

69th Annual Scientific Meeting

*Our Future Reflects Our Past: The Evolution of Forensic Science*



*February 13th - 18th New Orleans, Louisiana*

## **Analysis of an Internal Validation Dataset for the New Core STR Loci**

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*Applied Genetics Group*

National Institute of Standards and Technology  
Gaithersburg, Maryland



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**Points of view in this presentation are mine** and do not necessarily represent the official position of the National Institute of Standards and Technology or the U.S. Department of Commerce.

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Joli Bregu,<sup>1</sup> B.S.; Danielle Conklin,<sup>1</sup> M.S.; Elisse Coronado,<sup>1</sup> M.S.; Margaret Terrill,<sup>1</sup> M.S.F.S.  
Robin W. Cotton,<sup>1</sup> Ph.D.; and Catherine M. Grgicak,<sup>1</sup> Ph.D.

## Analytical Thresholds and Sensitivity: Establishing RFU Thresholds for Forensic DNA Analysis<sup>\*,†</sup>

Forensic Science International: Genetics 19 (2015) 107–122



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Forensic Science International: Genetics

journal homepage: [www.elsevier.com/locate/fsig](http://www.elsevier.com/locate/fsig)

Research paper

### Probabilistic characterisation of baseline noise in STR profiles

Ullrich J. Mönich<sup>a,\*</sup>, Ken Duffy<sup>d</sup>, Muriel M.  
Catherine Grgicak<sup>b</sup>



Forensic Science International: Genetics 6 (2012) 679–688



Contents lists available at SciVerse ScienceDirect

Forensic Science International: Genetics

journal homepage: [www.elsevier.com/locate/fsig](http://www.elsevier.com/locate/fsig)

DNA commission of the International Society of Forensic Genetics:  
Recommendations on the **evaluation of STR typing results that may  
include drop-out and/or drop-in using probabilistic methods**

P. Gill<sup>a,b,\*</sup>, L. Gusmão<sup>c</sup>, H. Haned<sup>d</sup>, W.R. Mayr<sup>e</sup>, N. Morling<sup>f</sup>, W. Parson<sup>g</sup>, L. Prieto<sup>h</sup>,  
M. Prinz<sup>i</sup>, H. Schneider<sup>j</sup>, P.M. Schneider<sup>k</sup>, B.S. Weir<sup>l</sup>



Contents lists available at ScienceDirect

Forensic Science International: Genetics

journal homepage: [www.elsevier.com/locate/fsig](http://www.elsevier.com/locate/fsig)

**The low-template-DNA (stochastic) threshold—Its determination relative to risk  
analysis for national DNA databases**

Peter Gill<sup>a,b,\*</sup>, Roberto Puch-Solis<sup>c</sup>, James Curran<sup>d</sup>

Journal of the  
Royal Statistical Society

SERIES C  
Applied  
Statistics



*Appl. Statist.* (2010)  
59, Part 5, pp. 855–874

### Evaluating the weight of evidence by using quantitative short tandem repeat data in DNA mixtures

Torben Tvedebrink and Poul Svante Eriksen  
*Aalborg University, Denmark*

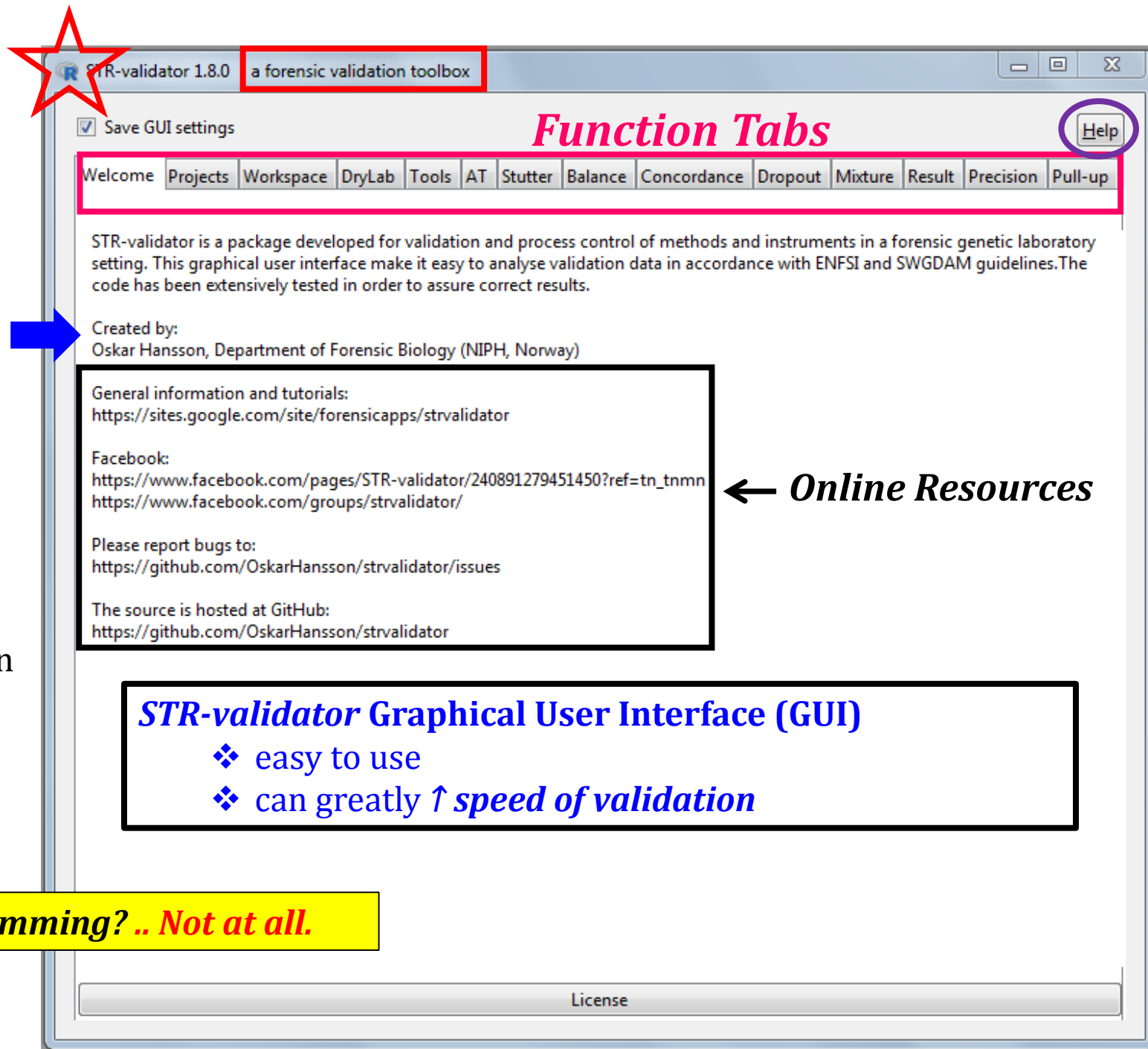
and Helle Smidt Mogensen and Niels Morling

**❖ In no case does this presentation imply a recommendation or endorsement  
of any of the methods.**

# Presentation Outline

- ❖ Introduce the community to the availability of *STR-validator*
- ❖ Evaluate parameters in *STR-validator* such as:
  - Analytical thresholds
  - Stochastic thresholds
  - Stutter percentage
  - Peak height ratios
  - Base-pair sizing precision
- ❖ Report Analysis using
  - Plots
  - Histograms
  - Heatmaps
  - Boxplots
  - Tables

# What is STR-Validator?



*Creator of STR-Validator*



Created by:  
Oskar Hansson, Department of Forensic Biology (NIPH, Norway)

General information and tutorials:  
<https://sites.google.com/site/forensicapps/strvalidator>

Facebook:  
[https://www.facebook.com/pages/STR-validator/240891279451450?ref=tn\\_tnmn](https://www.facebook.com/pages/STR-validator/240891279451450?ref=tn_tnmn)  
<https://www.facebook.com/groups/strvalidator/>

Please report bugs to:  
<https://github.com/OskarHansson/strvalidator/issues>

The source is hosted at GitHub:  
<https://github.com/OskarHansson/strvalidator>

← *Online Resources*

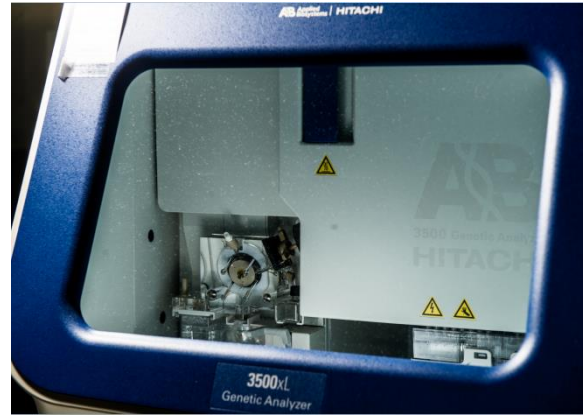
## *STR-validator Graphical User Interface (GUI)*

- ❖ easy to use
- ❖ can greatly ↑ *speed of validation*

❖ *Should I be knowledgeable about programming? .. Not at all.*

License

## 3500xl Genetic Analyzer (24-Cappillary Array)



Conditions selected for internal validation testing:

- ❖ 29 PCR cycles
- ❖ 15 second injection at 1.2 kV

# *Analysis of Internal Validation Study Experiments*

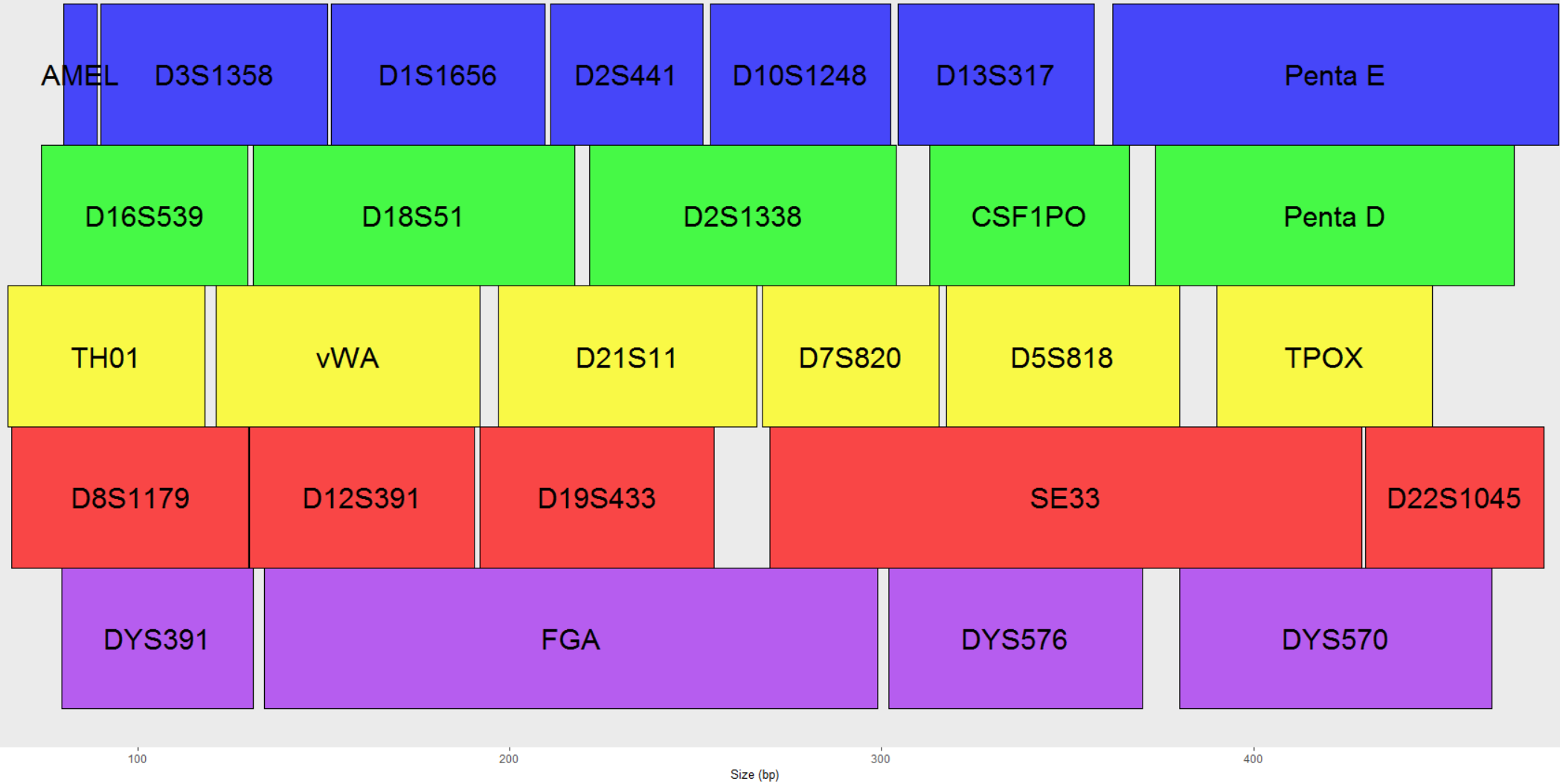
*Using PowerPlex Fusion 6C and STR-Validator*

Materials related to this presentation will be uploaded to *STRbase*  
<http://www.cstl.nist.gov/strbase/training.htm>

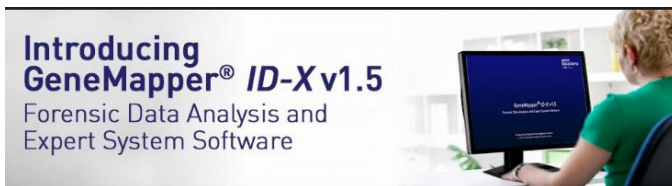
*When I return to NIST*

Marker size range

PowerPlex Fusion 6C



# How to Prepare the Data for Analysis?

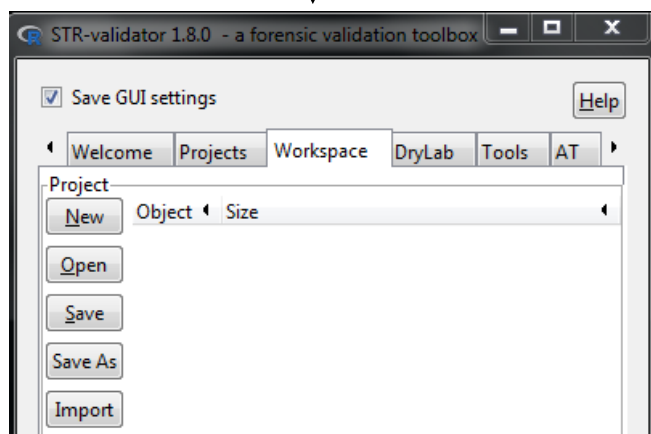


*Export (.txt)*

Sample Name	Marker	Dye	Allele 1	Allele 2	Allele 3	Allele 4	Allele 5	Allele 6	Allele 7	Allele 8	Allele 9	Allele 10	Allele 11	Allele 12	Allele 13	Allele 14	Allele 15	Allele 16
29_0.03ng	AMEL	B																
29_0.03ng	D3S1358	B	OL	OL		9	OL	OL	OL			17.2						
29_0.03ng	D1S1656	B	OL	OL	OL		OL	OL	OL	OL								
29_0.03ng	D2S441	B	OL	OL		11.3	OL		17									
29_0.03ng	D10S1248	B	OL	OL		16	OL	OL										
29_0.03ng	D13S317	B	OL	OL		8.1	OL	OL										
29_0.03ng	Penta E	B	OL	OL	OL	OL	OL	OL	OL	OL	OL	25	26					
29_0.03ng	D16S539	G	OL	OL			11.3											
29_0.03ng	D18S51	G	OL		9.2	OL		15.2	OL		21.1	OL	OL	OL	OL			
29_0.03ng	D2S1338	G	OL	OL		23	OL	OL	OL									
29_0.03ng	CSF1PO	G																
29_0.03ng	Penta D	G	OL		7.4	OL	OL		17	OL	OL	OL	OL					
29_0.03ng	TH01	Y	OL		7.3		8.3	OL	10	OL	OL	OL	OL					
29_0.03ng	vWA	Y	OL		17	OL	OL	OL										
29_0.03ng	D21S11	Y	OL	OL		30.3	OL		33.1		35							
29_0.03ng	D7S820	Y		6	8.1		9	10.1	12.1		13							
29_0.03ng	D5S818	Y	OL	OL	OL	OL	OL		12	12.1	OL							

Semi-Wide type of table Format = *Unstacked Data*

*Import (.txt)*



*Slim* →

Sample.Name	Marker	Dye	Allele	Size	Height
29_0.03ng	AMEL	B	NA	NA	NA
29_0.03ng	D3S1358	B	OL	92.06	8
29_0.03ng	D3S1358	B	OL	95.36	6
29_0.03ng	D3S1358	B	9	96.68	11
29_0.03ng	D3S1358	B	OL	101.95	9
29_0.03ng	D3S1358	B	OL	113.26	10
29_0.03ng	D3S1358	B	OL	130.44	6
29_0.03ng	D3S1358	B	17.2	133.66	4
29_0.03ng	D1S1656	B	OL	155.95	9
29_0.03ng	D1S1656	B	OL	158.42	8
29_0.03ng	D1S1656	B	OL	162.86	7
29_0.03ng	D1S1656	B	OL	164.83	8
29_0.03ng	D1S1656	B	OL	175.23	7
29_0.03ng	D1S1656	B	OL	179.7	7
29_0.03ng	D1S1656	B	OL	200.71	3
29_0.03ng	D2S441	B	OL	210.62	8
29_0.03ng	D2S441	B	OL	223.02	8
29_0.03ng	D2S441	B	11.3	227.1	8
29_0.03ng	D2S441	B	OL	240.29	8
29_0.03ng	D2S441	B	17	249.25	5
29_0.03ng	D10S1248	B	OL	257.96	6
29_0.03ng	D10S1248	B	OL	261.41	6
29_0.03ng	D10S1248	B	16	287.47	7
29_0.03ng	D10S1248	B	OL	290.03	7
29_0.03ng	D10S1248	B	OL	294.63	7
29_0.03ng	D13S317	B	OL	307.63	8
29_0.03ng	D13S317	B	OL	310.92	8

Semi-long narrow type of table Format = *Slim or Stacked data*

❖ *STR-validator* format



# The Analytical Threshold

## SWGAM Autosomal STR Interpretation Guidelines

### Analytical Threshold

- ❖ Peaks at and above this threshold can be **reliably distinguished from background noise** and are generally considered either artifacts or true alleles.

# Experimental Design

- Three unique samples selected (29, 31, 32)
  - High levels of heterozygosity
  
- Run in triplicate
  - Three unique amplifications of the serial dilutions
  
- Dilution points
  - 2.0 ng, 1.0 ng, 0.5 ng, 0.25 ng, 0.125 ng, 0.0625 ng, 0.03 ng, 0.015 ng, and 0.008ng
  
- Analyzed in GeneMapper ID-X at 1 RFU in all dye channels
  
- Export the SamplePlotSizingTable.txt from GeneMapper with at least the following information: “Dye/Sample Peak”, “Sample.FileName”, “Marker”, “Allele”, “Height”, and “Data.Point”.

	1	2	3	4	5	6	7	8	9
A	2.00	+	2.00	-	2.00	Ladder	2.00	Ladder	2.00
B	1.00	0.03	1.00	0.03	1.00	0.03	1.00	0.03	1.00
C	0.50	0.06	0.50	0.06	0.50	0.06	0.50	0.06	0.50
D	0.25	0.13	0.25	0.13	0.25	0.13	0.25	0.13	0.25
E	0.13	0.25	0.13	0.25	0.13	0.25	0.13	0.25	0.13
F	0.06	0.50	0.06	0.50	0.06	0.50	0.06	0.50	0.06
G	0.03	1.00	0.03	1.00	0.03	1.00	0.03	1.00	0.03
H	Ladder	2.00	-	2.00	+	2.00	-	2.00	+
	Sample 29			Sample 31			Sample 32		

	1	2	3	4	5	6	7	8	9
A	0.50	+	0.50	-	0.50	Ladder	0.50	Ladder	0.50
B	0.25	0.50	0.25	0.50	0.25	0.50	0.25	0.50	0.25
C	0.13	0.25	0.13	0.25	0.13	0.25	0.13	0.25	0.13
D	0.06	0.13	0.06	0.13	0.06	0.13	0.06	0.13	0.06
E	0.03	0.06	0.03	0.06	0.03	0.06	0.03	0.06	0.03
F	0.015	0.03	0.015	0.03	0.015	0.03	0.015	0.03	0.015
G	0.008	0.015	0.008	0.015	0.008	0.015	0.008	0.015	0.008
H	Ladder	0.008	-	0.008	+	0.008	-	0.008	+
	Sample 29			Sample 31			Sample 32		

# Analytical Threshold Most Commonly Determined by:

- Calculating baseline noise values from sensitivity dilution series
  - Threshold set at 1 RFU for all dye channels
  - Calls for all alleles and artifacts (stutter, n+4, pull-up, etc.) are removed

Sample Name	Amount	Marker	Dye	Height 1	Height 2	Height 3	Height 4	Height 5	Height 6	Height 7	Height 8	Height 9	Height 10	Height 11	Height 12	Height 13	Height 14	Height 15	Height 16	Height 17	Height 18	Height 19	Height 20	Height 21
A0.03	0.03	D3S1358	B	3	3	9	2	10	8	2	3	4												
A0.03	0.03	TH01	B	8	7	2	2	2	5	3	2													
A0.03	0.03	D21S11	B	3	2	7	8	6	2															
A0.03	0.03	D18S51	B	3	5	3	3	3	10	10	7	3	1	2										
A0.03	0.03	Penta E	B	9	3	5	3	3	2	3	3	2	2	2	1	2								
A0.03	0.03	D5S818	G	3	11	9	3	2	4															
A0.03	0.03	D13S317	G	12	7	2																		
A0.03	0.03	D7S820	G	3	3	16	4																	
A0.03	0.03	D16S539	G	8																				
A0.03	0.03	CSF1PO	G	6	5	2	4	2																
A0.03	0.03	Penta D	G	2	2	3	3	7	7	3	1	4	2											
A0.03	0.03	AMEL	Y																					
A0.03	0.03	VWA	Y	5	9	3	2	3																
A0.03	0.03	D8S1179	Y	3	3	2	21	2	3															
A0.03	0.03	TPOX	Y	1	3	4	3	15																
A0.03	0.03	FGA	Y	4	4	2	3	2	7	5	4	2	6	5	6	3	6	4	3	2	2	2	3	
A0.03	0.03	D3S1358	B	2	6	3																		
A0.03	0.03	TH01	B	3	2	3	2	1	2															
A0.03	0.03	D21S11	B	2	2	6	3	1	3															
A0.03	0.03	D18S51	B	2	2	2	2	1	2	2	4	3	3	1										
A0.03	0.03	Penta E	B	2	2	3	1	1	2															
A0.03	0.03	D5S818	G	2																				
A0.03	0.03	D13S317	G	2	2	2	4	3	4															
A0.03	0.03	D7S820	G	3	13	12	1																	
A0.03	0.03	D16S539	G	2	2	2																		
A0.03	0.03	CSF1PO	G	1	2	2	2																	
A0.03	0.03	Penta D	G	1	3	2	2	1	1	2	2													
A0.03	0.03	AMEL	Y	2																				
A0.03	0.03	VWA	Y	5	2	5	2	3	4	3	4	2												
A0.03	0.03	D8S1179	Y	6	4	3	9	2	3															
A0.03	0.03	TPOX	Y	3	2	3	5	12																
A0.03	0.03	FGA	Y	2	2	3	2	3	4	2	3	2	2	1	4	2	3							
A0.06	0.06	D3S1358	B	11	2	3	2																	
A0.06	0.06	TH01	B	3	2	3	2	2																
A0.06	0.06	D21S11	B	5	2	2	2																	
A0.06	0.06	D18S51	B	2	4	3	8	4	3	3	4	18	4	2										
A0.06	0.06	Penta E	B	1	3	3	3	2	4	2	2	1	2	2	2	2	3	3	1					

- Export 100 alleles per locus for all samples into an excel sheet
- Calculate the AVERAGE and STDEV of noise per dye channel

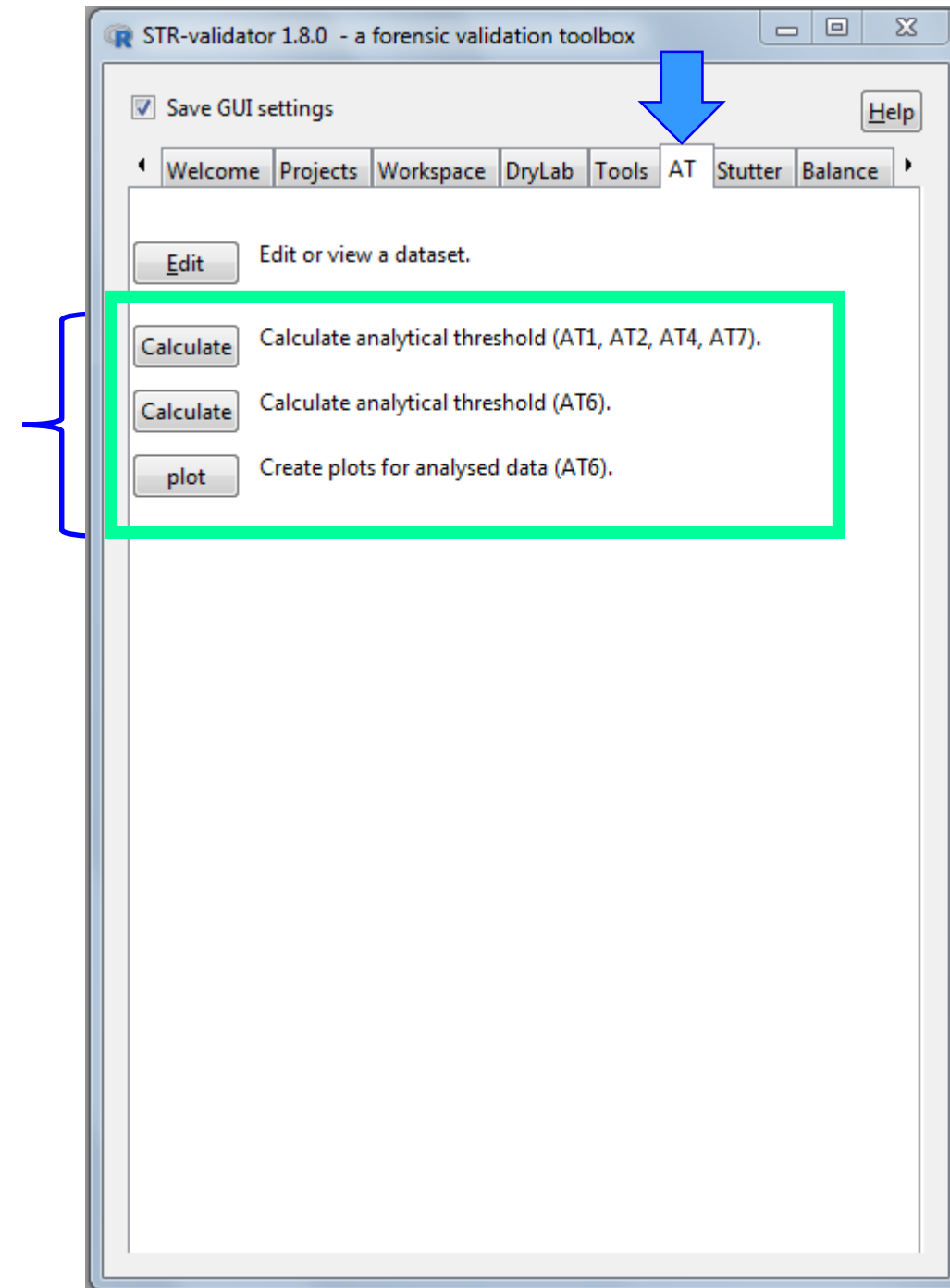
$$=AVERAGE('Raw Data Export (For Dye)!'R2C6:R484C105)$$

- $AT = AVERAGE\ noise + 10\ STDEV$

**How does Analytical Threshold(s) get Calculated in STR-validator?**

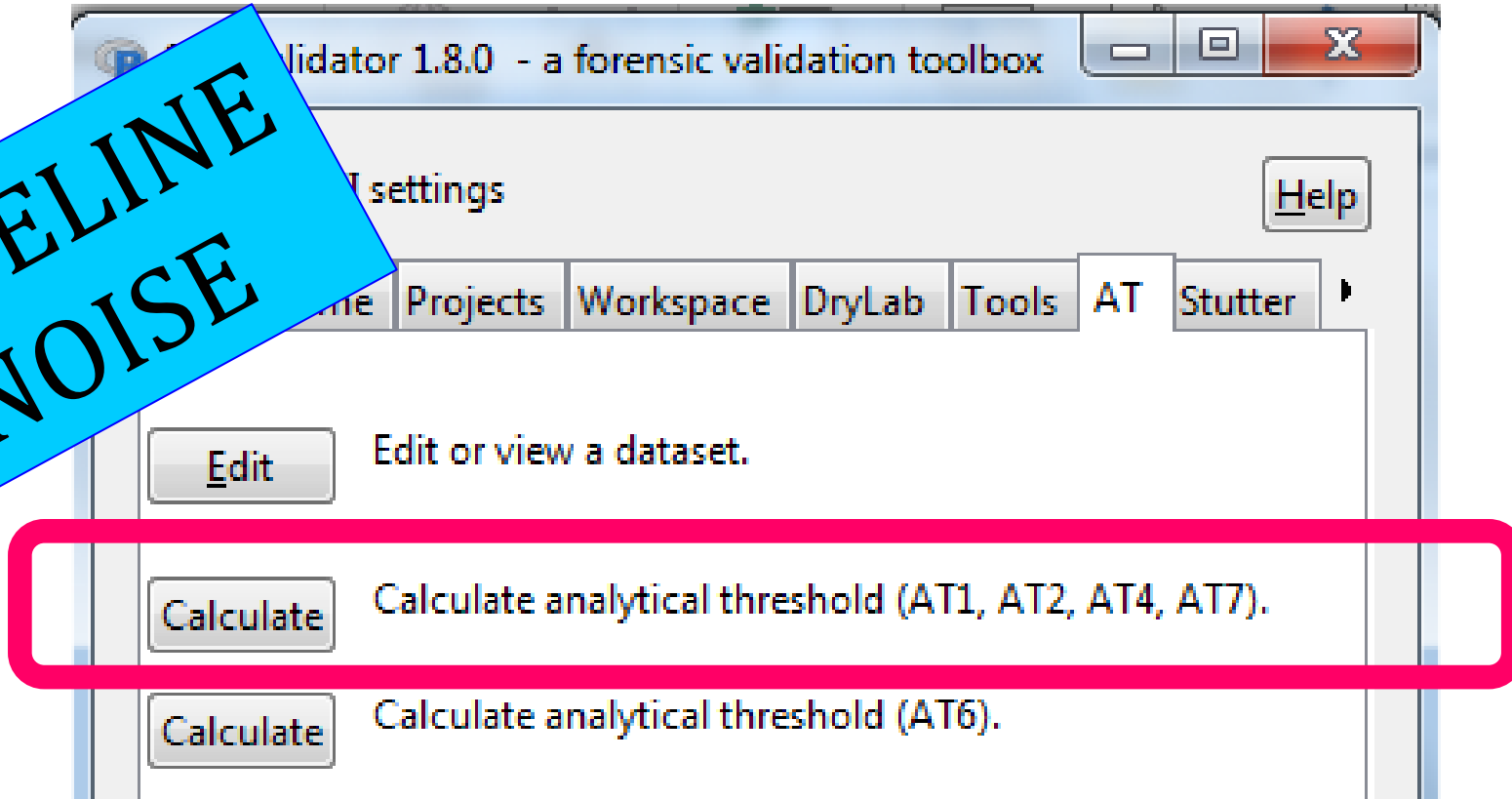
# The Analytical Threshold in STR-validator

- ❖ Different methods for analytical threshold calculations
- ❖ Users can plot the analyzed data
- ❖ Methods 1, 2, 4, and 7 are calculated simultaneously (except for method 6)



# The Analytical Threshold (Methods 1, 2, 4, 7)

**BASELINE  
NOISE**



## TECHNICAL NOTE

## CRIMINALISTICS

*Joli Bregu,<sup>1</sup> B.S.; Danielle Conklin,<sup>1</sup> M.S.; Elisse Coronado,<sup>1</sup> M.S.; Margaret Terrill,<sup>1</sup> M.S.F.S.; Robin W. Cotton,<sup>1</sup> Ph.D.; and Catherine M. Grgicak,<sup>1</sup> Ph.D.*

# Analytical Thresholds and Sensitivity: Establishing RFU Thresholds for Forensic DNA Analysis<sup>\*,†</sup>

**ABSTRACT:** Determining appropriate analytical thresholds (ATs) for forensic DNA analysis is critical to maximize allele detection. In this study, six methods to determine ATs for forensic DNA purposes were examined and compared. Four of the methods rely on analysis of the baseline noise of a number of negatives, while two utilize the relationship between relative fluorescence unit signal and DNA input in the polymerase chain reaction (PCR) derived from a dilution series ranging from 1 to 0.06 ng. Results showed that when a substantial mass of DNA (i.e., >1 ng) was amplified, the baseline noise increased, suggesting the application of an AT derived from negatives should only be applied to samples with low levels of DNA. Further, the number and intensity of these noise peaks increased with increasing injection times, indicating that to maximize the ability to detect alleles, ATs should be validated for each post-PCR procedure employed.

**KEYWORDS:** forensic science, minimum distinguishable signal, minimum discernible signal, forensic DNA analysis, analytical threshold, signal to noise

\*AT1

\*AT2

\*AT4

\*AT6



Contents lists available at ScienceDirect

## Forensic Science International: Genetics

journal homepage: [www.elsevier.com/locate/fsig](http://www.elsevier.com/locate/fsig)



Research paper

### Probabilistic characterisation of baseline noise in STR profiles



Ullrich J. Mönich<sup>a,\*</sup>, Ken Duffy<sup>d</sup>, Muriel Médard<sup>a</sup>, Viveck Cadambe<sup>c</sup>, Lauren E. Alfonse<sup>b</sup>, Catherine Grgicak<sup>b</sup>

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#### ABSTRACT

There are three dominant contributing factors that distort short tandem repeat profile measurements, two of which, stutter and variations in the allelic peak heights, have been described extensively. Here we characterise the remaining component, baseline noise. **A probabilistic characterisation of the non-allelic noise peaks is not only inherently useful for statistical inference but is also significant for establishing a detection threshold.** We do this by analysing the data from 643 single person profiles for the Identifiler Plus kit and 303 for the PowerPlex 16 HS kit. This investigation reveals that although the dye colour is a significant factor, it is not sufficient to have a per-dye colour description of the noise. Furthermore, we show that at a per-locus basis, out of the Gaussian, log-normal, and gamma distribution classes, baseline noise is best described by log-normal distributions and provide a methodology for setting an analytical threshold based on that deduction. In the PowerPlex 16 HS kit, we observe evidence of significant stutter at two repeat units shorter than the allelic peak, which has implications for the definition of baseline noise and signal interpretation. **In general, the DNA input mass has an influence on the noise distribution. Thus, it is advisable to study noise and, consequently, to infer quantities like the analytical threshold from data with a DNA input mass comparable to the DNA input mass of the samples to be analysed.**

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\*AT7



# $AT_1$

- Numerical factor
- Chosen in accordance with the confidence level desired

$$AT_{M1} = \bar{Y}_{bl} + k s_{bl}$$

*The analytical threshold calculated  
using Method 1*

*Average RFU signal*

*Standard deviation of the signal*

## REFERENCE

J. Bregu et al. Analytical Thresholds and Sensitivity: Establishing RFU Thresholds for Forensic DNA Analysis. JFS (2013) 1 pg 120-129.

# $AT_2$

*number of noise signals up to and including  $r$  RFU*

$$AT_{M2} = r, \text{ when } \frac{n_{s \leq r}}{N} > t$$

*chosen rank threshold in accordance with the confidence level desired*

*RFU Signal*

*total number of noise signals*

*AT calculated using this method is chosen at a percentile rank of >99%*

## REFERENCE

J. Bregu et al. Analytical Thresholds and Sensitivity: Establishing RFU Thresholds for Forensic DNA Analysis. JFS (2013) 1 pg 120-129.

# $AT_4$

$$AT_{M4} = \bar{Y}_{bl} + t_{\alpha,v} (1 + 1/n_{bl})^{1/2} s_{Y,bl}$$



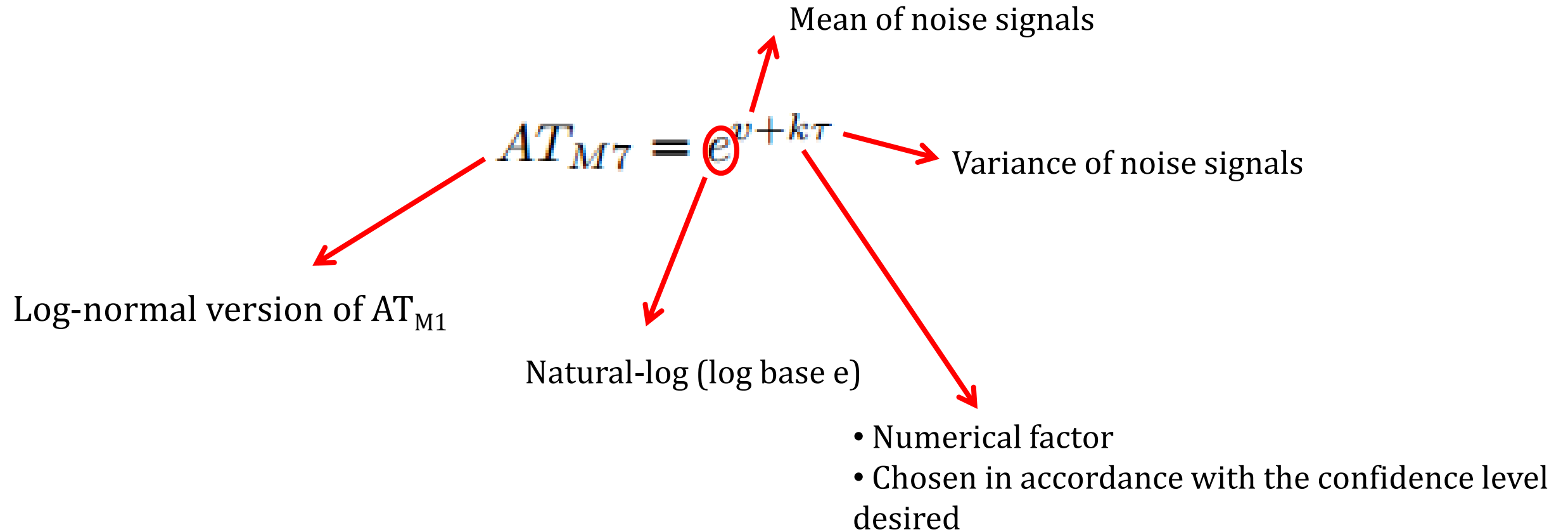
*value obtained from the t-distribution for a given confidence interval “ $\alpha$ ”(one-sided)*

*number of samples*

## **REFERENCE**

J. Bregu et al. Analytical Thresholds and Sensitivity: Establishing RFU Thresholds for Forensic DNA Analysis. JFS (2013) 1 pg 120-129.

***AT*<sub>7</sub>**



**REFERENCE**

Ullrich J. Monich, Ken Duy, Muriel Medard, Viveck Cadambe, Lauren E. Alfonse, and Catherine Grgicak. Probabilistic characterisation of baseline noise in STR proles. Forensic Science International: Genetics

# Mask Allele, Stutter, and Artifact Peaks

## DATA MASKING

### Options

Ignore case

Add word boundaries

Mask high peaks

Mask all peaks above (RFU):

200

Mask sample alleles

Range (data points) around known alleles:

45

Mask sample alleles per dye channel

Mask ILS peaks

Range (data points) around known peak:

20

Confidence level 'k' (AT1, AT7):

3

Percentile rank threshold (AT2):

0.99

Upper confidence 'alpha' (AT4):

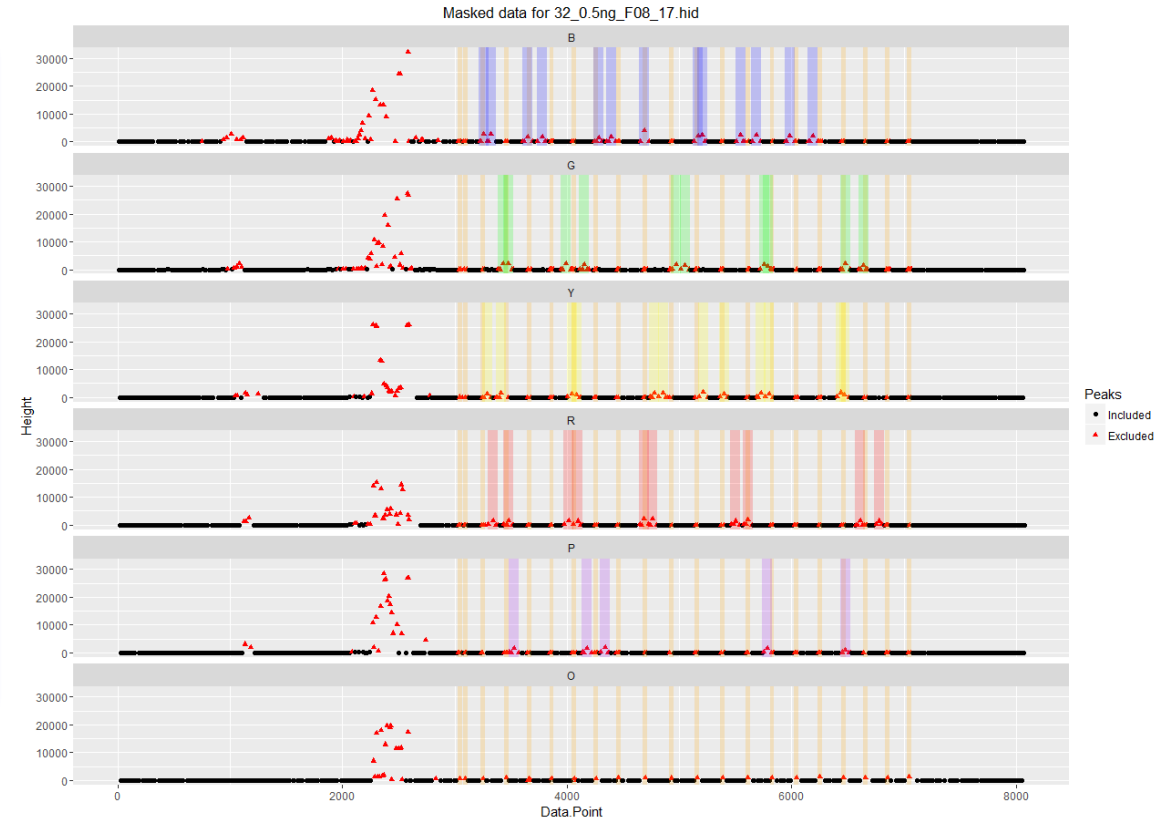
0.01

$$AT_{M1} = \bar{Y}_{bl} + k s_{bl}$$

$$AT_{M7} = e^{v + k\tau}$$

$$AT_{M2} = r, \text{ when } \frac{n_{s \leq r}}{N} > t$$

$$AT_{M4} = \bar{Y}_{bl} + t_{\alpha, v} (1 + 1/n_{bl})^{1/2} s_{Y, bl}$$



## Analysis of AT1, AT2, AT4, and AT7 in *STR-validator*

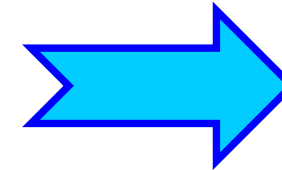
DNA Dilution Series Data	AT1 (k=3)	AT1 (k=10)	AT2	AT4	AT7 (k=3)
Blue	62	185	108	67	53
Green	66	190	115	71	60
Yellow	51	154	93	55	34
Red	54	156	80	58	42
Purple	58	174	107	63	38

# The Analytical Threshold

## Method 6

1. Analyze samples in *GeneMapper* at your AT
2. Export **-GenotypeTable.txt** from GeneMapper with at least the following information: **“Sample.Name”, “Marker”, “Allele” and “Height”**.

Import, from one or several batches of sensitivity studies



Calculate analytical threshold

Save GUI settings Help

- Datasets

Select dataset: <Select dataset> 0 samples

Select reference dataset: <Select dataset> 0 references

Select amount dataset: <Select dataset> 0 samples

- Options

NB! This is an indirect method not recommended.  
See 'Help' or reference for limitations.

ignore case

Linear regression

Weighted linear regression

Significance level:

0.05

Save as

Name

Relationship between RFU signal and DNA input

# Stochastic Threshold

## SWGAM Autosomal STR Interpretation Guidelines

### *Stochastic Threshold:*

- Is the **RFU value above** which it is reasonable to assume that, at a given locus, **allelic dropout of a sister allele has not occurred**.
- Minimizes the chance of wrongly deciding a heterozygous locus as a homozygous one.



# Experimental Design

- Three unique samples selected
  - High levels of heterozygosity
  
- Run in triplicate
  - Three unique amplifications of the serial dilutions
  
- Dilution points
  - 2.0 ng, 1.0 ng, 0.5 ng, 0.25 ng, 0.125 ng, 0.0625 ng, 0.03 ng, 0.015 ng, and 0.008ng
  
- Analyzed at your AT
  
- Export **\_GenotypeTable.txt** from GeneMapper with at least the following information: **"Sample.Name"**, **"Marker"**, **"Height"**, and **"Allele"**.


	1	2	3	4	5	6	7	8	9
A	2.00	+	2.00	-	2.00	Ladder	2.00	Ladder	2.00
B	1.00	0.03	1.00	0.03	1.00	0.03	1.00	0.03	1.00
C	0.50	0.06	0.50	0.06	0.50	0.06	0.50	0.06	0.50
D	0.25	0.13	0.25	0.13	0.25	0.13	0.25	0.13	0.25
E	0.13	0.25	0.13	0.25	0.13	0.25	0.13	0.25	0.13
F	0.06	0.50	0.06	0.50	0.06	0.50	0.06	0.50	0.06
G	0.03	1.00	0.03	1.00	0.03	1.00	0.03	1.00	0.03
H	Ladder	2.00	-	2.00	+	2.00	-	2.00	+
	Sample 29			Sample 31			Sample 32		
Operator One									

	1	2	3	4	5	6	7	8	9
A	0.50	+	0.50	-	0.50	Ladder	0.50	Ladder	0.50
B	0.25	0.50	0.25	0.50	0.25	0.50	0.25	0.50	0.25
C	0.13	0.25	0.13	0.25	0.13	0.25	0.13	0.25	0.13
D	0.06	0.13	0.06	0.13	0.06	0.13	0.06	0.13	0.06
E	0.03	0.06	0.03	0.06	0.03	0.06	0.03	0.06	0.03
F	0.015	0.03	0.015	0.03	0.015	0.03	0.015	0.03	0.015
G	0.008	0.015	0.008	0.015	0.008	0.015	0.008	0.015	0.008
H	Ladder	0.008	-	0.008	+	0.008	-	0.008	+
	Sample 29			Sample 31			Sample 32		
Operator Two									


# Stochastic Threshold Most Commonly Determined as:

The RFU value of the **highest** surviving false homozygous peak per dye channel


	Blue	Green	Yellow	Red	Purple
min	62	68	51	55	58
max	402	219	223	319	154
average	125	118	98	115	90
stdev	62	42	45	61	33




Sample.Name	Marker	Allele	Height	Dropout	Rfu	Dye
B_30pg_39	D3S1358	15	402	1	402	B
B_63pg_40	AMEL	X	297	1	297	B
B_63pg_33	D10S1248	16	265	1	265	B
B_0.03ng_1	Penta E	10	239	1	239	B
A_15pg_23	D3S1358	14	231	1	231	B




Sample.Name	Marker	Allele	Height	Dropout	Rfu	Dye
B_63pg_40	D16S539	10	219	1	219	G
A_63pg_32	D18S51	12	199	1	199	G
A_15pg_23	D16S539	11	195	1	195	G
A_0.03ng_3	D18S51	14	188	1	188	G
A_0.03ng_1	CSF1PO	12	183	1	183	G



Sample.Name	Marker	Allele	Height	Dropout	Rfu	Dye
B_63pg_33	vWA	14	223	1	223	Y
C_0.06ng_5	D7S820	8	219	1	219	Y
B_15pg_24	D21S11	30	211	1	211	Y
A_8pg_22	D5S818	10	206	1	206	Y
B_0.03ng_2	TPOX	6	200	1	200	Y



Sample.Name	Marker	Allele	Height	Dropout	Rfu	Dye
C_0.06ng_4	D8S1179	11	319	1	319	R
C_30pg_24	SE33	14	295	1	295	R
A_30pg_31	D12S391	21	286	1	286	R
A_30pg_38	SE33	28.2	258	1	258	R
C_63pg_39	D19S433	13	256	1	256	R



Sample.Name	Marker	Allele	Height	Dropout	Rfu	Dye
B_30pg_32	FGA	23	154	1	154	P
B_30pg_25	FGA	18	144	1	144	P
C_15pg_37	FGA	25	129	1	129	P
A_30pg_38	FGA	22	103	1	103	P
B_0.03ng_2	FGA	23	97	1	97	P

**How does Stochastic Threshold(s) get Calculated in STR-validator?**

# Stochastic Threshold in STR-Validator

Forensic Science International: Genetics 6 (2012) 679–688



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Contents lists available at SciVerse ScienceDirect

Forensic Science International: Genetics

journal homepage: [www.elsevier.com/locate/fsig](http://www.elsevier.com/locate/fsig)



DNA commission of the International Society of Forensic Genetics:  
Recommendations on the **evaluation of STR typing results that may include drop-out and/or drop-in using probabilistic methods**

P. Gill<sup>a,b,\*</sup>, L. Gusmão<sup>c</sup>, H. Haned<sup>d</sup>, W.R. Mayr<sup>e</sup>, N. Morling<sup>f</sup>, W. Parson<sup>g</sup>, L. Prieto<sup>h</sup>,  
M. Prinz<sup>i</sup>, H. Schneider<sup>j</sup>, P.M. Schneider<sup>k</sup>, B.S. Weir<sup>l</sup>

Forensic Science International: Genetics 3 (2009) 104–111



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Contents lists available at ScienceDirect

Forensic Science International: Genetics

journal homepage: [www.elsevier.com/locate/fsig](http://www.elsevier.com/locate/fsig)



**The low-template-DNA (stochastic) threshold—Its determination relative to risk analysis for national DNA databases**

Peter Gill<sup>a,b,\*</sup>, Roberto Puch-Solis<sup>c</sup>, James Curran<sup>d</sup>

STR-validator 1.8.0.9002 - a forensic validation tool

Save GUI settings Help

Tools AT Stutter Balance Concordance **Dropout** Mixture Result Precision

Edit Edit or view a dataset.

Calculate Calculate dropouts for a dataset.

Model Model dropout risk

plot Create plots for analysed data

- Defined by estimating the **probability of drop-out** relative to **peak height** of the surviving heterozygote peak (Gill et al).
- Determined by **logistic regression** of a **sensitivity study samples**.

# Four Methods to Score Drop-out Alleles

Calculate drop-out

Save GUI settings Help

Datasets

Select dataset: set 131 samples

Select reference dataset: REF 4 references

Check subsetting

Select the kit used: Fusion 6C

Options

Ignore case

Remove sex markers

Remove quality sensors

Calculate average peak height

Limit of detection threshold (LDT): 51

Drop-out scoring method for modelling of drop-out probabilities:

Score drop-out relative to the low molecular weight allele

Score drop-out relative to the high molecular weight allele

Score drop-out relative to a random allele

Score drop-out per locus

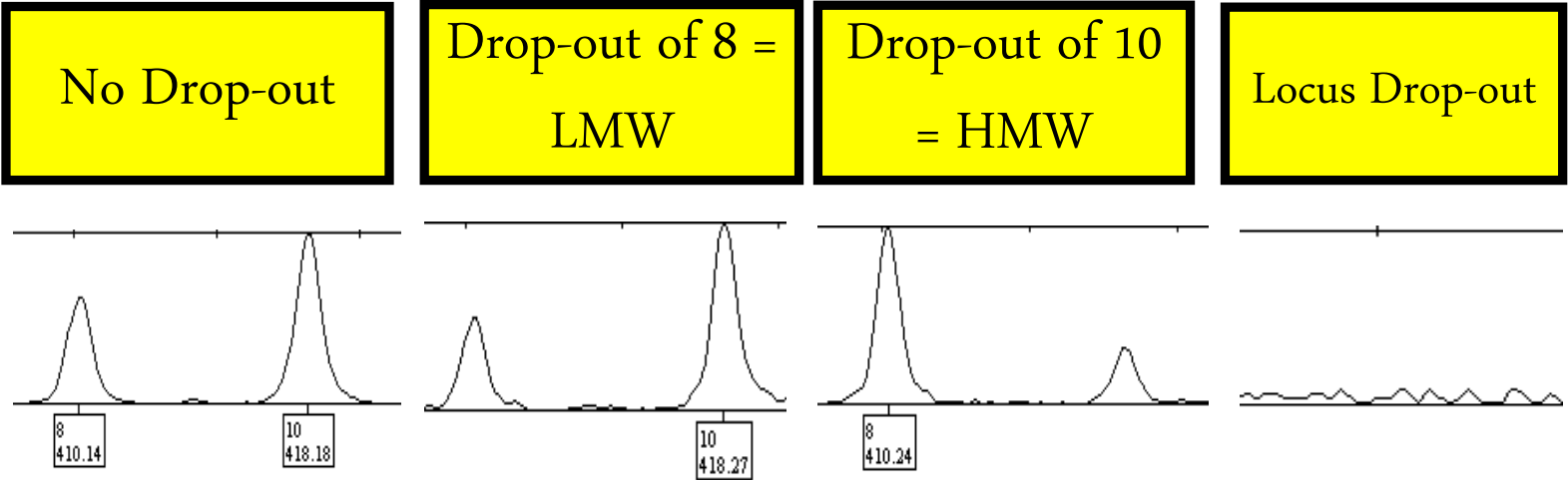
Save as

Name for result: set\_dropout

Calculate dropout

- ❖ Drop-Out= Allele with a peak height lower than the limit of detection threshold (LDT).
- ❖ LDT is not the AT. The lowest peak height in the dataset is automatically suggested in the 'Limit of Detection Threshold' field.

# Four Methods to Score Drop-Out Alleles



<b>Relative to Random Allele</b>	<i>No-dropout = 0</i>	<i>No Dropout/Drop-out = 0/1</i>	<i>No Dropout/Drop-out = 0/1</i>	<i>N/A</i>
<b>Relative to LMW</b>	<i>No-dropout = 0</i>	<i>No Dropout = 0</i>	<i>Drop-out = 1</i>	<i>N/A</i>
<b>Relative to HMW</b>	<i>No-dropout = 0</i>	<i>Drop-out = 1</i>	<i>No Dropout = 0</i>	<i>N/A</i>
<b>Relative to Locus</b>	<i>No-dropout = 0</i>	<i>Drop-out = 1</i>	<i>Drop-out = 1</i>	<i>Locus Drop-out = 2</i>

# Drop out Scoring Results

Scoring Methods discussed  
in the previous slide



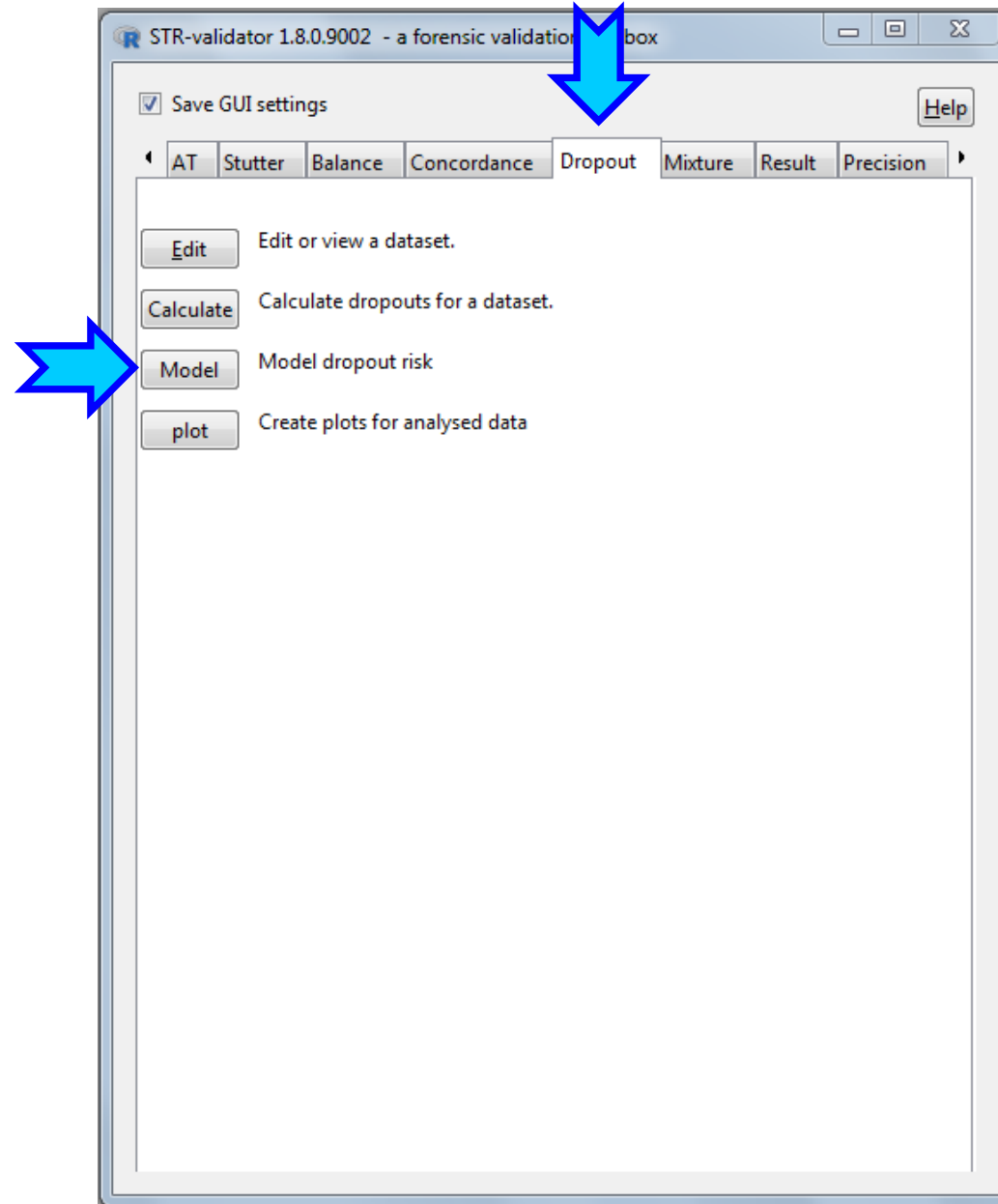
Sample.Name	Marker	Allele	Height	Dropout	Rfu	Heterozygous	MethodX	Method1	Method2	MethodL	MethodL.Ph	TPH	H	Peaks	Expected	Proportion
B_30pg_39	D3S1358	15	402	1	402	1	0	1	0	1	402	4662	116.55	37	50	0.74
C_0.06ng_4	D8S1179	11	319	1	319	1	1	1	0	1	319	12941	248.8654	48	50	0.96
B_63pg_40	AMEL	X	297	1	297	1	0	1	0	1	297	8824	173.0196	47	50	0.94
C_30pg_24	SE33	14	295	1	295	1	1	1	0	1	295	6720	146.087	42	50	0.84
A_30pg_31	D12S391	21	286	1	286	1	0	0	1	1	286	7016	152.5217	44	51	0.8627451
B_63pg_33	D10S1248	16	265	1	265	1	0	0	1	1	265	9742	187.3462	48	50	0.96
A_30pg_38	SE33	28.2	258	1	258	1	0	1	0	1	258	5648	125.5111	42	51	0.8235294
C_63pg_39	D19S433	13	256	1	256	1	0	1	0	1	256	10070	193.6538	48	50	0.96
B_0.03ng_1	Penta E	10	239	1	239	1	0	1	0	1	239	5058	126.45	38	50	0.76
A_15pg_23	D3S1358	14	231	1	231	1	1	1	0	1	231	3339	104.3438	30	51	0.5882353

TPH = Total Peak Height for each sample

$$H = \text{sum}(\text{peakheights}) / (n[\text{het}] + 2n[\text{hom}])$$

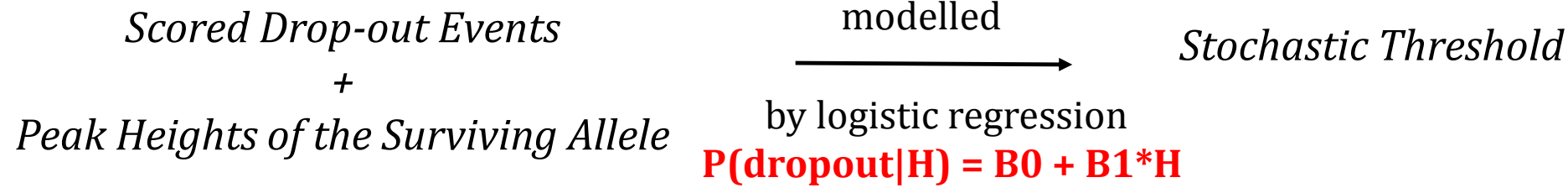
$$\text{Profile Proportion} = \frac{\# \text{ of Peaks}}{\# \text{ of Expected Peaks}}$$

# Model Drop-out

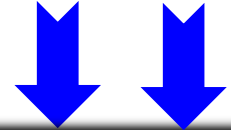




# Probability of Drop-out Modelled by Logistic Regression



This data is used for logistic regression



**Logistic Model for the Estimation of Pr(D):**

❖  $P(\text{dropout}|H) = B0 + B1 * H$

- ❑ H = is the height of the partner allele in RFU
- ❑  $P(\text{dropout}|H)$  = is the drop-out indicator of the allele of interest (either 0 or 1)
- ❑ B0 and B1 are estimated via logistic regression

Sample.Name	Marker	Allele	Height	MethodX
B_30pg_39	D3S1358	15	402	0
C_0.06ng_4	D8S1179	11	319	1
B_63pg_40	AMEL	X	297	0
C_30pg_24	SE33	14	295	1
A_30pg_31	D12S391	21	286	0
B_63pg_33	D10S1248	16	265	0

# Estimated Thresholds for 5% Risk of Drop-out

Plot dropout prediction

Save GUI settings Help

Dataset  
Select dataset:  and the kit used:

Options

Override automatic titles.

Plot title:

X title:

Y title:

Dataset peak height range: 36 - 12813 RFU

Log (Height)

Exclude sex markers

NB! Currently, the recommended methods are the first three options. The fourth alternative has not been evaluated by the DNA Commission. See 'Details' in 'Help' for more information.

Model drop-out from scoring method:

Relative a random allele and peak height of surviving allele

Relative the low molecular weight allele and peak height of surviving allele

Relative the high molecular weight allele and peak height of surviving allele

Relative the locus and peak height of surviving allele, or mean locus peak height

Use average peak height 'H' instead of allele/locus peak height

Print model

Drop-out prediction and threshold

Data points

Axes

NB! Must provide both min and max value.

Limit Y axis (min-max)

Limit X axis (min-max)

X labels

Plot drop-out data

Save as  
Name for result:  Save as object Save as image

Drop-out prediction and threshold

Mark threshold @ P(D):

Line type  Line colour

Print threshold value

Prediction interval:

Print conservative T value

Draw prediction interval: Alpha  Fill colour

# Estimated Thresholds for 5% Risk of Drop-out As A Function of Present-Allele Height

**R Plot dropout prediction**

Save GUI settings Help

Dataset  
 Select dataset: <Select dataset> and the kit used: Fusion 6C

Options

Override automatic titles.

Plot title:

X title:

Y title:

Dataset peak height range: - RFU

Log (Height)

Exclude sex markers

**NB! Currently, the recommended methods are the first three options. The fourth alternative has not been evaluated by the DNA Commission. See 'Details' in 'Help' for more information.**

Model drop-out from scoring method:

- Relative a random allele and peak height of surviving allele
- Relative the low molecular weight allele and peak height of surviving allele
- Relative the high molecular weight allele and peak height of surviving allele
- Relative the locus and peak height of surviving allele, or mean locus peak height

Use average peak height 'H' instead of allele/locus peak hight

Print model

Drop-out prediction and threshold

Data points

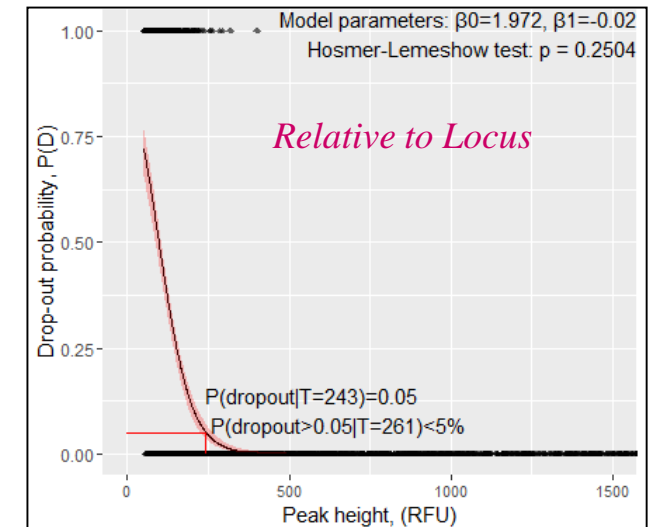
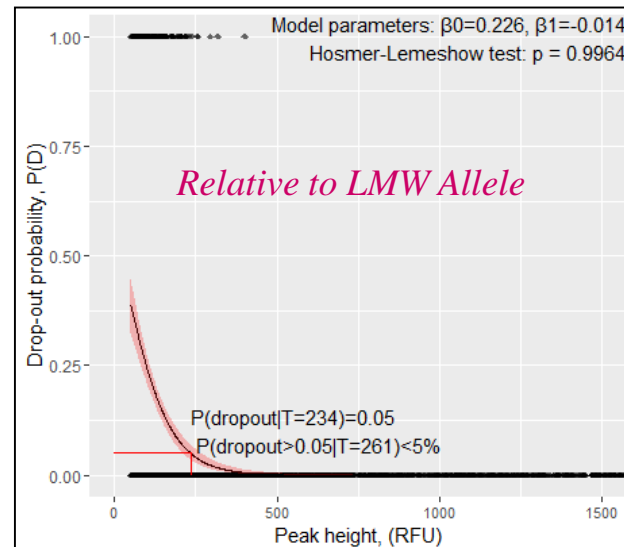
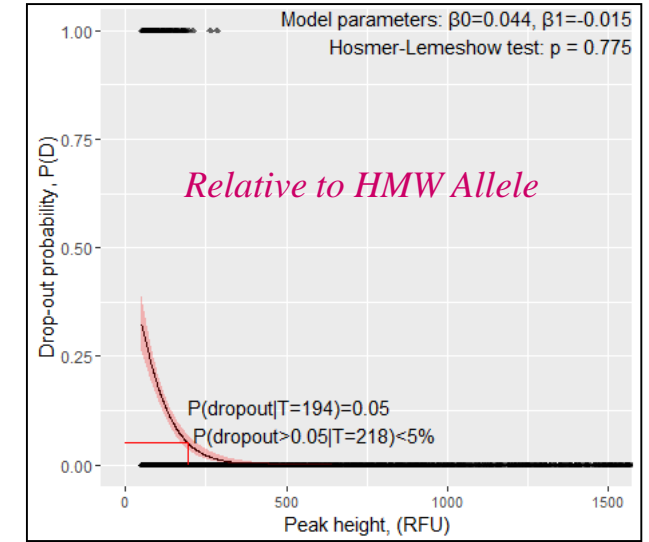
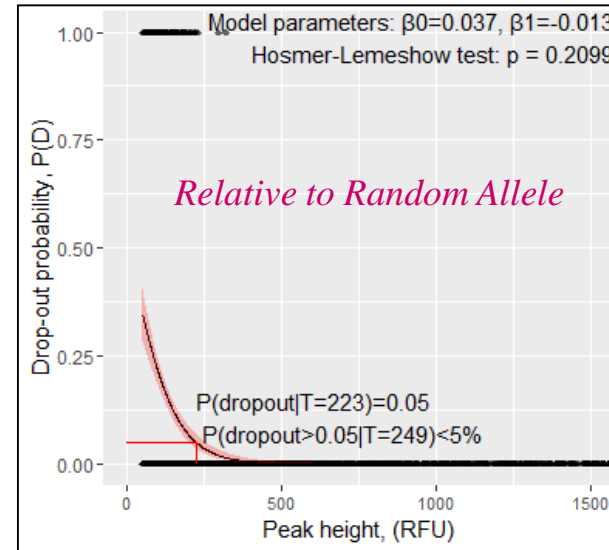
Axes

X labels

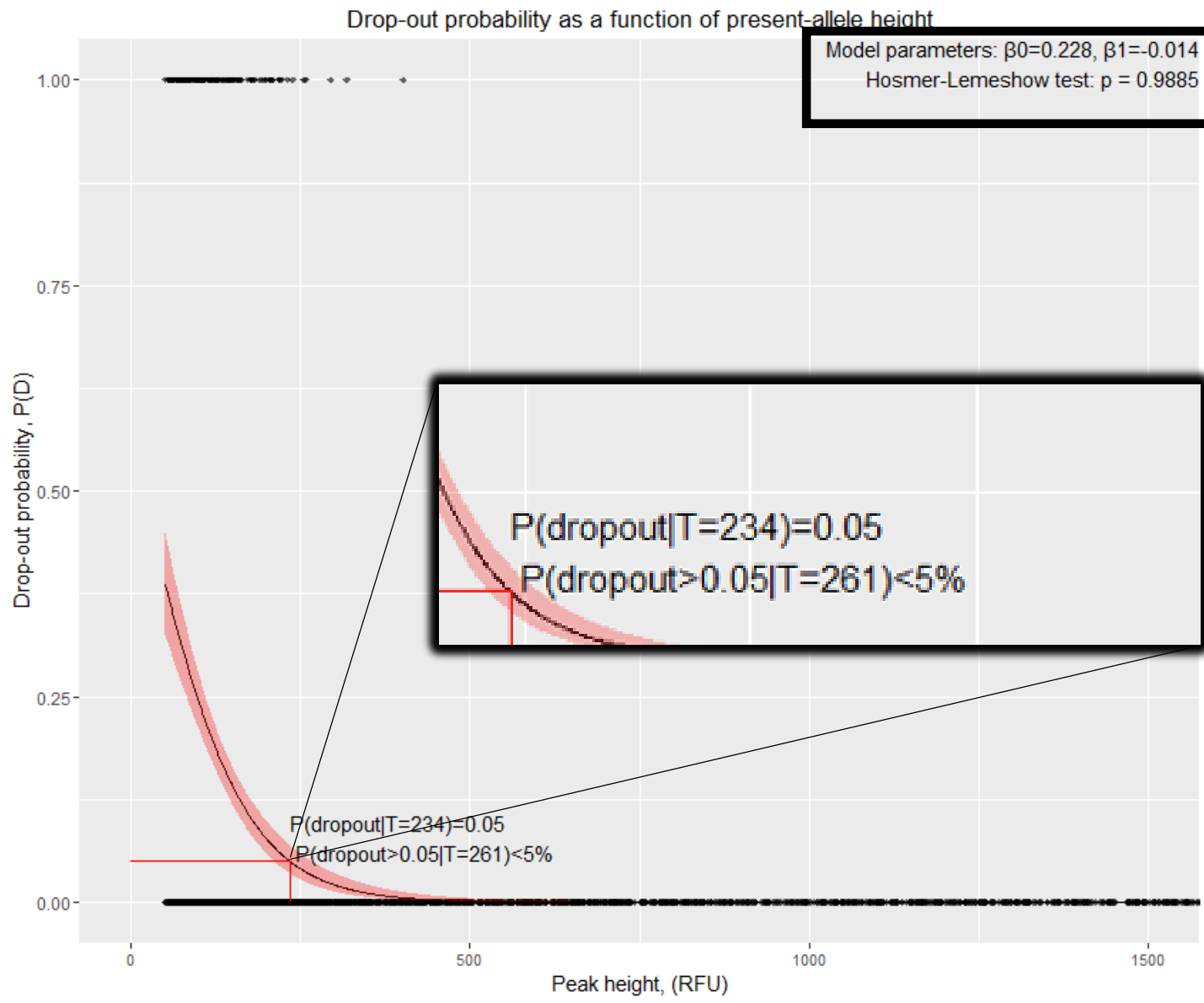
Plot drop-out data

Save as

Name for result:  Save as object Save as image



# Plot showing logistic regression for the drop-out data



- ❖ Hosmer-Lemeshow evaluates the goodness of fit for the logistic regression.
- ❖ This indicates a good fit to observed data.

- ❖ Probability of drop-out is 5% at 234 RFU.
- ❖ A conservative threshold is 261 RFU with < 5% risk for getting drop-out probability > 5 %.

# Estimated Thresholds for 1% Risk of Drop-out

Plot dropout prediction

Save GUI settings Help

Dataset  
Select dataset:  and the kit used:

Options

Override automatic titles.

Plot title:   
X title:   
Y title:

Dataset peak height range: 36 - 12813 RFU

Log (Height)  
 Exclude sex markers

NB! Currently, the recommended methods are the first three options. The fourth alternative has not been evaluated by the DNA Commission. See 'Details' in 'Help' for more information.

Model drop-out from scoring method:

Relative a random allele and peak height of surviving allele  
 Relative the low molecular weight allele and peak height of surviving allele  
 Relative the high molecular weight allele and peak height of surviving allele  
 Relative the locus and peak height of surviving allele, or mean locus peak height

Use average peak height 'H' instead of allele/locus peak height

Print model

Drop-out prediction and threshold

Data points

Axes

NB! Must provide both min and max value.

Limit Y axis (min-max)

Limit X axis (min-max)

X labels

Plot drop-out data

Save as  
Name for result:  Save as object Save as image

Mark threshold @ P(D):

Line type  Line colour

Print threshold value

Prediction interval:

Print conservative T value

Draw prediction interval: Alpha  Fill colour

# Estimated Thresholds for 1% Risk of Drop-out As A Function of Present-Allele Height

Plot dropout prediction

Save GUI settings Help

Dataset  
Select dataset: Set7\_dropout and the kit used: Fusion 6C

Options  
 Override automatic titles.  
Plot title:  
X title:  
Y title:

Dataset peak height range: 36 - 12813 RFU  
 Log (Height)  
 Exclude sex markers

NB! Currently, the recommended methods are the first three options. The fourth alternative has not been evaluated by the DNA Commission. See 'Details' in 'Help' for more information.

Model drop-out from scoring method:

- Relative a random allele and peak height of surviving allele
- Relative the low molecular weight allele and peak height of surviving allele
- Relative the high molecular weight allele and peak height of surviving allele
- Relative the locus and peak height of surviving allele, or mean locus peak height

Use average peak height 'H' instead of allele/locus peak height  
 Print model

Drop-out prediction and threshold  
 Data points  
 Axes

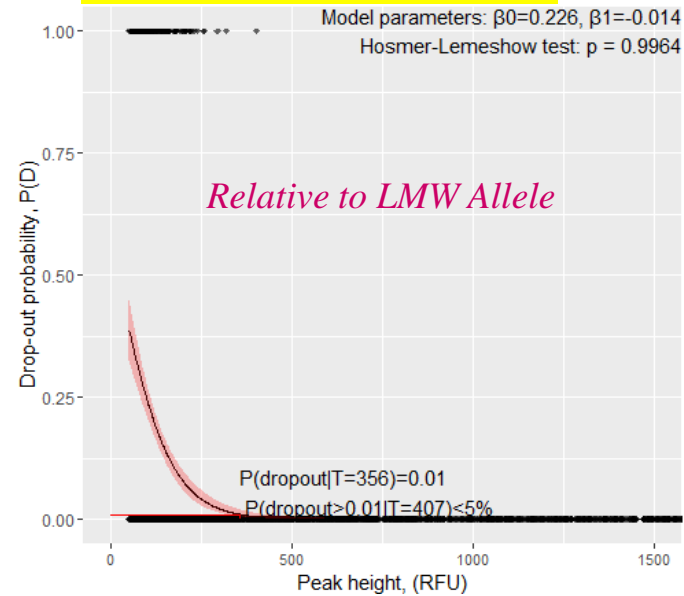
NB! Must provide both min and max value.  
Limit Y axis (min-max):  
Limit X axis (min-max): 0 1500

X labels

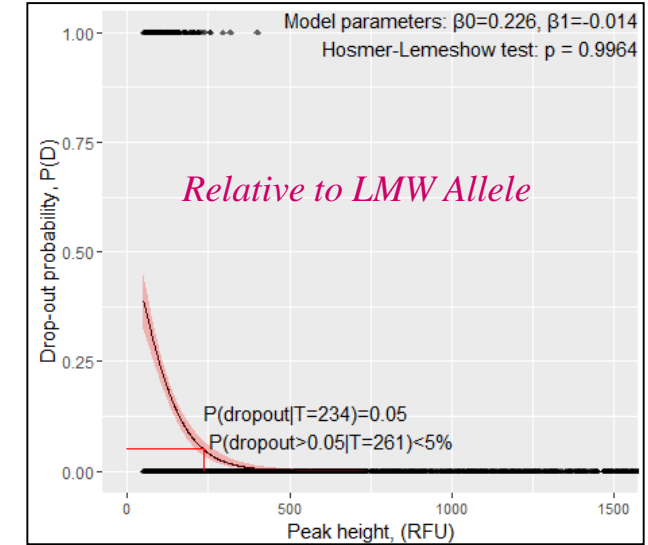
Plot drop-out data:  
Plot predicted drop-out probability

Save as:  
Name for result: Set7\_dropout\_ggplot Save as object Save as image

## 1 % Risk of Drop-Out



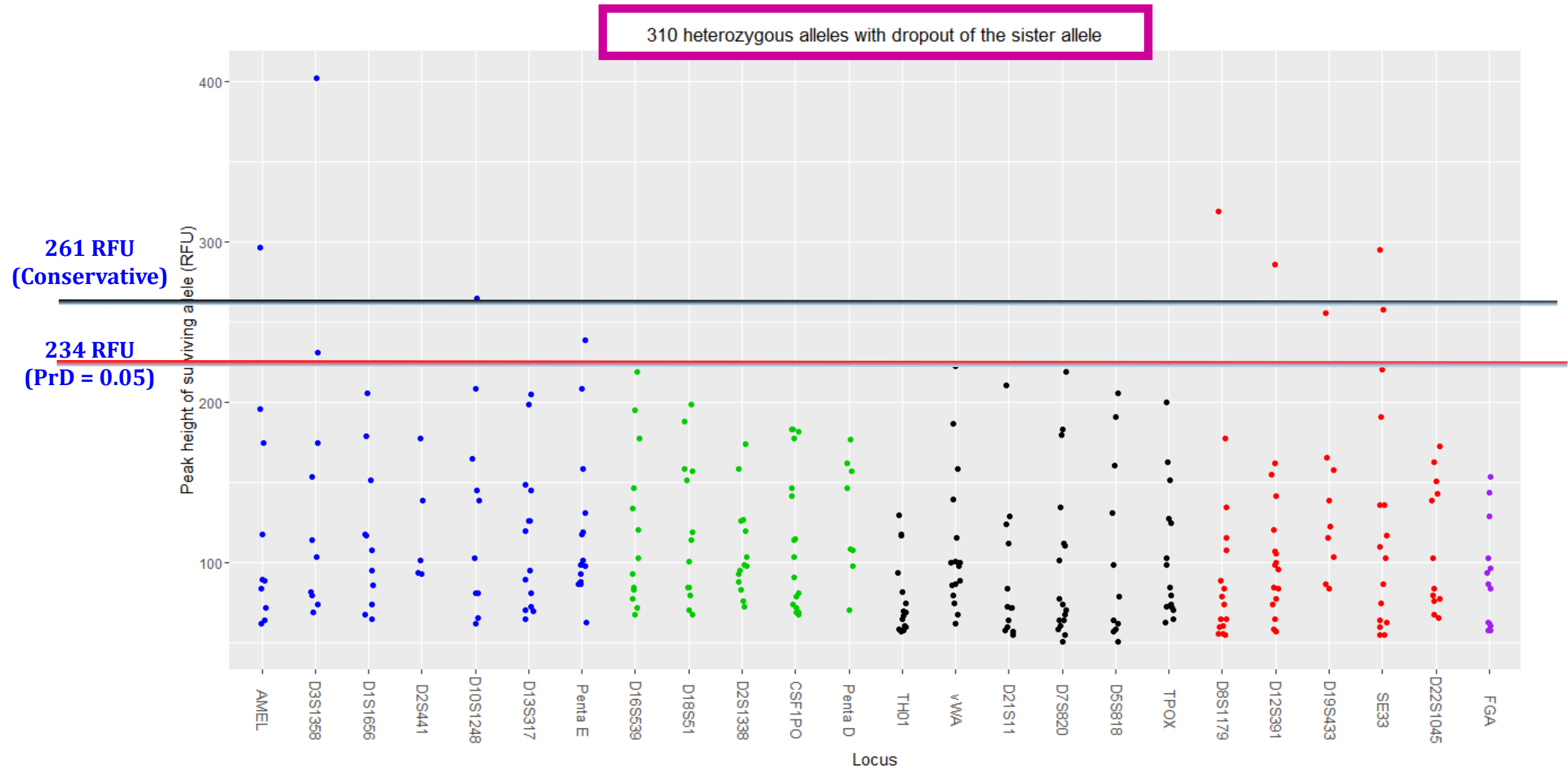
## 5 % Risk of Drop-Out



- ❖ Probability of drop-out is 1% at 356 RFU.
- ❖ A conservative threshold is 407 RFU with < 5% risk for getting drop-out probability > 1%.

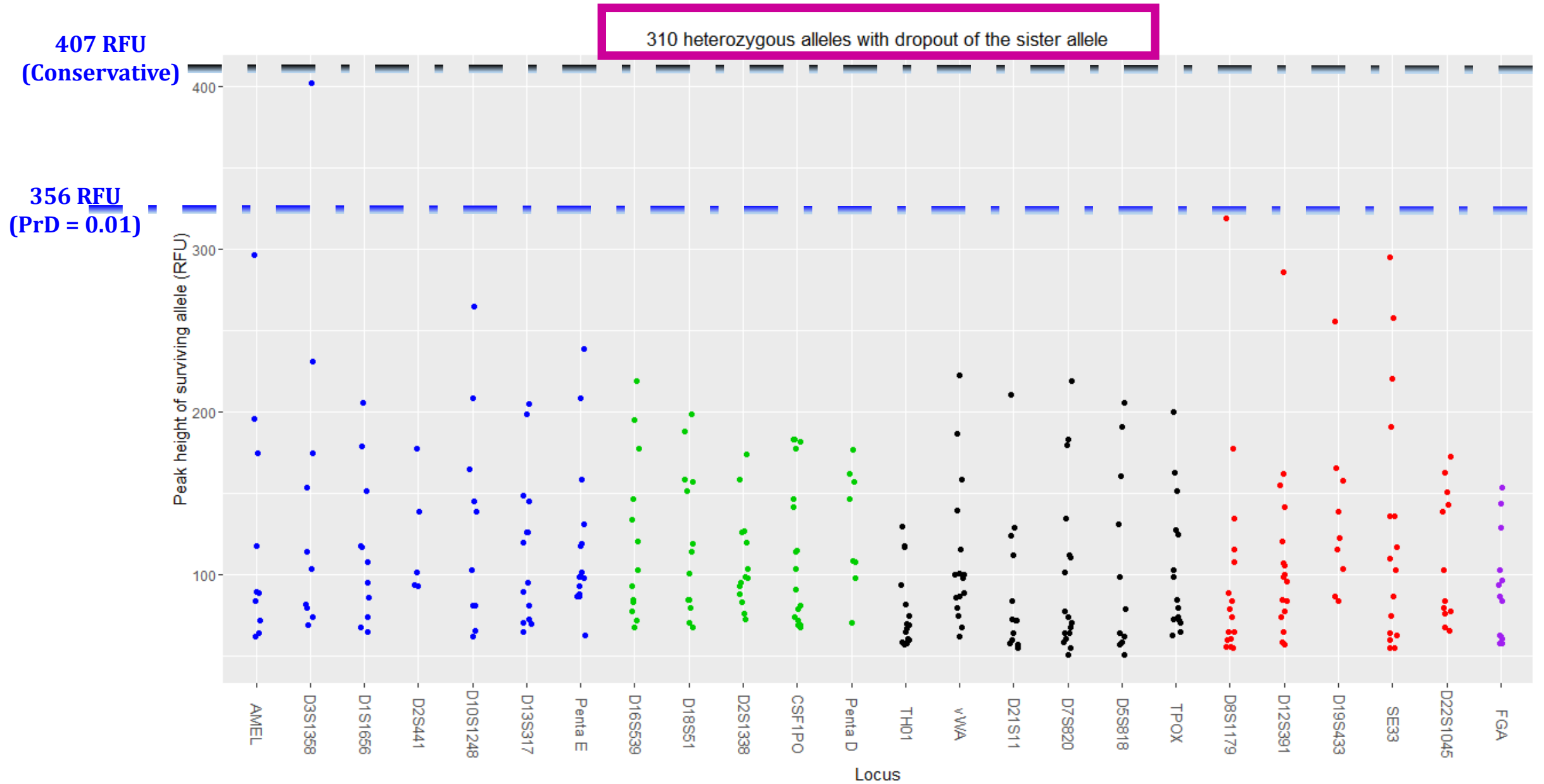
**How do these Thresholds Compare to the Observed Data?**

# Drop out Events by Marker





# Drop out Events by Marker



# Stochastic Threshold in STR-Validator

Journal of the  
Royal Statistical Society

SERIES C  
Applied  
Statistics



*Appl. Statist.* (2010)  
59, Part 5, pp. 855–874

## Evaluating the weight of evidence by using quantitative short tandem repeat data in DNA mixtures

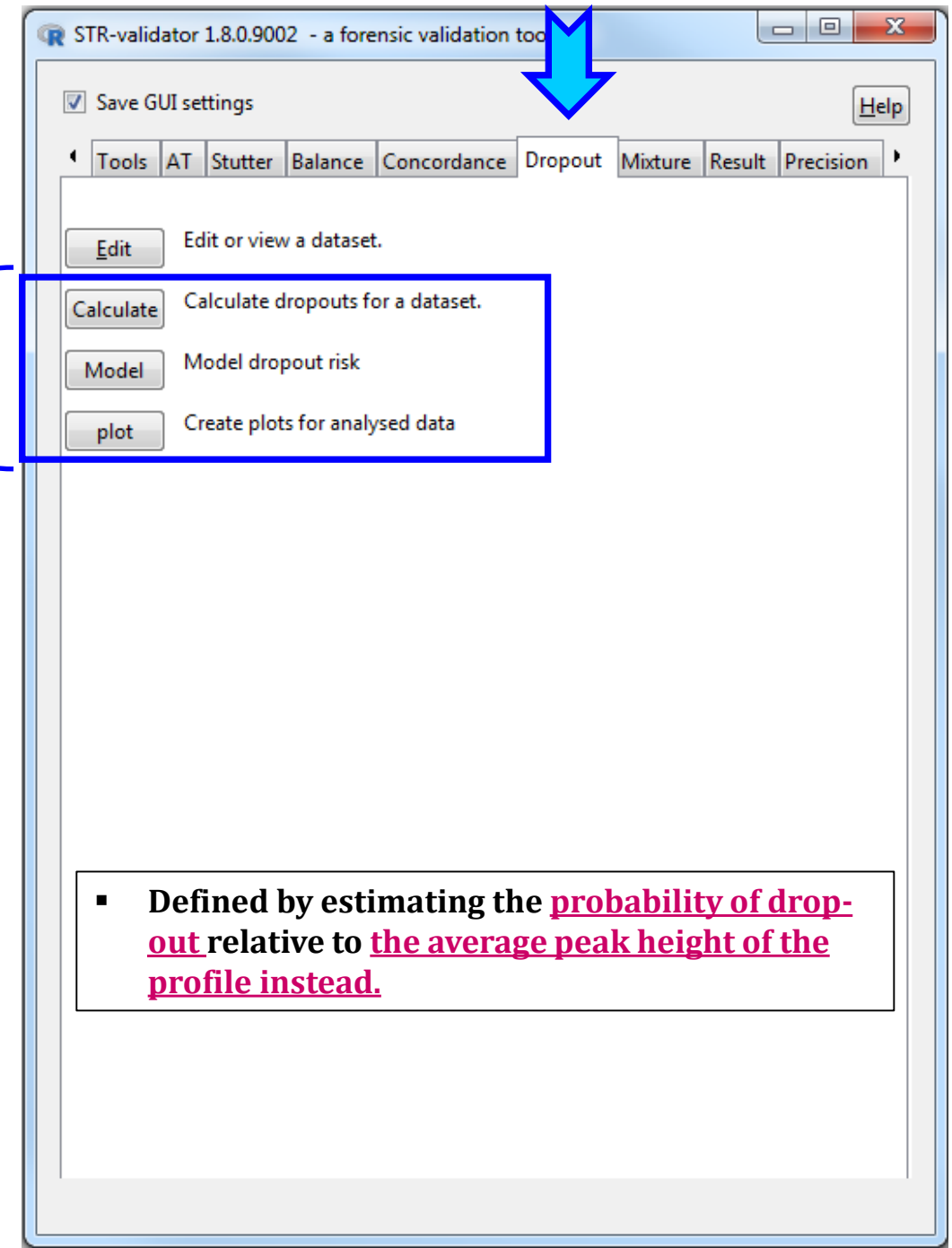
Torben Tvedebrink and Poul Svante Eriksen  
*Aalborg University, Denmark*

and Helle Smidt Mogensen and Niels Morling  
*University of Copenhagen, Denmark*

[Received April 2009. Final revision February 2010]

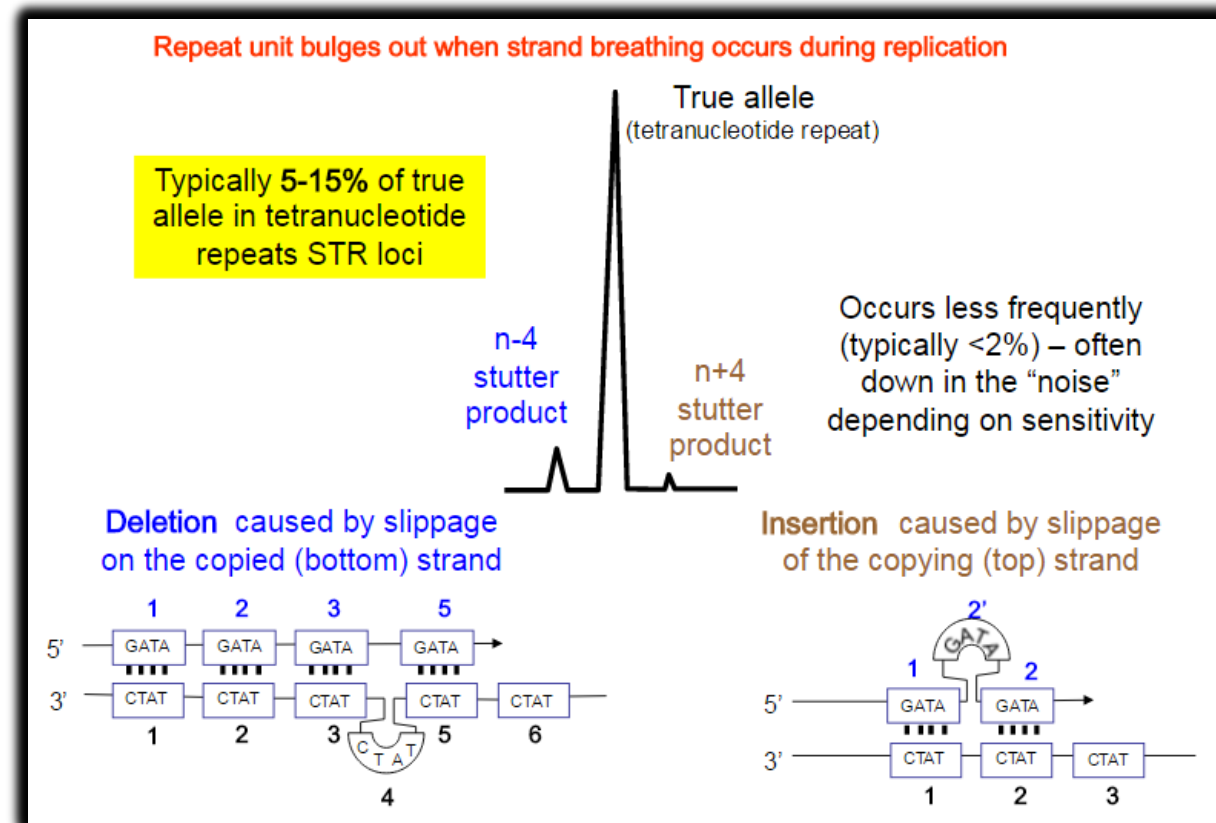
**Summary.** The evaluation of results from mixtures of deoxyribonucleic acid (DNA) from two or more people in crime case investigations may be improved by taking not only the qualitative but also the quantitative part of the results into consideration. We present a statistical likelihood approach to assess the probability of observed peak heights and peak areas information for a pair of profiles matching the DNA mixture. Furthermore, we demonstrate how to incorporate this probability in the evaluation of the weight of the evidence by a likelihood ratio approach. Our model is based on a multivariate normal distribution of peak areas for assessing the weight of the evidence. On the basis of data from analyses of controlled experiments with mixed DNA samples, we exploited the linear relationship between peak heights and peak areas, and the linear relationships of the means and variances of the measurements. Furthermore, the contribution from one individual's allele to the mean area of this allele is assumed to be proportional to the average of peak height measurements of alleles, where the individual is the only contributor. For shared alleles in mixed DNA samples, it is possible to observe only the cumulative peak heights and areas. Complying with this latent structure, we used the EM algorithm to impute the missing variables on the basis of a compound symmetry model. The measurements were subject to intralocus and interlocus correlations not depending on the actual alleles of the DNA profiles. Owing to factorization of the likelihood, properties of the normal distribution and use of auxiliary variables, an ordinary implementation of the EM algorithm solved the missing data problem.

**Keywords:** Compound symmetry model; EM algorithm; Forensic genetics; Missing data; Multivariate normal distribution; Short tandem repeat DNA mixture



# Stutter

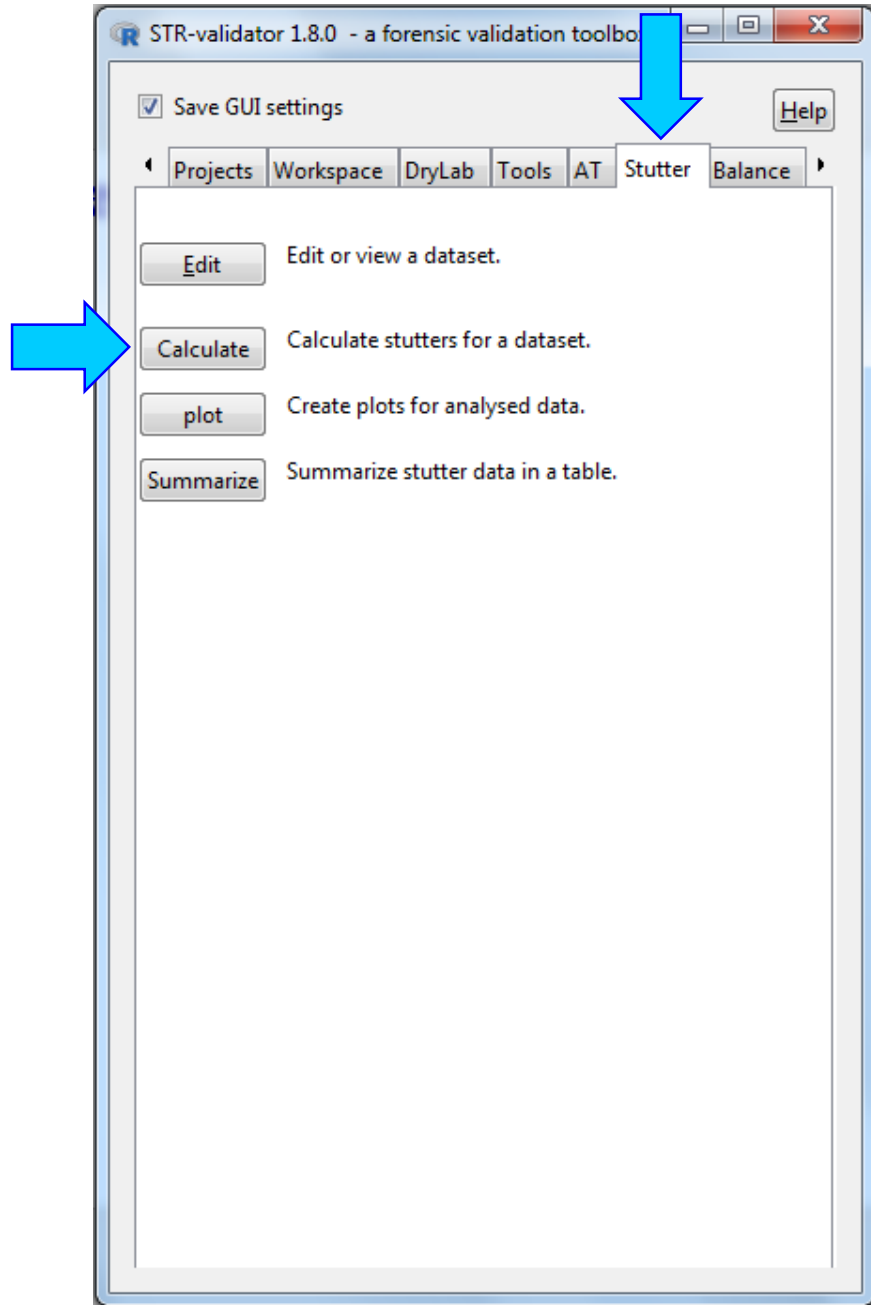
- ❖ Is a well-characterized PCR artifact.
- ❖ Appears as a minor peak one or more repeat units upstream or downstream from a true allele.
- ❖ Results from strand slippage during the amplification process



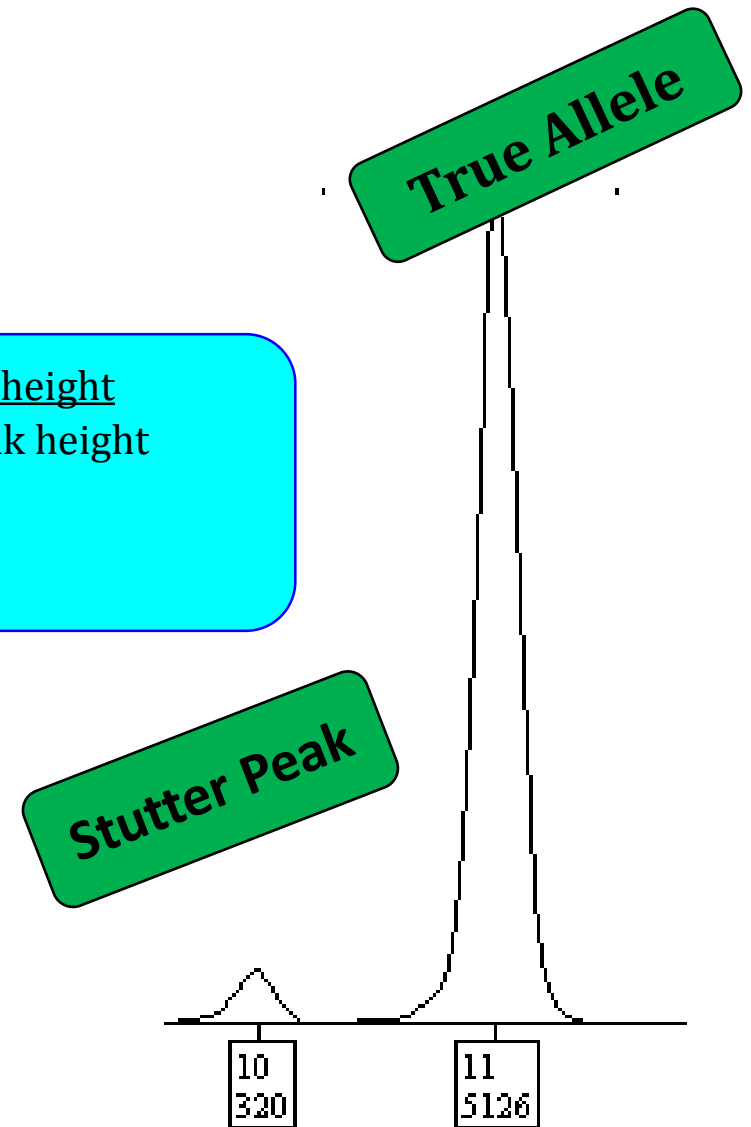
# Experimental Procedure for Stutter Ratio

- ❖ 95 single source samples at 1.0 ng of DNA input included in stutter ratio calculation
- ❖ Analyzed at AT=1 in all dye channels with stutter filters turned off
- ❖ Export \_GenotypeTable.txt from GeneMapper with at least the following information: “Sample.Name”, “Marker”, “Allele”, and “Height”.

# How are Stutters Calculated in *STR-Validator*?

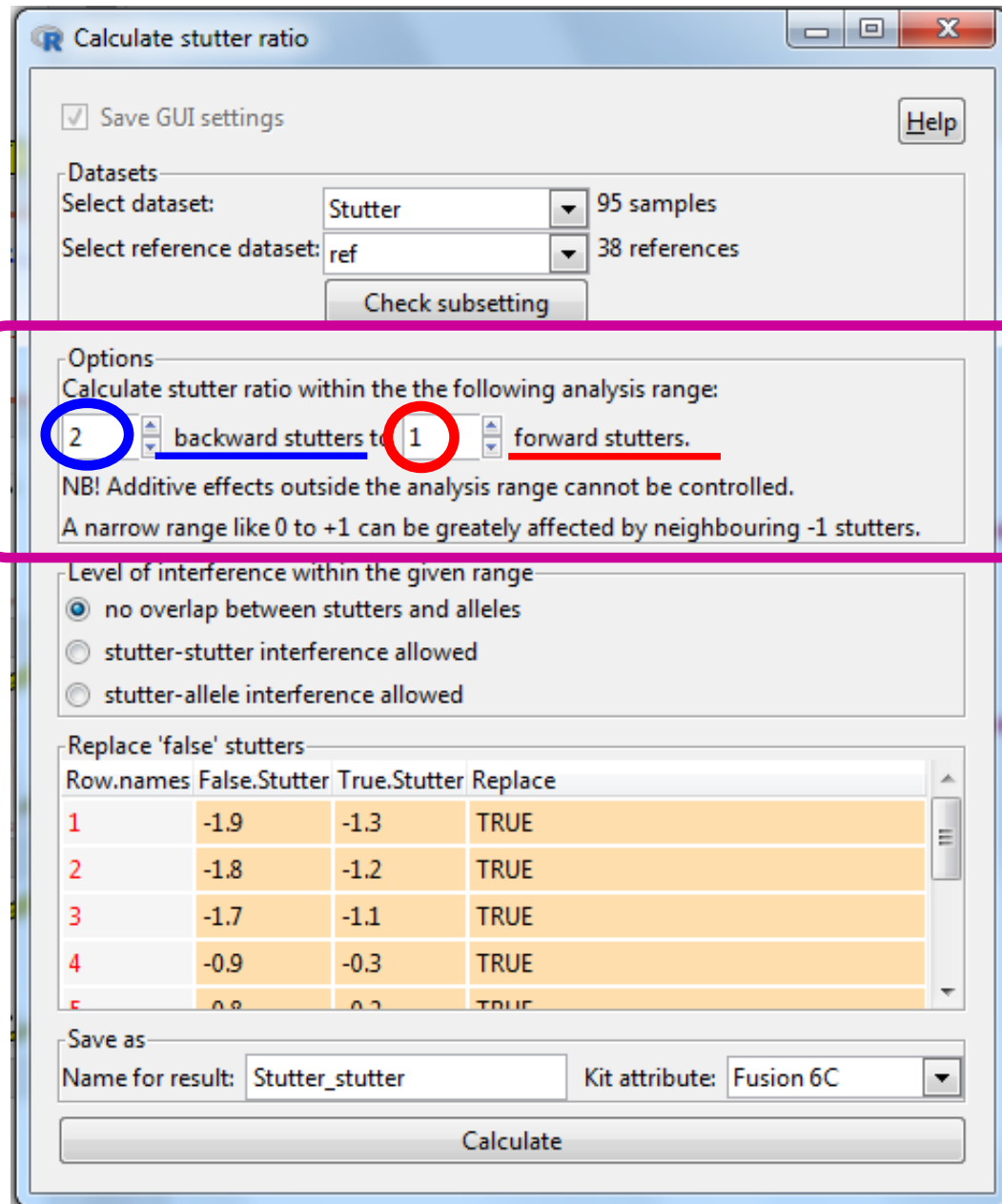


$$\text{Stutter Ratio} = \frac{\text{Stutter peak height}}{\text{True allele peak height}}$$
$$= \frac{320}{5126}$$



$$\text{Stutter peak designation} - \text{True Allele designation}$$
$$= 10 - 11$$
$$= -1 \text{ type of stutter}$$

# Analysis Range of Stutter Ratio



Calculate stutter ratio

Save GUI settings Help

Datasets  
Select dataset: Stutter 95 samples  
Select reference dataset: ref 38 references  
Check subsetting

Options  
Calculate stutter ratio within the the following analysis range:  
2 backward stutters to 1 forward stutters.  
NB! Additive effects outside the analysis range cannot be controlled.  
A narrow range like 0 to +1 can be greatly affected by neighbouring -1 stutters.

Level of interference within the given range  
 no overlap between stutters and alleles  
 stutter-stutter interference allowed  
 stutter-allele interference allowed

Replace 'false' stutters

Row.names	False.Stutter	True.Stutter	Replace
1	-1.9	-1.3	TRUE
2	-1.8	-1.2	TRUE
3	-1.7	-1.1	TRUE
4	-0.9	-0.3	TRUE
5	0.8	0.2	TRUE

Save as  
Name for result: Stutter\_stutter Kit attribute: Fusion 6C  
Calculate

❖ Number of backward stutters = 2  
an i.e. max repeat difference  $2 = n-2$  repeats

❖ Number of forward stutters = 1  
an i.e. max repeat difference  $1 = n+1$  repeats

# Level of Interference

Calculate stutter ratio

Save GUI settings Help

Datasets

Select dataset: Stutter 95 samples

Select reference dataset: ref 38 references

Options

Calculate stutter ratio within the the following analysis range:

2 backward stutters to 1 forward stutters.

NB! Additive effects outside the analysis range cannot be controlled.  
A narrow range like 0 to +1 can be greatly affected by neighbouring -1 stutters.

Level of interference within the given range

- no overlap between stutters and alleles
- stutter-stutter interference allowed
- stutter-allele interference allowed

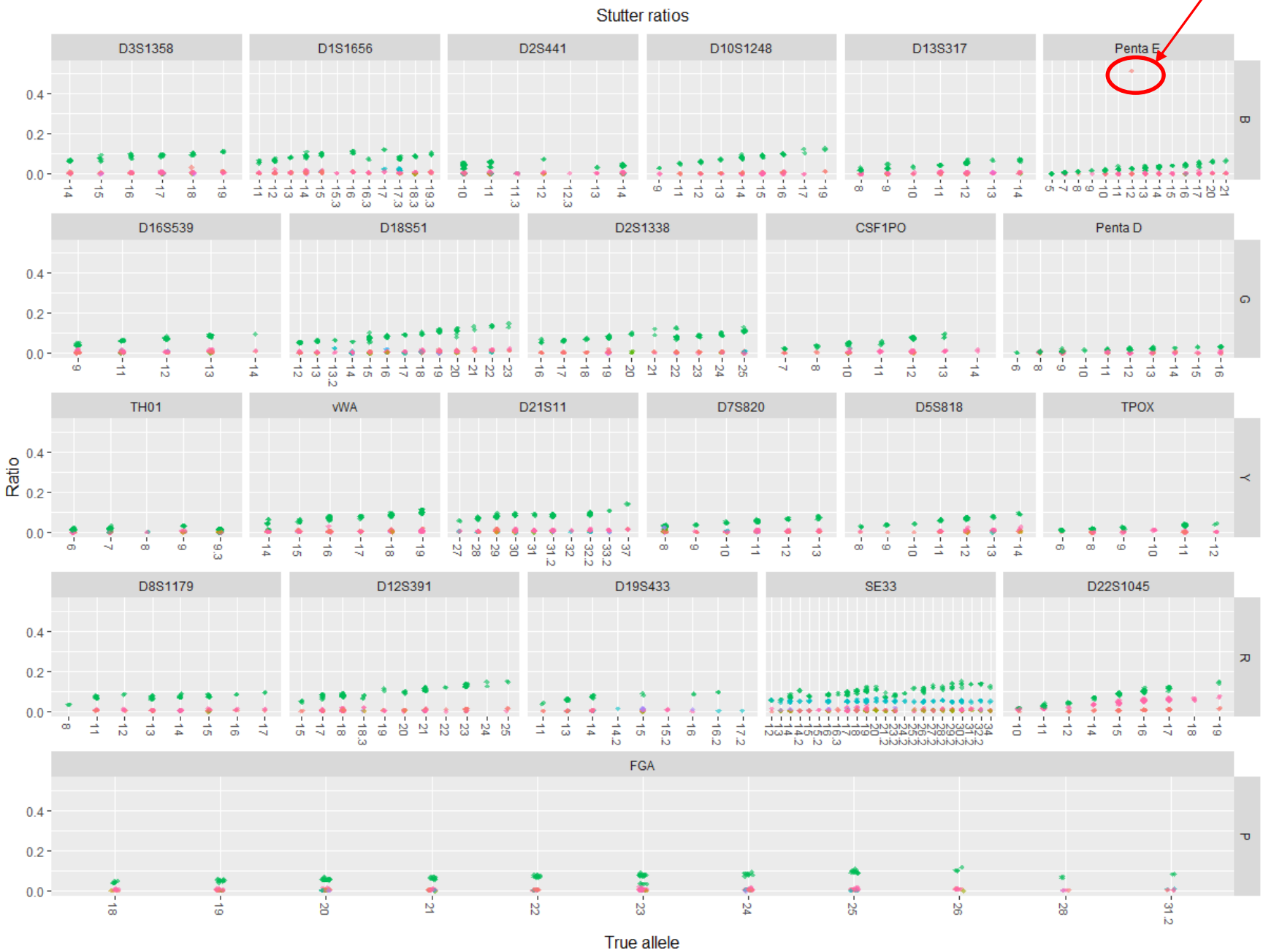
Replace 'false' stutters

Row.names	False.Stutter	True.Stutter	Replace
1	-1.9	-1.3	TRUE
2	-1.8	-1.2	TRUE
3	-1.7	-1.1	TRUE
4	0.0	0.2	TRUE

Save as

Name for result: Stutter\_NO\_OVERLAP Kit attribute: Fusion 6C

# Stutter Ratio as a Function of Parent Allele



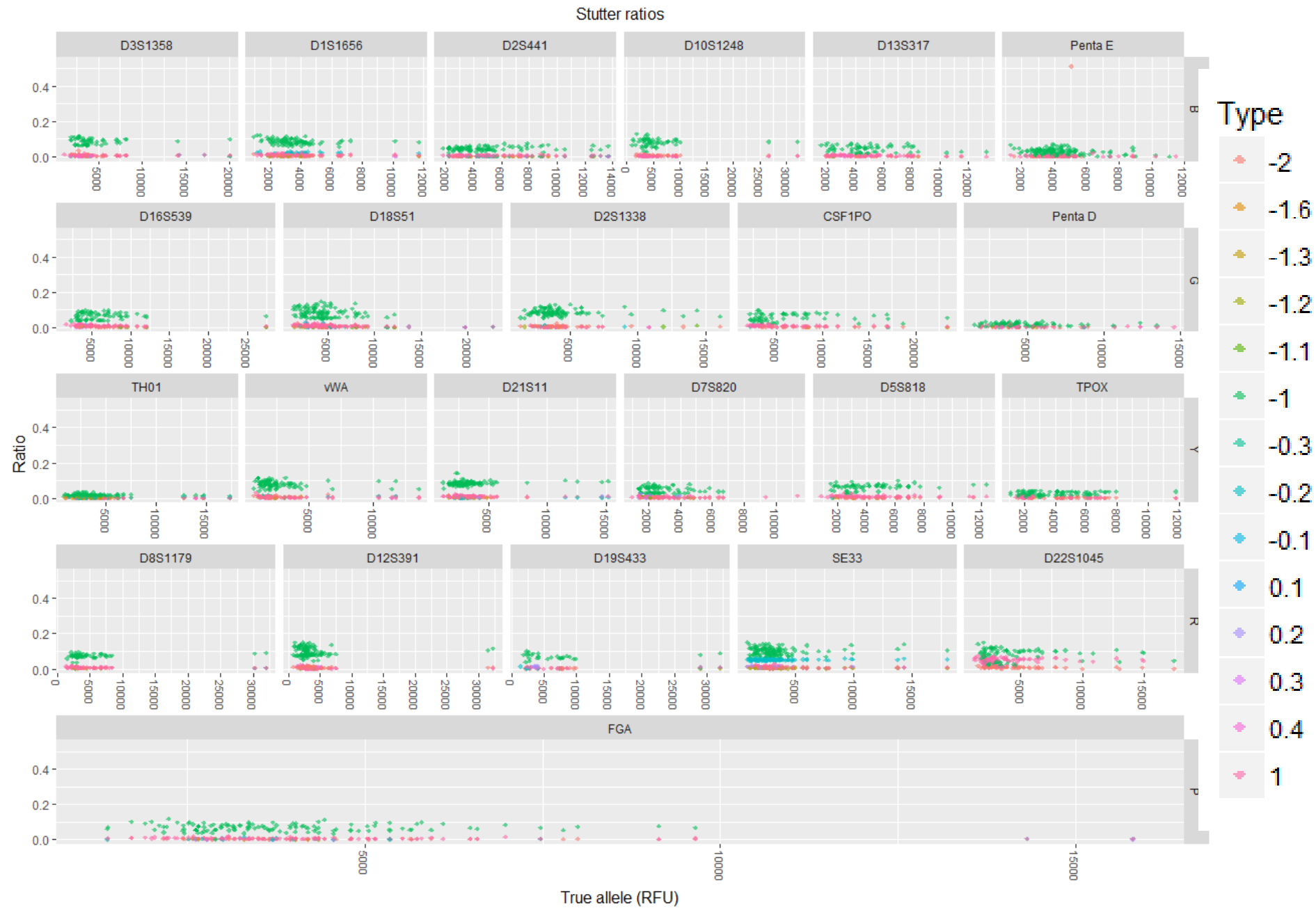
**Stutter Ratio increases as the number of repeats increases**



# Stutter Ratio as a Function of Parent Allele



# Stutter Ratio as a Function of Peak Height



# Stutter Percentage at Each Locus

Save GUI settings Help

Datasets  
Select dataset: Stutter\_NO\_OVERLAP\_table\_locus <NA> samples, 8 columns, 26 rows

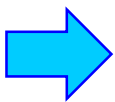
Options  
 Show attributes (separate window)  
 Limit number of rows to: 100

Copy | Export | Save  
Copy Export Save as Stutter\_NO\_OVERLAP\_table\_locus\_edit

Row.names	Marker	Type	n.alleles	n.stutters	Mean	Stdv	Perc.95	M
12	D12S391	NA	11	243	0.04980856	0.04847917	0.1349447	0.1329809
13	SE33	NA	24	470	0.04328839	0.03980691	0.1197097	0.1497976
14	D22S1045	NA	9	240	0.0492881	0.03602704	0.1155116	0.1493128
4	D18S51	NA	13	345	0.03077113	0.0383081	0.1144051	0.1306066
5	D2S1338	NA	10	216	0.04668302	0.04283466	0.1121561	0.4512635
18	DYS570	NA	6	279	0.03896943	0.04665069	0.1054264	0.1165292
26	D3S1358	NA	6	152	0.03399186	0.03912667	0.1047531	0.1241259
19	D1S1656	NA	12	267	0.03494432	0.03671343	0.1034651	0.1160602
7	vWA	NA	6	231	0.03700235	0.03852297	0.1012851	0.1289291
21	D10S1248	NA	9	138	0.03666577	0.04022816	0.1004014	0.1206309
17	DYS576	NA	7	277	0.03782644	0.03807173	0.1001362	0.1425637
8	D21S11	NA	10	315	0.03642765	0.03805507	0.0933165	0.1175682
16	FGA	NA	11	323	0.03084242	0.0332599	0.09123326	0.09970551
23	D19S433	NA	9	82	0.02826505	0.03191722	0.08694882	0.09765866
25	D8S1179	NA	8	141	0.03309349	0.03351953	0.08690511	0.09644599
3	D16S539	NA	5	218	0.02678956	0.02878527	0.08616047	0.09817352
6	CSF1PO	NA	7	173	0.0269808	0.02846134	0.07948276	0.09893651
10	D5S818	NA	7	194	0.02785527	0.02911381	0.07650658	0.09107884
15	DYS391	NA	3	254	0.02866788	0.02955303	0.0747429	0.08316733
9	D7S820	NA	6	219	0.02144259	0.02254944	0.06668492	0.07684729
1	D13S317	NA	7	172	0.0200418	0.02199814	0.06658742	0.07662058
20	D2S441	NA	7	296	0.01834139	0.0206675	0.05867814	0.5127697
2	Penta E	NA	14	251	0.01928513	0.03561548	0.0505487	0.04387755
11	TPOX	NA	6	167	0.01619873	0.01392732	0.03924471	0.0383897
22	Penta D	NA	10	146	0.01181251	0.009118571	0.02856621	0.02206194
24	TH01	NA	5	241	0.01006018	0.008220588	0.02206194	

Stutter percentages ranged from 2.2 % (TH01) to 13.4 % D12S391

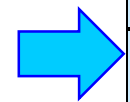
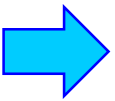
# Comparison of the n-1 Type Stutter with the Manufacturer Stutter Percentages



PPF6C_INTERNAL VALIDATION_STRVALIDATOR			
Marker	Mean	Stdv	Mean + 3*Stdv (%)
D22S1045	0.077	0.036	18.5
D12S391	0.099	0.024	17.2
SE33	0.101	0.021	16.5
D18S51	0.085	0.026	16.4
vWA	0.078	0.023	14.8
D10S1248	0.083	0.020	14.4
D2S1338	0.088	0.017	14.0
D3S1358	0.087	0.016	13.6

Stutter percentages ranged from 3.3 % (TH01) to 18.5 % D22S1045

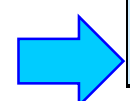
D15S70	0.088	0.011	12.2
D16S539	0.064	0.019	12.1
CSF1PO	0.060	0.020	12.1
D21S11	0.086	0.012	12.0
D19S433	0.070	0.015	11.5
D5S818	0.065	0.016	11.2
D8S1179	0.074	0.011	10.8
D13S317	0.046	0.018	9.9
D7S820	0.048	0.017	9.8
DYS391	0.067	0.007	8.8
D2S441	0.047	0.010	7.8
Penta E	0.027	0.016	7.6
TPOX	0.027	0.010	5.7
Penta D	0.017	0.008	4.2
TH01	0.018	0.005	3.3



PPF6C_DEVELOPMENTAL VALIDATION			
Marker	Mean	Stdv	Mean + 3*Stdv (%)
D12S391	0.091	2.8	17.5
D22S1045	0.072	3.2	16.8
SE33	0.1	2	16
D18S51	0.081	2.2	14.7
D1S1656	0.085	2	14.5
vWA	0.069	2.5	14.4
D2S1338	0.085	1.7	13.6
D3S1358	0.09	1.5	13.5

Stutter percentages ranged from 4.6 % (PentaD) to 17.5 % D12S391

D15S70	0.085	1.7	12.7
FGA	0.071	1.8	12.5
D19S433	0.07	1.7	12.1
D16S539	0.064	1.8	11.8
D8S1179	0.073	1.5	11.8
CSF1PO	0.055	1.9	11.2
D5S818	0.061	1.6	10.9
D13S317	0.049	1.8	10.3
D7S820	0.047	1.6	9.5
DYS391	0.067	0.9	9.4
D2S441	0.048	1.4	9
Penta E	0.027	1.5	7.2
TPOX	0.025	1	5.5
TH01	0.022	0.9	4.9
Penta D	0.019	0.9	4.6



[1] M.G. Ensenberger, K.A. Lenz, L.K. Matthies, G.M. Hadinoto, J.E. Schienman, A.J. Przech, M.W. Morganti, D.T. Renstrom, V.M. Baker, K.M. Gawrys, M. Hoogendoorn, C.R. Steffen, P. Martin, A. Alonso, H.R. Olson, C.J. Sprecher, D.R. Storts, *Developmental validation of the PowerPlex(R) Fusion 6C System*, Forensic science international. Genetics 21 (2016) 134-44.

### *Peak Height Ratio (PHR)*

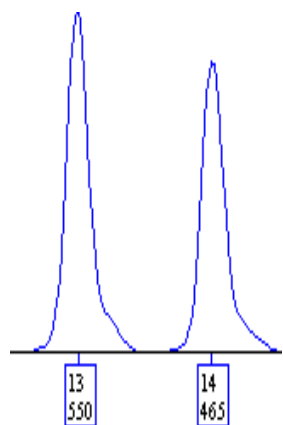
- ❖ Establish potential expectations for allele pairing to define genotypes for mixed samples. It is an indication of which alleles may be heterozygous pairs.
- ❖ To express the PHR as a percentage: **divide the peak height of an allele with a lower relative fluorescence unit (RFU) value by the peak height of an allele with a higher RFU value, and then multiplying this value by 100**

# Experimental Design for Peak Height Ratio Analysis

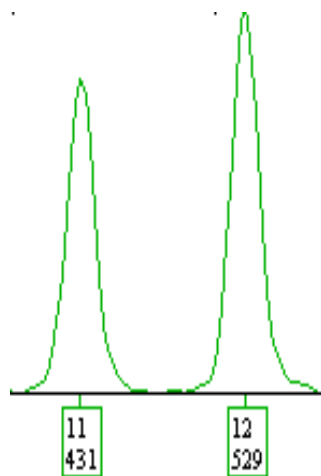
- ❖ 95 single source samples at 1.0 ng of DNA input included in PHR calculation
- ❖ Analyzed at your AT in all dye channels
- ❖ Export \_GenotypeTable.txt from GeneMapper with at least the following information: “Sample.Name”, “Marker”, “Allele”, and “Height”.

# Calculation of Intra-locus Peak Balance in STR-validator

*D10S1248*



*CSF1PO*



$$Hb = \frac{\text{Peak Height HMW}}{\text{Peak Height LMW}} = \frac{465}{550} = 0.85$$

$$Hb = \frac{\text{Peak Height LMW}}{\text{Peak Height HMW}} = \frac{550}{465} = 1.18$$

$$Hb = \frac{\text{Peak Height smaller}}{\text{Peak Height larger}} = \frac{465}{550} = 0.85$$

$$= \frac{529}{431} = 1.2$$

$$= \frac{431}{529} = 0.81$$

$$= \frac{431}{529} = 0.81$$

# Calculate Balance

Calculate heterozygote balance

Save GUI settings Help

Datasets

Select dataset: <Select a dataset> 0 samples

Select reference dataset: <Select a dataset> 0 references

Check subsetting

Options

Pre-processing:

Remove sex markers

Remove quality sensors

Define Hb as:

Smaller peak / larger peak

- High molecular weight / low molecular weight
- Low molecular weight / high molecular weight
- Smaller peak / larger peak

Add word boundaries

Exact matching

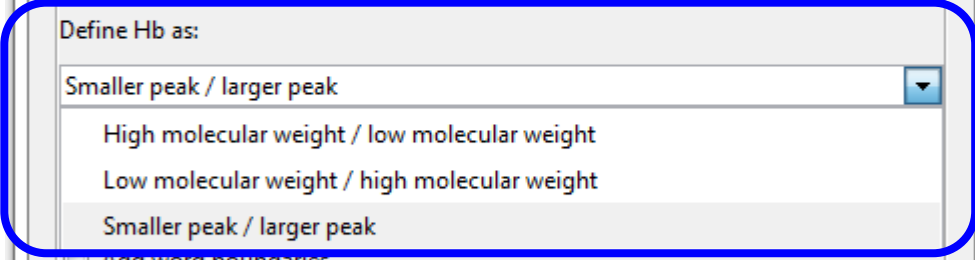
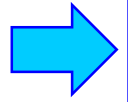
Post-processing:

Calculate average peak height

Save as:

Name for result:  Kit attribute: ESX16

Calculate





# Results of Hb Analysis

Edit or view data frame

Save GUI settings Help

Datasets  
Select dataset: stutter\_filter\_hb 95 samples, 13 columns, 1771 rows

Options  
 Show attributes (separate window)  
 Limit number of rows to: 100

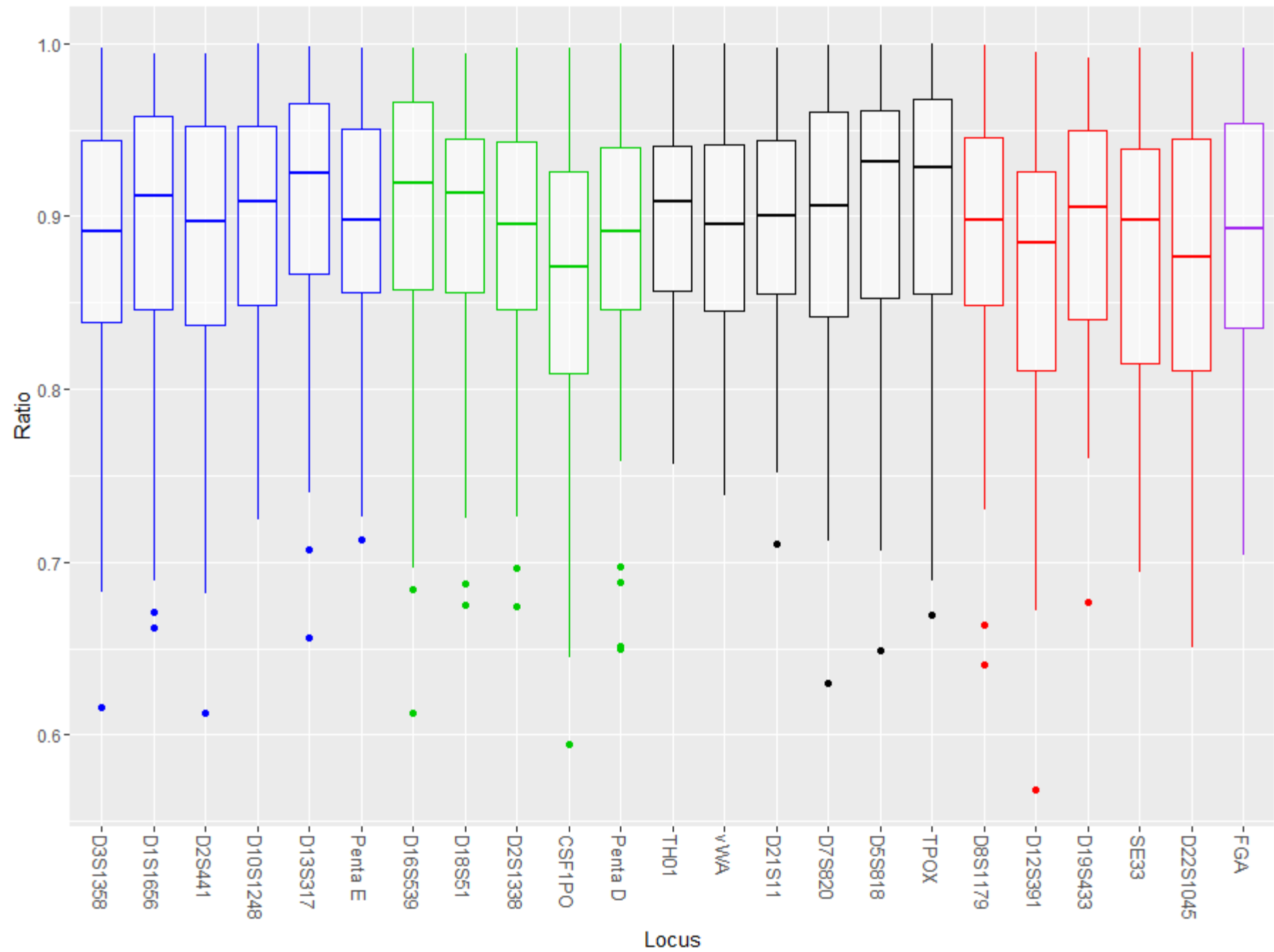
Copy | Export | Save  
Copy Export Save as stutter\_filter\_hb\_edit

Data frame

Row.names	Sample.Name	Marker	Dye	Delta	Small	Large	MPH	Hb	TPH	H	Peaks	Expected	Proportion
1	A.1	D3S1358	B	1	2504	2608	2556	0.9601227	129596	2817.304	40	40	1
2	A.1	D1S1656	B	1	2814	3141	2977.5	0.895893	129596	2817.304	40	40	1
3	A.1	D2S441	B	0.3	2436	3188	2812	0.7641154	129596	2817.304	40	40	1
4	A.1	D10S1248	B	1	3722	4001	3861.5	0.9302674	129596	2817.304	40	40	1
5	A.1	Penta E	B	6	2927	3421	3174	0.8555978	129596	2817.304	40	40	1
6	A.1	D16S539	G	4	3258	3372	3315	0.9661922	129596	2817.304	40	40	1
7	A.1	D2S1338	G	2	2661	3352	3006.5	0.7938544	129596	2817.304	40	40	1
8	A.1	CSF1PO	G	3	2671	3066	2868.5	0.8711676	129596	2817.304	40	40	1
9	A.1	Penta D	G	1	3309	3545	3427	0.9334274	129596	2817.304	40	40	1
10	A.1	TH01	Y	1	2183	2381	2282	0.9168417	129596	2817.304	40	40	1
11	A.1	D21S11	Y	5.2	2629	2785	2707	0.9439856	129596	2817.304	40	40	1
12	A.1	D7S820	Y	2	2136	2714	2425	0.7870302	129596	2817.304	40	40	1
13	A.1	D8S1179	R	1	2438	2925	2681.5	0.8335043	129596	2817.304	40	40	1
14	A.1	D19S433	R	1	3024	3325	3174.5	0.9094737	129596	2817.304	40	40	1
15	A.1	SE33	R	1.2	2127	2453	2290	0.8671015	129596	2817.304	40	40	1
16	A.1	D22S1045	R	5	2072	2719	2395.5	0.7620449	129596	2817.304	40	40	1
17	A.1	FGA	P	3.2	2989	3587	3288	0.8332869	129596	2817.304	40	40	1
18	A.2	D3S1358	B	1	2876	3899	3387.5	0.737625	161683	3514.848	40	40	1
19	A.2	D1S1656	B	1	3594	3808	3701	0.9438025	161683	3514.848	40	40	1
20	A.2	D2S441	B	0.3	3776	3971	3873.5	0.950894	161683	3514.848	40	40	1
21	A.2	D10S1248	B	1	4023	4207	4115	0.9562634	161683	3514.848	40	40	1
22	A.2	Penta E	B	6	4218	4927	4572.5	0.856099	161683	3514.848	40	40	1
23	A.2	D16S539	G	4	3215	4617	3916	0.6963396	161683	3514.848	40	40	1
24	A.2	D2S1338	G	2	3677	4183	3930	0.8790342	161683	3514.848	40	40	1
25	A.2	CSF1PO	G	3	3190	4044	3617	0.7888229	161683	3514.848	40	40	1
26	A.2	Penta D	G	1	4458	4469	4463.5	0.9975386	161683	3514.848	40	40	1
27	A.2	TH01	Y	1	2632	2791	2711.5	0.9430312	161683	3514.848	40	40	1
28	A.2	D21S11	Y	5.2	2933	3002	2967.5	0.9770153	161683	3514.848	40	40	1
29	A.2	D7S820	Y	2	2607	3037	2822	0.8584129	161683	3514.848	40	40	1
30	A.2	D8S1179	R	1	2932	3460	3196	0.8473988	161683	3514.848	40	40	1
31	A.2	D19S433	R	1	3450	3912	3681	0.8819018	161683	3514.848	40	40	1
32	A.2	SE33	R	1.2	3441	3538	3489.5	0.9725834	161683	3514.848	40	40	1

# Hb vs Marker

## Heterozygous balance



# Hb Summary Statistics by Locus

Edit or view data frame

Save GUI settings Help

Datasets  
 Select dataset: stutter\_filter\_hb\_table\_locus <NA> samples, 7 columns, 23 rows

Options  
 Show attributes (separate window)  
 Limit number of rows to:

Copy | Export | Save  
   stutter\_filter\_hb\_table\_locus\_edit

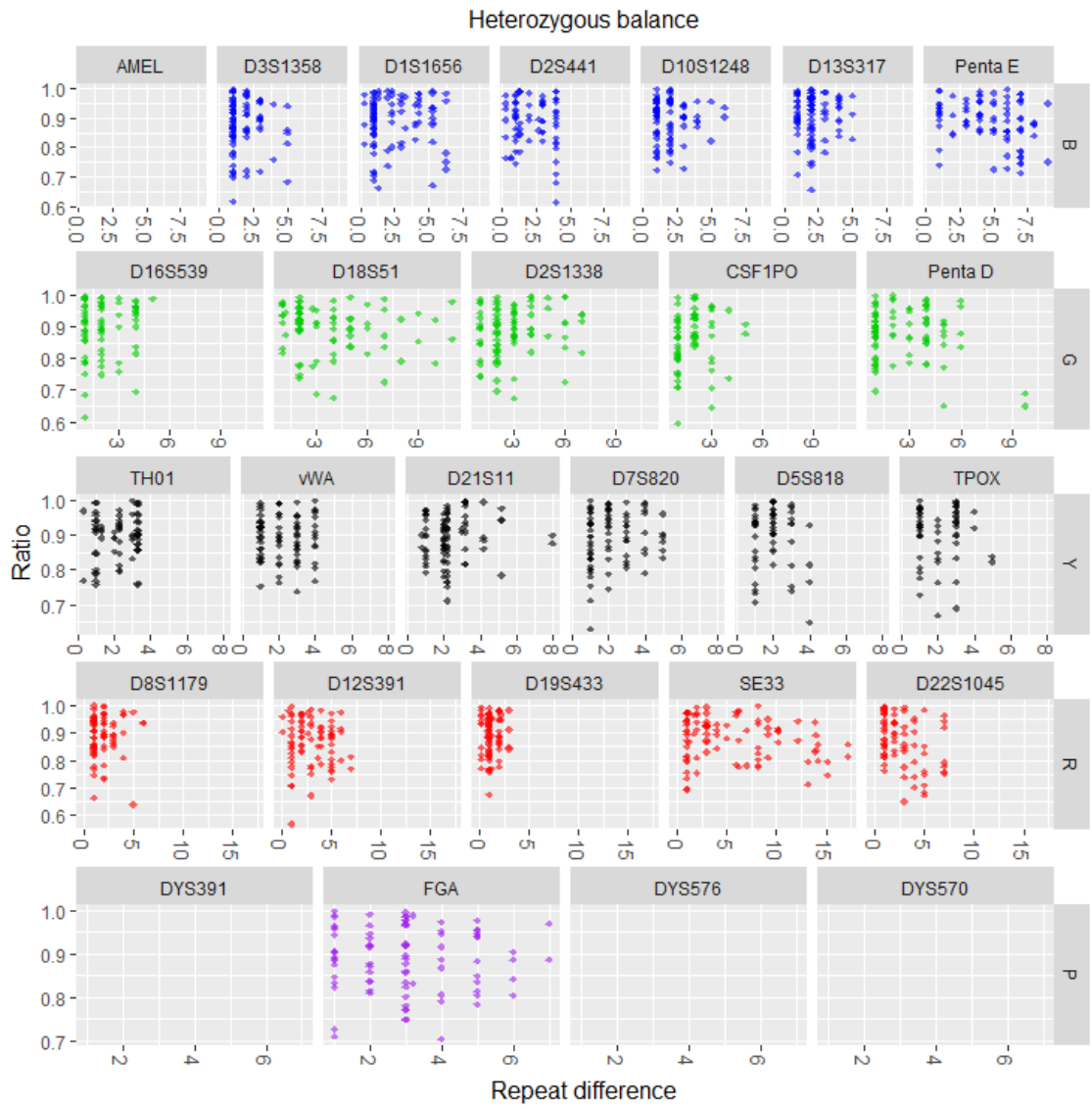
Data frame

Row.names	Marker	Hb.n	Hb.Min	Hb.Mean	Hb.Sd	Hb.Max	Hb.Perc.5
16	D22S1045	71	0.6503783	0.8717967	0.09215057	0.9953015	0.7053714
1	D3S1358	79	0.6157654	0.8760157	0.08802503	0.9971048	0.7061884
8	CSF1PO	67	0.594947	0.8630976	0.0871485	0.9975135	0.7082371
2	D1S1656	89	0.6619263	0.8918997	0.08517202	0.9944644	0.7159104
21	D12S391	81	0.5685678	0.8689462	0.08372068	0.9947577	0.7301872
7	D2S1338	89	0.6741703	0.8877156	0.07447339	0.9974315	0.7384348
23	D5S818	59	0.6486384	0.8998864	0.08525826	0.9989265	0.7390588
13	D8S1179	70	0.6403179	0.8893265	0.07678957	0.9993565	0.7402201
5	Penta E	85	0.7128883	0.8901279	0.07284199	0.9975642	0.7457725
18	D18S51	80	0.6751799	0.8934292	0.07425412	0.9942959	0.7465895
3	D2S441	70	0.6128105	0.8854903	0.08221411	0.9938511	0.7476048
15	SE33	89	0.6940328	0.8813438	0.07648513	0.997509	0.7497926
6	D16S539	70	0.612762	0.8980979	0.08689724	0.9971216	0.7501955
17	FGA	87	0.703799	0.8887843	0.07407832	0.9977156	0.7559048
20	TPOX	58	0.6695573	0.9055004	0.08049648	1	0.7581212
9	Penta D	82	0.649378	0.8813575	0.07957125	0.9995117	0.7584861
4	D10S1248	77	0.7240583	0.8944208	0.0703462	0.999473	0.7668952
10	TH01	67	0.7567347	0.8966153	0.06506874	0.9986888	0.768737
14	D19S433	79	0.6768735	0.8928187	0.06995027	0.9918119	0.7692973
19	vWA	77	0.7381534	0.8940821	0.06415032	0.999591	0.7762276
12	D7S820	79	0.6301075	0.894619	0.07576705	0.998855	0.7785241
22	D13S317	79	0.6561222	0.9073231	0.07401154	0.9981813	0.780273
11	D21S11	87	0.7107579	0.8937022	0.06685845	0.9977768	0.7813653

↓

Hb  $\geq$  0.7

## Hb and Distance Between the Two Alleles



# Precision Analysis

## SWGDM Guidelines

### Precision

- ❖ Characterizes the degree of mutual agreement among a series of individual measurements/values and results.
- ❖ Depends only on the distribution of random errors and does not relate to the true value or specified value.
- ❖ Is usually expressed in terms of imprecision and computed as a standard deviation of the test results.

### *How to measure the precision of your instrument?*

All measured alleles should fall within a  $\pm 0.5$  bp window around the measured size for the corresponding allele in the allelic ladder.

# Experimental Procedure for Precision Analysis

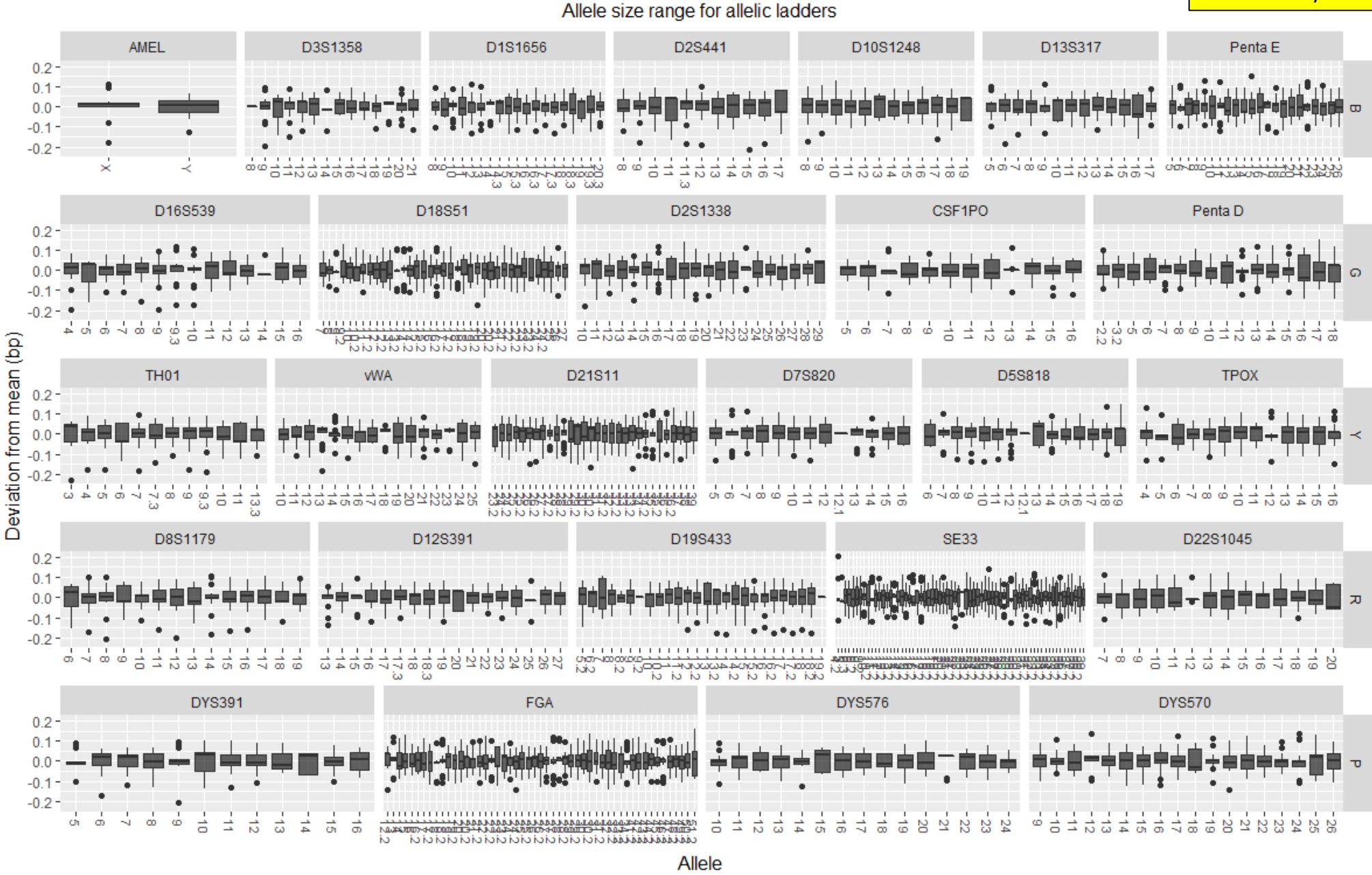
One injection of 24 ladders performed

- ❖ 1 ladder assigned as the “ladder”
- ❖ 22 ladders assigned as samples (A-V)
- ❖ Analyzed at your Analytical Threshold (AT)
- ❖ Export **\_GenotypeTable.txt** from GeneMapper with at least the following information: “Sample.Name”, “Marker”, “Allele” and “Size”.

	10	11	12
A	Ladder	Ladder	Ladder
B	Ladder	Ladder	Ladder
C	Ladder	Ladder	Ladder
D	Ladder	Ladder	Ladder
E	Ladder	Ladder	Ladder
F	Ladder	Ladder	Ladder
G	Ladder	Ladder	Ladder
H	Ladder	Ladder	Ladder

# Size Precision Boxplot for the Allelic Ladders by Allele

Note that none of the intervals extend near the +/- 0.5 bp range



# Summary Statistics for Precision Data, "Size.Sd" has been Sorted by Descending Order

Save GUI settings Help

Datasets  
Select dataset: ladder\_precision\_table <NA> samples, 7 columns, 433 rows

Options  
 Show attributes (separate window)  
 Limit number of rows to: 100

Copy | Export | Save  
Copy Export Save as ladder\_precision\_table\_edit

Row.names	Marker	Allele	Size.Min	Size.Max	Size.Mean	Size.n	Size.Sd
168	Penta D	16	448.02	448.27	448.1609	23	0.07185295
170	TH01	3	66.64	66.93	66.8713	23	0.06877528
67	D13S317	16	350.56	350.79	350.7187	23	0.06607876
169	Penta D	17	453.14	453.39	453.24	23	0.06388911
307	D19S433	18.2	246.36	246.61	246.54	23	0.06374666
308	SE33	4.2	274.68	275	274.7935	23	0.06364737
95	D16S539	9	97.7	97.99	97.89696	23	0.06363495
80	Penta E	16	421.8	422.04	421.9465	23	0.06328929
36	D2S441	11	224.69	224.9	224.8574	23	0.06290089
264	D8S1179	12	93.5	93.79	93.7	23	0.06259538
131	D2S1338	17	251.19	251.4	251.3317	23	0.06198623
357	D22S1045	20	474.5	474.63	474.56	22	0.06187545
342	SE33	37	406.39	406.61	406.5004	23	0.06153183
29	D1S1656	18.3	197.97	198.2	198.1057	23	0.06140966
260	D8S1179	8	76.26	76.57	76.46739	23	0.06121626
346	D22S1045	9	441.09	441.28	441.1883	23	0.06102549
331	SE33	26.2	363.91	364.15	364.0104	23	0.06093799
306	D19S433	18	244.33	244.58	244.4904	23	0.06086335
204	D21S11	29	223.85	224.08	223.9974	23	0.06084387
217	D21S11	35.2	250.43	250.65	250.5726	23	0.06046918
44	D10S1248	8	256.16	256.43	256.3335	23	0.06027277
3	D3S1358	9	97.41	97.7	97.60652	23	0.06012175
132	D2S1338	18	255.29	255.57	255.4326	23	0.06009216
177	TH01	9.3	95.69	95.97	95.87913	23	0.05984169
191	vWA	20	166.75	166.95	166.8678	23	0.05976899
41	D2S441	15	241.14	241.43	241.3517	23	0.05974584
37	D2S441	11.3	227.72	227.99	227.9048	23	0.05968627
205	D21S11	29.2	225.84	226.05	225.9939	23	0.05967633
325	SE33	21.2	343.69	343.89	343.8039	23	0.05960012
135	D2S1338	21	267.62	267.82	267.7291	23	0.05946069
351	D22S1045	14	456.42	456.66	456.5357	23	0.05945404

Note that none of the intervals extend near the +/- 0.5 bp range

# Interest in further training on how to use the software

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## Efficient Validation Using STR-Validator

**Chair:** Oskar Hansson, Section for Forensic Biology, Oslo University Hospital, Department for Forensic Medical Services, Oslo University Hospital

**Cost:** \$50 Fees include course materials and break

**Description:** Validation of new STR typing kits are often time consuming which can delay implementation of new technology. STR-validator is a free open-source software tool designed to help forensic genetic laboratories evaluate the data. Lectures and exercises will provide the necessary skills for experimental design and efficient evaluation. Validation according to ENFSI recommendations/SWGDAM guidelines is exemplified using real data. Practical examples will highlight quality control of the data and result. The goal is to provide hands-on experience with the software.



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❖ Erica Romsos

### Norwegian Institute of Public Health

❖ Oskar Hansson

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