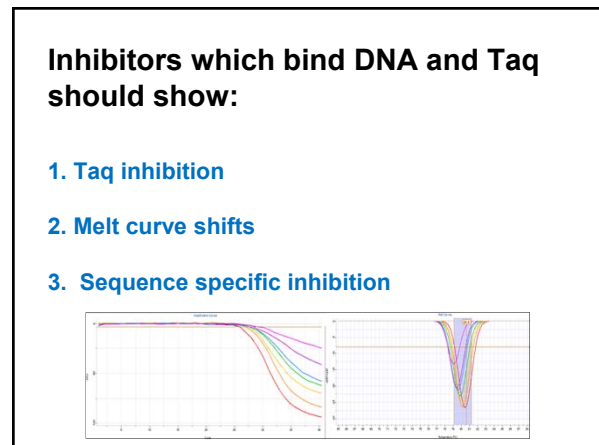
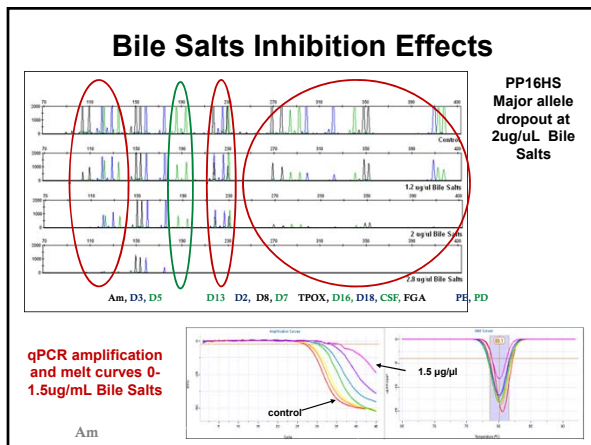
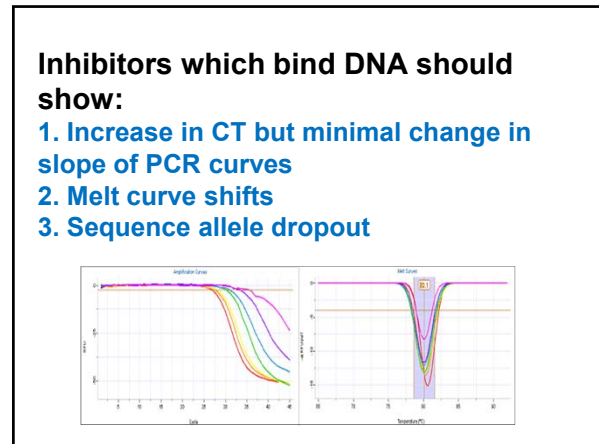
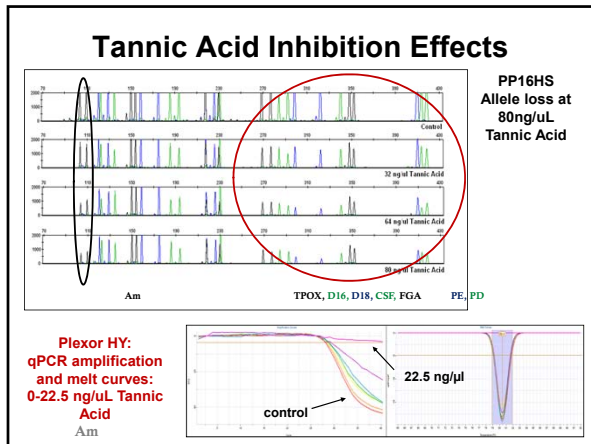
Richard's Function

Where:
 F = fluorescence
 Fmax = maximum fluorescence
 x = number of cycles
 b = overall slope of curve
 c = cycle number at inflection
 d = exponential Richards coefficient (relates to inhibitory effects)

Guescini M, et al., BMC Bioinformatics

Inhibitors affecting Taq should show:

1. Changes in slope due to efficiency losses
2. No melt curve effects
3. Generic allele dropout

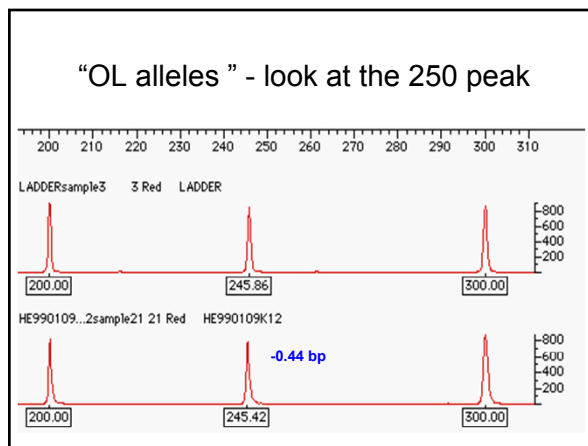
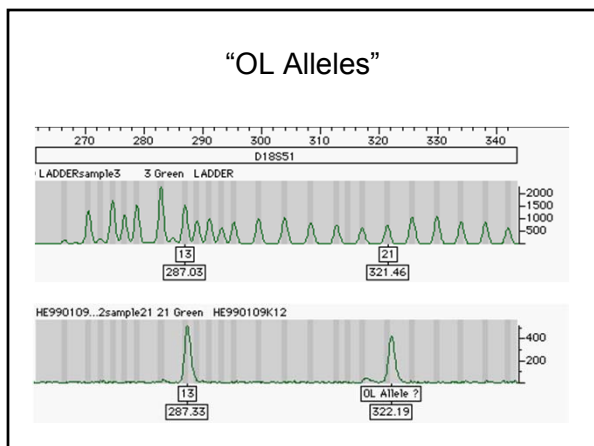
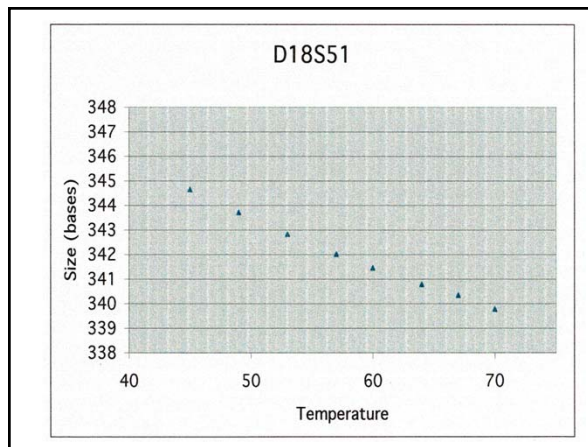
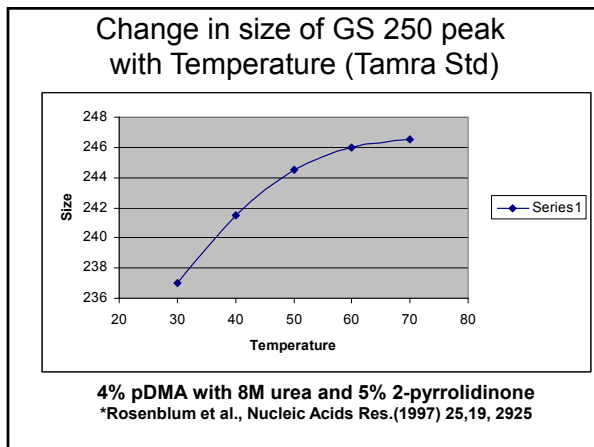
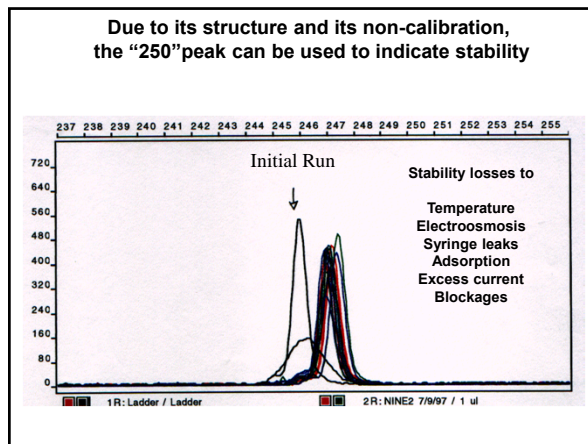


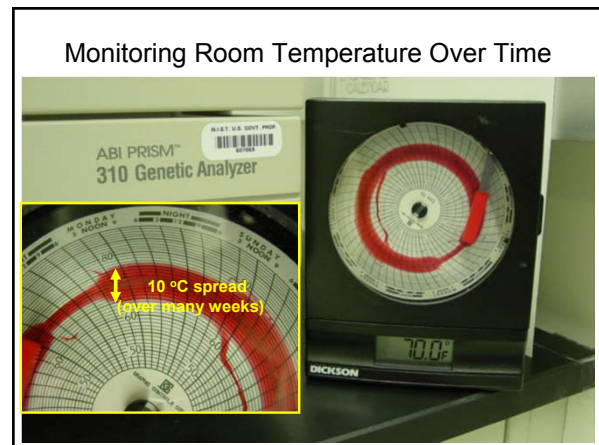
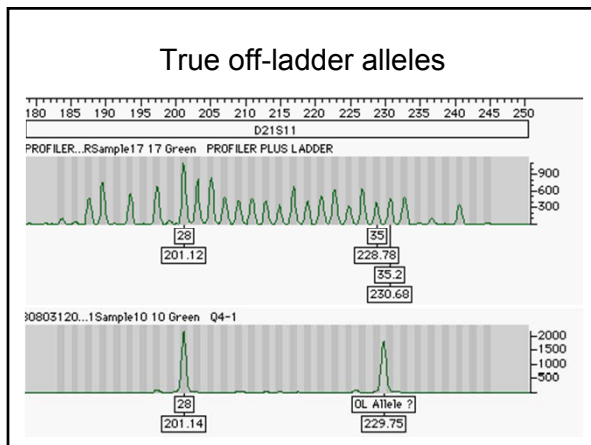
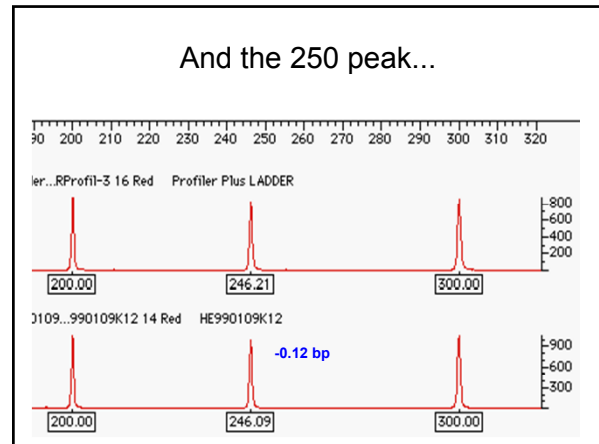
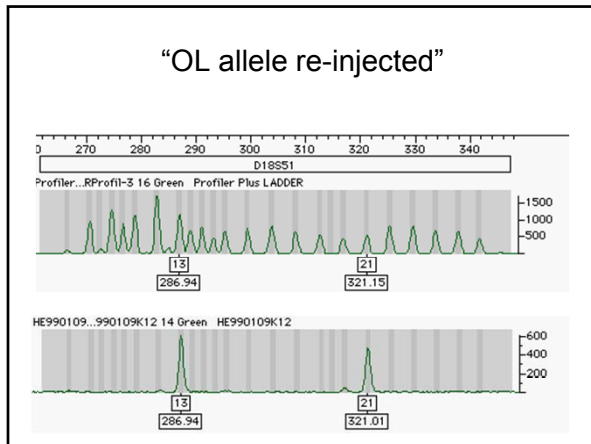
Overview

INHIBITOR	PLEXOR MODE OF INHIBITION	CONCENTRATION AT 50% qPCR INHIBITION	CONCENTRATION AT 50% DROP-OUT OF 1 ST ALLELE	NOTED ALLELE DROP-OUT
Calcium	Taq	1.0 mM	0.8 mM	D2, D8, TPOX, D16, D18, CSF, FGA, PE, PD
Tannic Acid	Taq	15 ng/μL	32 ng/μL	Am, TPOX, D16, D18, CSF, FGA, PD, PE
Bile Salts	DNA	1.25 μg/μL	1.2 μg/μL	Am, D13, D8, TPOX, D16, D18, CSF, FGA, PE, PD
Humic Acid	DNA	20 ng/μL	8 ng/μL	Am, D8, TPOX, D16, D18, CSF, FGA, PD
Hematin	DNA	60 μM	48 μM	Am, D8, TPOX, D16, D18, CSF, PD
Melanin	DNA	40 μg/μL	32 μg/μL	D13, D8, D16, D18, CSF, PD
EDTA	DNA	1.2 mM	0.6 mM	Am, D3, D5, D13, D8, TPOX, D16, D18, CSF, FGA, PD, PE
Phenol	DNA/Taq	5 μg/μL	2.6 μg/μL	Am, D3, D5, D13, D8, D7, TPOX, D16, D18, CSF, FGA, PE, PD
Collagen	DNA/Taq	100 ng/μL	200 ng/μL	Am, D3, D5, D13, D8, D7, TPOX, D16, D18, CSF, FGA, PE, PD
Urea	DNA/Taq	400 mM	240 mM	Am, D13, D8, TPOX, D16, D18, CSF, FGA, PD, PE

Temperature effects

- Viscosity – mobility shift
 - $\mu_{ep} = q/6\pi\eta r$
- Diffusion – band broadening
 - \leftarrow DNA \rightarrow
- Conformation – DNA size based sieving
 - vs $\mu_{ep} = q/6\pi\eta r$
- Current – Power
 - $P = VI = I^2R$
 - Increased current \rightarrow internal temperature rise \rightarrow diffusion \rightarrow band broadening



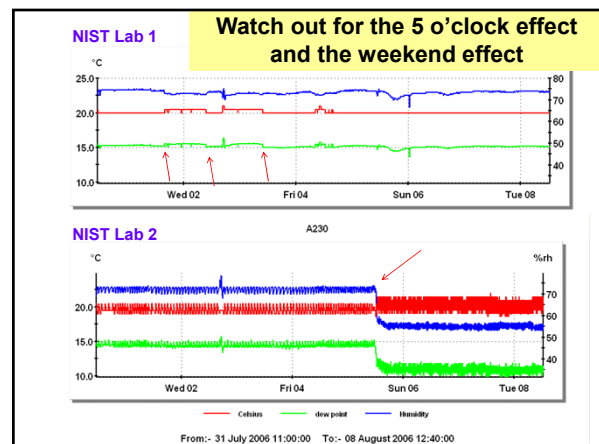


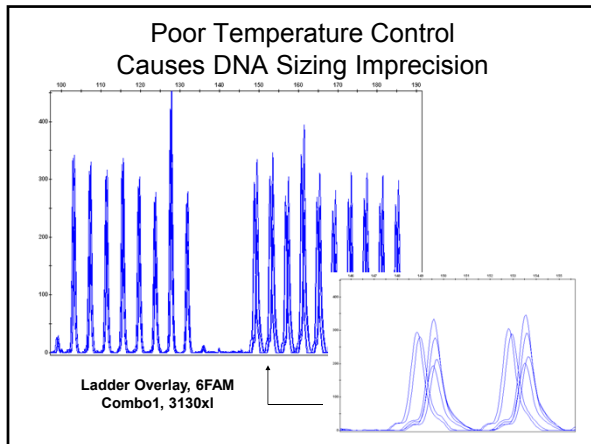
Temperature Probes

Refrigerator and freezer monitoring

Room temperature monitoring

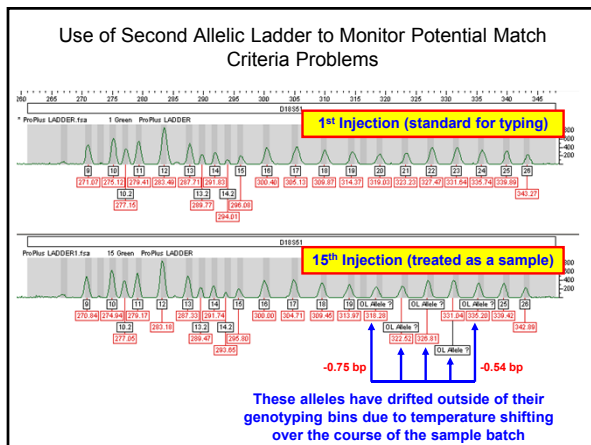
Frig/Freeze Monitors \$240
#DT-23-33-80 – USB Temperature Datalogger
PLUS Software \$79.00 (#DT-23-33-60)
Room Monitors, # DT-23039-52 – USB
Temperature-Humidity Datalogger \$91.00
(Cole Parmer, Vernon Hills IL)





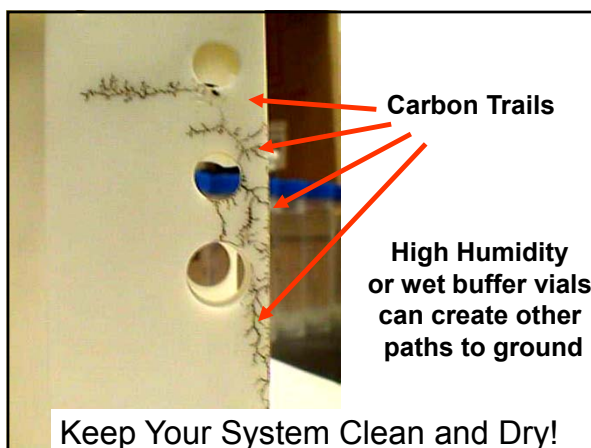
What to do if calibration is lost?
The 310 only calibrates to the first run ladder this ladder sample may have been run at a different temperature!

- If protocol permits
 - Go to the next ladder
 - Rerun sample
 - Check current
 - Check allelic ladder
- Always check the ROX size standard
 - Look for extra bands
 - Check peak height
 - Check parameters and alignment



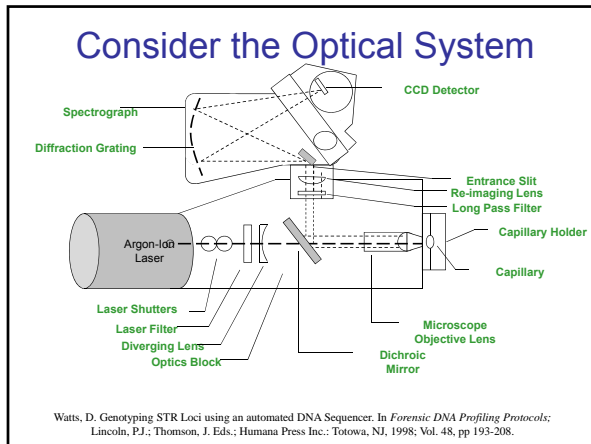
Cleanliness

- Urea sublimates and breaks down to ionic components - these find a path to ground
- Similarly wet buffer under a vial creates paths to ground
- Capillary windows must be clear or matrix effects will occur
- Laser will often assist in this process
- Vial caps will transfer low levels of DNA to capillary



4. Instrumental Factors

- Optical System
 - Sensitivity changes with age, capillary diameter, capillary cleanliness, instrument calibration
- Fluidic System
 - Effects of bubbles, dust, urea crystals, leaks in syringe and capillary ferrule
- Matrix Calculations
 - Changes in buffer, optics, sample dye can alter the software calibrations
- Capillary Problems
 - Chemisorbed materials on capillary surface can produce osmotic flow, DNA band broadening and inconsistent resolution (meltdowns)



Issues with the Optical System

- Argon Ion lasers outgas and eventually lose intensity; **take note of laser current and monitor it over time**
- Fluorescence expression:

$$I_f = I_0 k \epsilon b C \phi$$
 - changes in input intensity: I_0
 - changes in capillary diameter: b
 - cleanliness of capillary, optics: k
- All these things directly affect peak RFUs, however, baseline noise is more affected by detector.
- Thus by monitoring signal to noise, you can get a better picture of your optical system.**

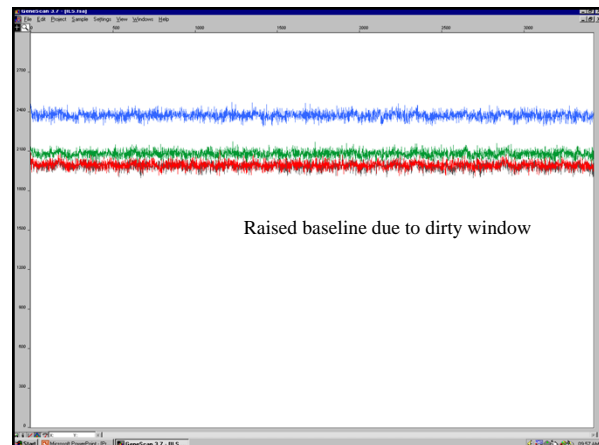
The Detection Window

Make sure that the capillary window is lined up (if it is not, then no peaks will be seen)

Window may need to be cleaned with ethanol or methanol

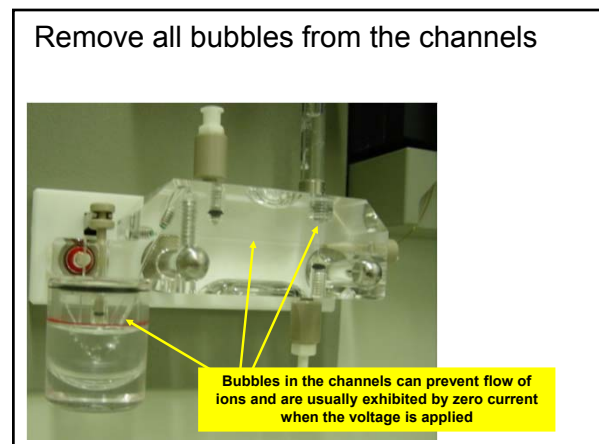
Review Start of Raw Data Collection

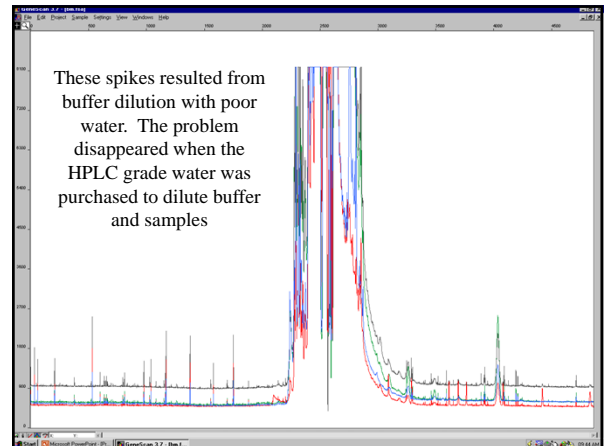
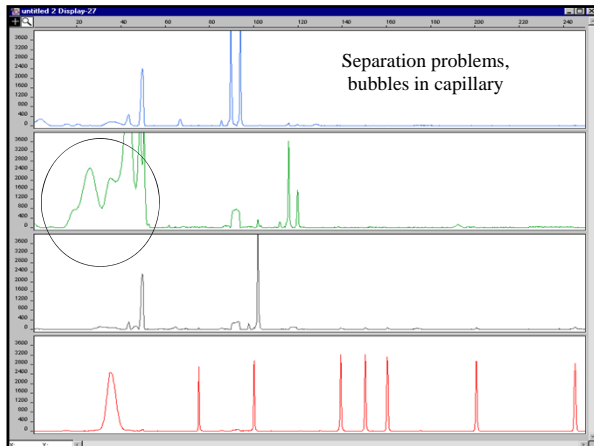
Little spikes indicate need to change buffer... check current



Buffer Issues

- The buffer and polymer affect the background fluorescence- affecting the matrix
- Urea crystals and dust may produce spikes
- High salt concentrations may produce reannealing of DNA
- High salt concentrations affect current
- Low polymer concentrations affect peak resolution





Beware of Urea Crystals



Urea crystals have formed due to a small leak where the capillary comes into the pump block

Urea sublimates and can evaporate to appear elsewhere

Use a small balloon to better grip the ferrule and keep it tight

Pump block should be well cleaned to avoid problems with urea crystal formation

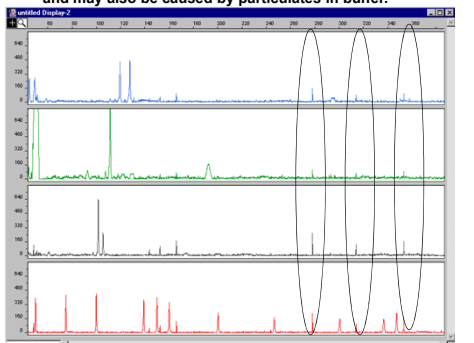
Maintenance of ABI 310/3100/3130

- Syringe – leaks cause capillary to not fill properly
- Capillary storage & wash – **it dries, it dies!**
- Pump block – cleaning helps insure good fill
- Change the running buffer regularly

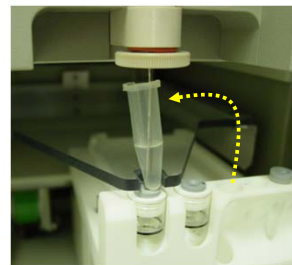
YOU MUST BE CLEAN AROUND A CE!

Current Spikes

Generally appear in all lanes and are sharper than regular peaks
These are a natural consequence of the application of high voltage in CE
and may also be caused by particulates in buffer.



Storage when ABI 310 is not in use



- Keep inlet of capillary in water...if it dries out then urea crystals from the polymer will clog the opening
- The waste vial (normally in position 3) can be moved into position
- A special device can be purchased from Supleco to rinse the capillary off-line
- Store in distilled water
- Note that the laser is on when the instrument is on

Remember that the water in the open tube will evaporate over time... Also this will destroy the electrode if turned on without removing the tube

Matrix Problems

- A poor matrix can lead to raised baseline and therefore calling of too many peaks
- Larger sized alleles will not be identified as peaks because the GeneScan table for a particular dye color has filled up

Dye/Sample Peak	Minutes	Size	Peak Height	Peak Area	Data Point
G_8	10.14	140.41	110	1170	4401
G_9	10.53	160.42	110	727	4254
G_10	17.02	171.12	113	1693	4640
G_11	17.10	173.39	117	2711	4662
G_12	17.18	174.73	109	627	4274
G_13	17.53	180.00	600	4713	4726

“Data gap” - phenomenon STRs

Y-Filter Allelic Ladder (correct) vs Y-Filter Allelic Ladder (with gaps)

STRs: DYS385 a/b (only 14 out of 19 expected alleles), DYS448 (only 5 out of 8 expected alleles), DYS437 (5 alleles), DYS448 (8 alleles), DYS448 (1726 repeats), Missing 300 bp peak, Spacing is off on LIZ GS500 size standard

Data from Walter Parson's Lab (Innsbruck, Austria)

What we call “melt downs”... probably due to an incompletely filled capillary

Does the capillary need to be replaced?

No! The next injection looks fine...

ABI 310 Data from Margaret Kline (NIST)

Capillary Meltdowns

(A) Good resolution vs (B) Poor resolution

6FAM (blue), VIC (green), NED (yellow), PET (red), LIZ (orange), 03500 LIZ size standard

Good Capillary in 3100 Array vs Bad Capillary in 3100 Array

Butler, J.M., Buel, E., Crivellente, F., McCord, B.R. (2004) Forensic DNA typing by capillary electrophoresis: using the ABI Prism 310 and 3100 Genetic Analyzers for STR analysis. *Electrophoresis*, 25: 1307-1312

When Pump Failed...

3130xl before pump failure vs 3130xl after pump change

TH01 allelic ladder

Data from 3-10-07 vs Data from 8-10-07

Data from Amy Decker (NIST)

Examine the Size Standard...

Processed Data (GS500 LIZ size standard) vs Raw Data (Identifier allelic ladder)

Data from Becky Hill (NIST)

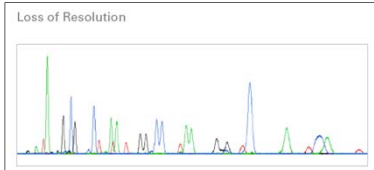
Meltdowns can be the result of

- Bad formamide
- Bubbles in the sample vial
- Water in the polymer buffer
- Syringe leak or bottom out
- Poisoned capillary
- Conductive polymer buffer due to urea degradation
- Crack/shift in capillary window
- Detergents and metal ions in sample

A permanent loss of resolution may mean

- Adsorptive sites on a capillary
- Initiation of electroosmotic flow
- Conductivity changes in buffer/polymer
- Wrong buffer formulation
- Bad formamide or internal lane standard
- Contaminated syringe

Loss of Resolution



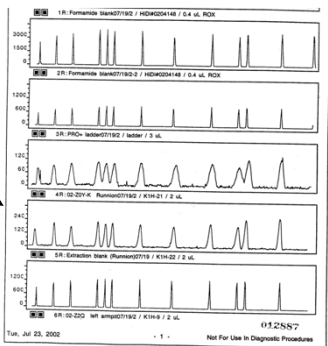
Gradual broadening of peaks as the molecular weight of the data increases results in a sample that fails to genotype and can be caused by the following:

- Poor water quality
- Poor quality system reagents
- Insufficient capillary filling
 - Leak in the system fittings
- Air in the system
 - Bubbles
- Impurities
 - Protein, salts
 - Detergents
- Poor/exhausted array
- Poor instrument maintenance

Attention to detail with regard to instrument maintenance and remaining aware of when an array may need to be replaced will help to avoid such issues.

http://marketing.appliedbiosystems.com/images/forensic/volume11/docs/S2808_FN_FAS_r3.pdf

Effect of contaminant in reference sample

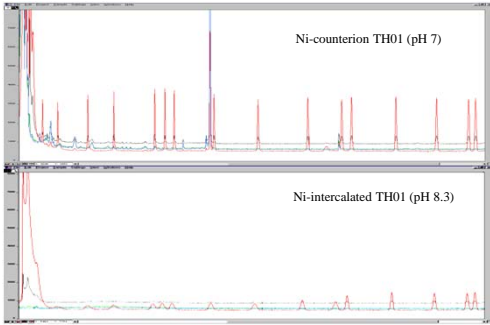


Contamination results in problems in subsequent analyses

Effect is transitory

Metal Ions in the Sample

DNA clumps and injects poorly. Effect is pH and EDTA dependent



1 μ l TH01 added to 10 μ l of 3.0 mM NiCl₂ in 10 mM Tris, pH 7 or pH 8.3. Sample allowed to interact for 1 hr and then 1 μ l added to ROX/formamide.


Troubleshooting benchmarks

- **Monitor run current**
- Observe syringe position and movement during a batch
- Examine ILS (ROX) peak height with no sample
- Observe "250 bp" peak in GS500 size standard
- Monitor resolution of TH01 9.3/10 in allelic ladder and size standard peak shapes
- **Keep an eye on the baseline signal/noise**
- Measure formamide conductivity
- Reagent blank – **are any dye blobs present?**
- See if positive control DNA is producing typical peak heights (along with the correct genotype)

Measurement of Current

- $V/I = R$ where R is a function of capillary diameter, [buffer], and buffer viscosity
- In a CE system the voltage is fixed, thus changes in resistance in the capillary will be reflected in the current observed
- Air bubbles, syringe leaks, alternate paths to ground, changes in temperature, changes in zeta potential, and contamination, will be reflected in the current
- A typical current for a CE system with POP4 buffer is **8-12 μA** (microamps)

Measuring Formamide Conductivity



(not this way)

The key is to measure the bottle when it comes in or buy the good stuff and immediately pipette it out into small tubes with or without ROX already added. Then freeze the tubes.

Do not ever open a cold bottle of formamide. Water will condense inside and aid in the formation of conductive formic acid.

Conclusion:
Troubleshooting is more than following the protocols


It means keeping watch on all aspects of the operation

1. Monitoring conductivity of sample and formamide
2. Keeping track of current and syringe position in log.
3. Watching the laser current
4. Watching and listening for voltage spikes
5. Monitoring room temperature and humidity

ABI 3500 Genetic Analyzer

John

ABI 3500 Genetic Analyzer



New Features of the ABI 3500 CE

- an improved polymer delivery pump design,
- ready-to-use consumables and containers,
- Radio Frequency Identification (RFID) consumable tracking,
- quality control software features for rapid identification and re-injection of failed samples,
- increased throughput,
- new laser technology,
- reduced power requirements,
- peak height normalization,
- intuitive user software, and integrated primary analysis software,
- improved peak height uniformity across capillaries, runs and instruments
- **6-dye channel capability**


- **3500 (8 capillary)**
- **3500xl (24 capillary)**


Details of the new ABI 3500

No lower pump block
(fewer air bubbles)




Improved sealing for better temperature control
(improved precision?)





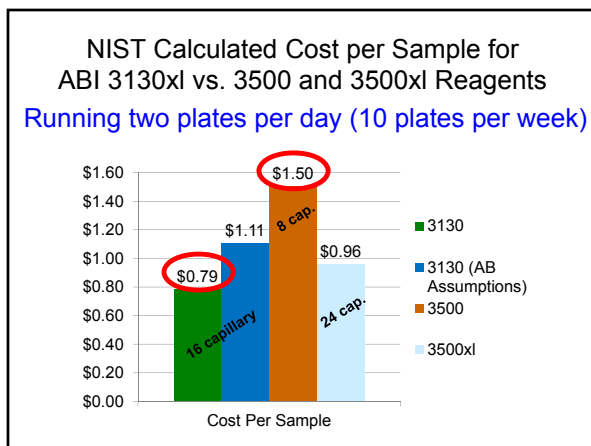
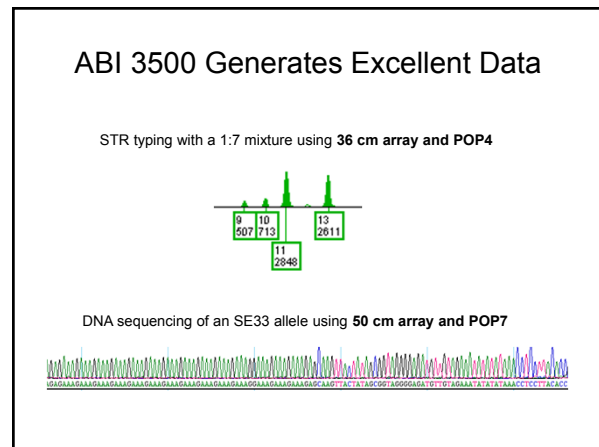
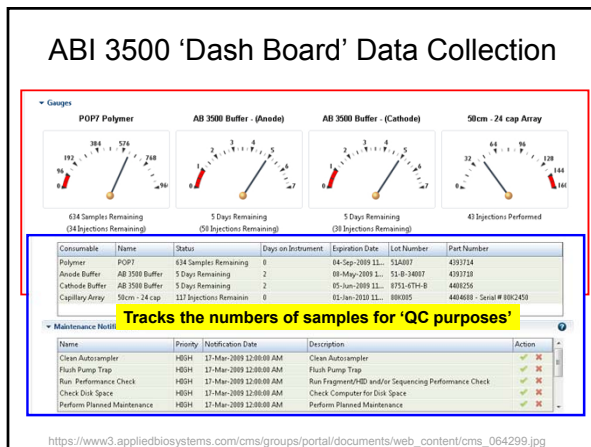
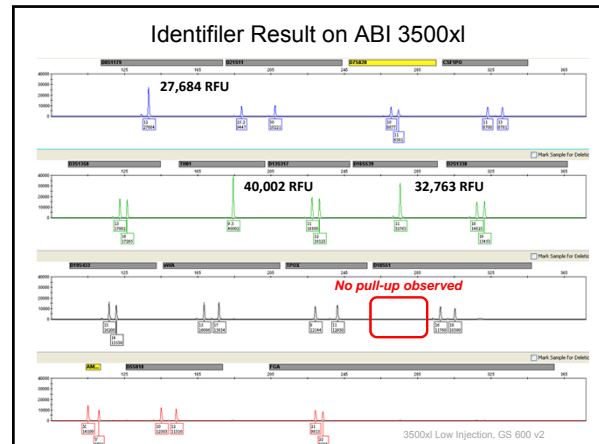
Reagents prepackaged with RFID tags



Better seal around the detector

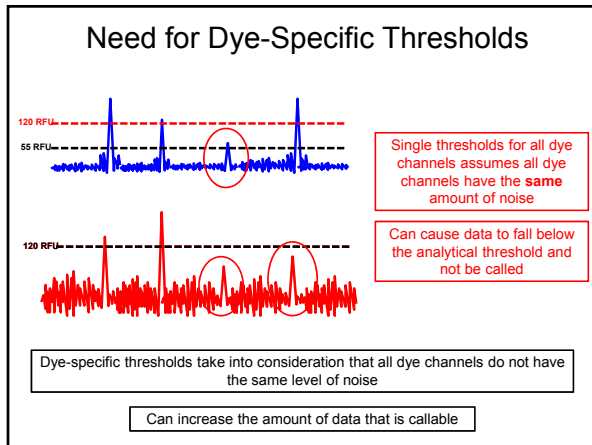
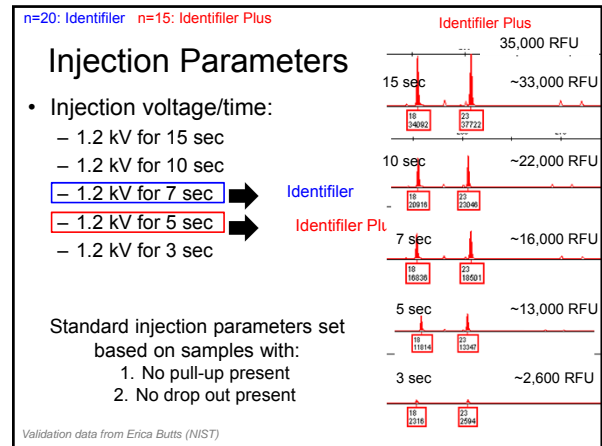
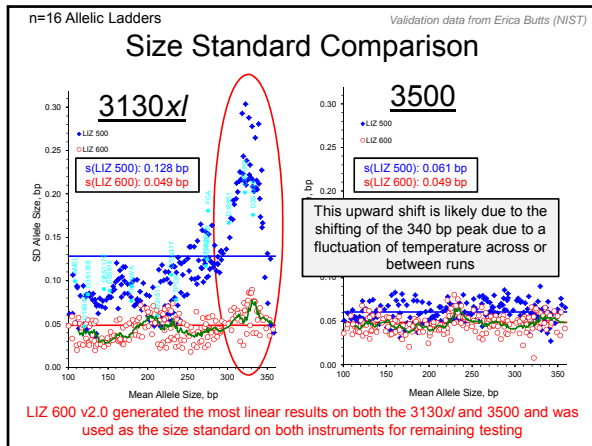
Primary Differences

	31xx Platforms	3500 Platforms
Laser	Argon ion (AR+) with 488/514 nm wavelength	Single-line 505 nm, solid-state, long-life laser
Power Requirement	220V	110V
File Generated	.fsa files	.hid files
Normalization	None	Instrument-to-instrument; only with AB kits
Optimal Signal Intensity	1500-3000 RFU	4x greater than 31xx platforms



Consumable RFID Tracking Limits

	RFID Hard Stops	Usage Comments From a Research Laboratory Standpoint
Array	None	<ol style="list-style-type: none"> Very easy to change between HID and sequencing Array from validation was stored at least twice and reinstalled on 3500 during validation
Buffer	Expiration Date 7 Days on Instrument # Injections	<ol style="list-style-type: none"> Can no longer use in-house buffer Very easy to change on the instrument (snap-and-go)
Polymer	Expiration Date # Samples # Injections	<ol style="list-style-type: none"> Hard stop with the expiration date has caused us to discard unused polymer we would have otherwise kept on the instrument ~50% of total polymer remains in the pouch after "consumption" Expiration dates have changed purchasing strategy (smaller batches, based on ongoing project needs)



n=84 samples

Analytical Threshold Calculation

Dye Channel	Average RFU	Stdev	Identifier		
			Min RFU	Max RFU	Calculated Noise (RFU)
Blue	9	8.4	1	66	93
Green	13	11.5	3	84	128
Yellow	22	11.6	4	88	138
Red	28	8.8	10	80	116

Single Threshold: 140 RFU

Dye-Specific: Rounded to nearest 5 RFU

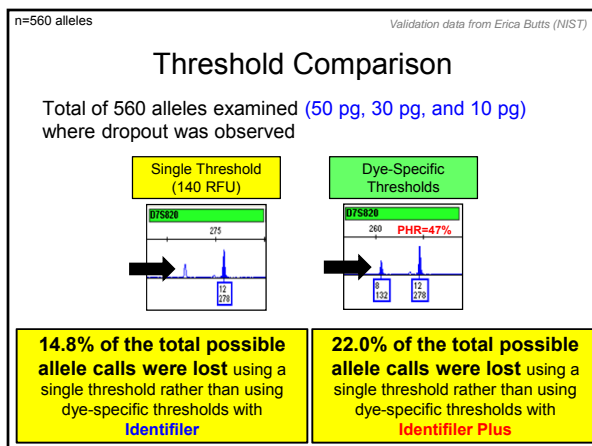
Dye Channel	Average RFU	Stdev	Identifier Plus		
			Min RFU	Max RFU	Calculated Noise (RFU)
Blue	10	4.6	3	68	55
Green	16	5.6	3	78	72
Yellow	24	7.9	7	63	103
Red	31	8.9	7	81	120

Single Threshold: 120 RFU

Dye-Specific: Rounded to nearest 5 RFU

- Statistical difference was calculated between dye channels using a z-test
- Statistically each dye channel is different for both Identifier and Identifier Plus
 - Must be treated independently

Validation data from Erica Butts (NIST)



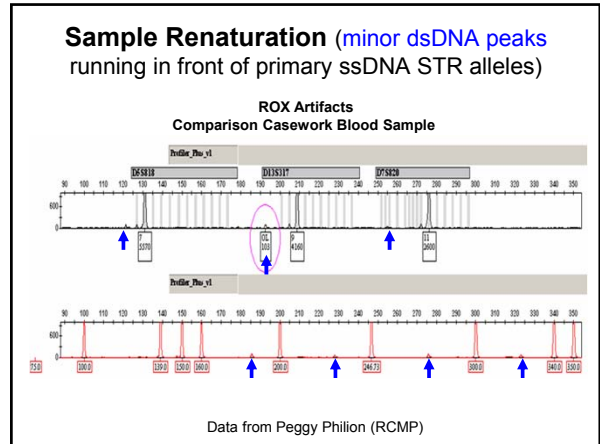
Validation data from Erica Butts (NIST)

ABI 3500 Validation Considerations

- The 3500 has proven to be reliable, reproducible and robust
 - Out of 498 samples between Identifier and Identifier Plus only 5 required reinjection
- Dye-specific analytical thresholds resulted in less allelic and full locus dropout than applying one analytical threshold to all dyes
- Stochastic thresholds are linked to analytical thresholds
 - If the analytical threshold is adjusted, the stochastic threshold should be reevaluated along with expected peak height ratios
 - Requires consideration for overall interpretation workflow which we are still evaluating
- RFID tracking decreases flexibility in our research experience

Questions ?

Example Problems Seen
and Provided by Others in the Past



Why dsDNA migrates through CE capillary faster than ssDNA...

- DNA molecule separation depends on interactions with the polymer
 - Higher polymer concentration (or longer polymer molecules) permits more polymer interactions and provides better resolution (i.e., POP-6 vs POP-4)
- Single-stranded DNA (ssDNA) is more flexible than double-stranded DNA (dsDNA)** and therefore moves more slowly through the capillary because it is interacting with polymer strands more

dsDNA vs ssDNA CE Migration

- If a small amount of the complementary strand re-hybridizes to the labeled STR allele strand, then a little peak will be seen in-front of each internal lane standard peak and

- Height of dsDNA peak will depend on amount of re-hybridization between the two strands (**some loci will re-hybridize more readily giving rise to larger dsDNA peaks**)
- Local temperature environment of capillary impacts amount of re-hybridization (may change over time)

Thank you for your attention

Contact Information

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305-348-7543

Presentation available at:
<http://www.cstl.nist.gov/biotech/strbase/training.htm>