



Interpreting and Reporting mtDNA Results





	Data Review and Editing					
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Resi	\$xe: 922		(Seg	enced Strand)		Sequence Length
			E Font 🖃			
	CTUTUTCCAA	NCCCAAAAAA	AAACHACCCT	AACACCACCA	TAACNACHAT	1.19
Ŀ.	TTCAAATTTT	ATCTTTTCCC	GETATECACC	TTTTAACAGE	CACCECCEAA	
141	CTAACACATT	ATTITICCCCT	CCCACTCCCA	TACTACTAAT	CTCATCAACA	
181	CAGCCCCCCCC	CCATCCTACC	CAGCACACAC	ACCOCTOCTA	ACCCCATACC	
201	CEGAACEAAC	CAAACCECAA	AGACACCCCC	CACAGTITAT	GTAGCTTACC	
251	TECTEAAAGE	AATACACTGA	AAATGTTTAG	ACGGGCTCAC	ATCACCCCAT	
501	ΑΛΛΛΕΛΛΛΑΝ	GNGGNNNNNG	NNNNNNNNN	NNNNNNNNN	NGNNNNGNNN	
051	NNNNGNNNNN	NNNGNNNNN	NNNNNNNNN	NNNNNNNNN	NNNNNNNNN	
401	GNNNNNNNN	NNNNNNNNN	NNNNNNNNN	NNNNNNNNN	NNNNNNNNN	
-151	NN Trim	ming of	data (n	rimoro		
501	NN I FIFFF	ming or	uala (p	inner s	equence	es (00)
661	NNNNNNNNN	NNNNNNNNN	NNNNNNNNN	NNNNNNNNN	NNNNNNNNN	
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901	and a second second second	received an entry	1			





















R primer - great data!

Small dye blob ~80bp into F primer creates ambiguities











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Nomenclature Issues

Wilson, MR et al. (2002) "Recommendations for consistent treatment of length variants in the human mitochondrial DNA control region." *Forensis Science International* 129(1): 35-42.

Use the least number of differences
 Prioritization of indels > transitions > transversions

Sample TTTA : CCCAT 4 T-A rCRS TTTTGCCCAT ^{5 G-del}

Sample TTTACCCAT rCRS TTTTGCCCAT

1 10

Sample TTT: ACCCAT 4 T-del rCRS TTTTGCCCAT ^{5 G-A}

3. Indels placed at the 3' end with respect to the light strand









POP QUIZ!!!

ATACAACCCCACCCAT rCRS ATACAACCCCCACCCAT 488 504

ATTGATGTC rcrs ATTGAATGTC 244 253

ATTGAAATGTC rCRS ATTGAATGTC 244 253

CATAACAAAATTT rCRs CATAACAAAAAATTT 280 294

POP QI	JIZ!!!
TGGCACTTTTCGTCT rCRS TGGTATTTTCGTCT 52 65	
TATCTTTCGT	TATTTTTCGTCT
rCRS TATTTTCGT	rCRS TATTTTCGTCT
55 63	55 65
AAACCCCCCCCCCCCCC	CCCGCT
rcRs AAACCCCCCCCCCCCC	GCT
300	318

Nomenclature Issues

• Consistency is needed – especially for database searches.

Lab 01 Sample GCACACACACACACCGCT rCRS GCACACACACACCGCT

Lab 02 Sample GCACACACACACACCGCT rCRS GCACACACACACCGCT

Lab 03 Sample GCACACACACACACCGCT rCRS GCACACACACACCGCT

Nomenclature Issues

• Consistency is needed – especially for database searches.

Lab 01	$\begin{array}{c} {}_{Sample}^{513} \\ GCACACACACACACACCGCT \\ rCRS & GCACACACACACAC \\ 1 & 2 & 3 & 4 & 5 \end{array}$	524.1 A 524.2 C
Lab 02	Sample GCACACACACACACACCGCT rCRS GCACACACACACACA 1 2 3 4 6	523.1 C 523.2 A
Lab 03	Sample GCAC ACACACACACCGCT rCRS GC :: ACACACACACCGCT 1 2 3 4 5	514.1 A 514.2 C



	Nor	nen	clature	lssı	ues
Eac	Lab 01 16519 T-C 263 A-G 315.1 C 524.1 A 524.2 C h lab submits 2	≠ 0 sequer	Lab 02 16519 T-C 263 A-G 315.1 C 523.1 C 523.2 A	≠ ne popul	Lab 03 16519 T-C 263 A-G 315.1 C 514.1 A 514.2 C
	Lab 04		Will match the 20	sample	s submitted by Lab 01
\rightarrow	315.1 C 524.1 A 524.2 C		Apparent Frequ True Frequ	iency = iency =	20/1000 (0.02) 60/1000 (0.06)
Underestimation of the true frequency					

Interpretational Issues - Heteroplasmy

- Heteroplasmy the presence of more than one mtDNA type in an individual (Melton 2004).
- Once thought to be rare, heteroplasmy exists (at some level) in all tissues (Melton 2004).
- · Especially important in hair analysis (semiclonal).

Heteroplasmy

- Some interesting papers (forensic focus)...
- Melton, T. (2004) Mitochondrial DNA heteroplasmy. Forensic Science Reviews 16:1-20.
- Reviews 16:1-20.
 Calloway et al. (2000) The frequency of heteroplasmy in the HVII region of mIDNA differs across tissue types and increases with age. Am J Hum Genet. 66(4):1384-1397.
 Stewart et al. (2001) Length variation in HV2 of the human mitochondrial DNA control region. Journal of Forensic Science 46(4):862-870.
- DNA control region. *Journal of Forensic Science* **46**(4):862-870. Sekiguchi *et al.* (2003) Inter- and intragenerational transmission of a human mitochondrial DNA heteroplasmy among 13 maternally-related individuals and differences between and within tissues in two family members. *Mitochondrion* **2(6)**:401-414. Salas *et al.* (2001) Heteroplasmy in mtDNA and the weight of evidence in forensic mtDNA analysis: a case report. *Int J Legal Med.* **114**(3):186-190. Tully, L *et al.* (2000) A sensitive denaturing gradient-Gel electrophoresis assay reveals a high frequency of heteroplasmy in hypervariable region 1 of the human mtDNA control region. *Am J Hum Genet.* **67(2)**:432-443. •
- .



















			Cytosines Befor	e Position 310 T	
Individual		Sample	Major Component	Minor Component	Type
z	Blood sampl 1 hair	le	8 Cs	9 Cs	C ₈ TC ₆ C ₉ TC ₆
	1 hair		8 and 9 Cs	10 Cs	CsTCs CsTCs C10TCs
	1 hair		7 and 8 Cs		C ₇ TC ₆ C ₈ TC ₆
	1 hair		7 Cs		C7TC6
			В	lood + 1 hair	$\begin{array}{c} C_8 T C_6 \\ C_9 T C_6 \end{array}$
ngth variants in HV2 alone should not be ed to support an interpretation of exclusion.			ould not be n of exclusion. 1	hair	C ₇ TC ₆
sed to sup					



	Heteroplasmy in Hairs						
_	TABLE Heteroplasmy Position*	2—Seventy-eight independent observations of seq Other Matched K or Q Sample in Case for Comparison?	uence heteroplasmy in 697 hairs. Nucleotide Present in Comparison Sample				
	70 ¹ 94 120 ¹ 150 152 152 185	7 Q hairs 3 Q and 1 K hairs No No 2 Q hairs and 1 K hair 1 Q hair and 1 K blood	CRS in all 7 CRS in all 4 All 3 hairs have TC heteroplasmy Both have A (substitution from CRS)				
	78 observa	tions of point heteroplasmy i	n 691 hairs (11.4%)				
			Melton <i>et al.</i> JFS (2009				









Heteroplasmy Detection

- Detection of heteroplasmy sequencing can detect only to ~10% level.
- Other methods (e.g. Denaturing Gradient Gel Electrophoresis) are much more sensitive.

Famous Case Involving Heteroplasmy

Identification of the Romanov Remains (the Last Russian Czar)











Interpretation of mtDNA Results

• Once the sequence has been generated (Q and K), and the differences from the rCRS are noted, what next?

SWGDAM Guidelines for Mitochondrial DNA (mtDNA) Nucleotide Sequence Interpretation

(1) Exclusion

(2) Inconclusive

(3) Cannot Exclude (Failure to Exclude)

Interpretation of mtDNA Results

 Exclusion – if there are two or more nucleotide differences between the questioned and known samples, the samples can be excluded as originating from the same person or maternal lineage.

> Q TATTG<mark>C</mark>AC<u>A</u>G K TATTGTACGG

 Sample Q
 Sample K

 6 T-C
 263 A-G

 9 G-A
 315.1 C

 263 A-G
 315.1 C

Exclusion

Interpretation of m		
 Inconclusive – if there is difference between the known samples, the res inconclusive. 	_	
Q TATTG <u>C</u> ACGG K TATTG <u>T</u> ACGG	Sample Q Sample K 6 T-C 263 A-G 263 A-G 315.1 C 315.1 C 315.1 C	_

Hetero	oplasmic Var	iation
Heteropla	smic variation among family	members
Generations 1		Buccal Swabs
2		
3 38 3VA	37B 37B 37D 37D	6999
		16291
		Sekiguchi <i>et al.</i> (

Inconclusive







POP	QUIZ!!!
How would you inter	pret these results?
Q TATTGTAC <u>A/G</u> G K TATTGTAC <u>G</u> G	Sample Q Sample K 9 G-R 263 A-G 263 A-G 315.1 C 315.1 C
Q TATTGTAC <u>A/G</u> G K TATTGTAC <u>G/A</u> G	Sample Q Sample K 9 G-R 9 G-R 152 T-C 152 T-C 263 A-G 263 A-G 315.1 C 315.1 C



	POPC	QUIZ!!	!!
Q K	TATTGTACGG TATTG <u>C</u> ACGG	Sample Q 16519 T-C 263 A-G 309.1 C 315.1 C	Sample K 16519 T-C 152 T-C 263 A-G 315.1 C
Q K	TATTGT <u>T</u> ACGG TAT <u>:</u> GT : ACGG	Sample Q 16519 T-C 6.1 T 263 A-G 315.1 C	Sample K 16519 T-C 4 T-del 263 A-G 315.1 C

Reporting Statistics

- When "cannot exclude" is the interpretation, then a statistical estimate is needed in order to weigh the significance of the observed match
- Counting method is most common approach used and involves counting the number of times that a particular mtDNA haplotype (sequence) is seen in a database
- The larger the number of unrelated individuals in the database, the better the statistics will be for a random match frequency estimate.







FBI mtDNA Popula	
Seganced Regions V Add V Add V Add V Add V Add V Add V Add V V Add V V Add V V Add V V V V V V V V V V V V V V V V V V V	Formic
base	Accord to Search

















Issues Impacting mtDNA Interpretation

Challenges with mtDNA

- Data Interpretation
 - Heteroplasmy, Mixtures, Taq Error, and other Issues (Pseudogenes, etc...)
- DNA Database Sizes

 Similar issues to Y-STRs but takes longer to generate mtDNA data than Y-STR haplotypes
- DNA Database Quality









- Heteroplasmy can look a lot like a mixture, but is typically only present at one position in the CR.
- · Verification and authenticity of heteroplasmy by a second extraction of the sample is required.

Nuclear Pseudogenes

- Thoughout history movement of mtDNA genes into the nucleus.
- Nuclear Pseudogenes (nuclear-mitochondrial like sequence *numts*) - could potentially be amplified, confounding interpretation. "Molecular Fossils"

Genome Research (2002) Pattern of Organization of Human Mitochondrial Pseudogenes in the Nuclear Genome Markus Woischnik and Carlos T. Moraes¹

nt of Neurology, University of Miami-School of Medicine, Miamt, Florida 33136, USA

Article





Nuclear Pseudogenes

- Typically numts are not a problem for forensics – mtDNA high copy number
- "Mitochondrial DNA pseudogenes in the nuclear genome as possible sources of contamination" -*Goios A, Amorim A, Pereira L.* ISFG meeting in the Azores, 2005.
- Extraordinary measures to observe a numt with forensic mtDNA primers (Possibly seen by Grzbowski 2000 – nested PCR ~ 60 cycles).

mtDNA Recombination

- Adam Eyre-Walker and colleagues proposed that paternal contribution of mtDNA has caused recombination.
- Some of their assumptions along with the data that was analyzed have been wrong (more on that later).





IN	ONDRIAL DN THE PATIENT IN HIS	A (mtDNA) I 's Blood and Parents' Blo	MUSCLE AND DOD.	OUND
NUCLEOTIDE POSITION	PATIENT'S BLOOD*	PATIENT'S MUSCLE	Mother's Blood	FATHER'S BLOOD
477	с	т	с	т
1303	G	A	G	Α
3192	С	т	С	т
3197†	т	С	т	С
3591	G	A	G	Α
4592	т	C	т	С
5132-5134	AAA	delAA	AAA	AAA
11296	С	Т	с	т
11467†	A	G	A	G
11719‡	G	A	G	A
11938	С	т	С	Т
12308†	A	G	A	G
12372†	G	A	G	A
12618	G	A	G	A
13617†	т	с	т	C
14766‡	С	т	С	Т
14793	A	G	A	G
15218	A	G	A	G



Paternal Leakage/Recombination

- Appears to be exceptionally rare other studies of mtDNA diseases have not observed such a phenomenon.
- Kraytsberg *et al.* (2004) used DNA from the same affected patient to screen for recombination. These authors cite the presence of recombinants
- Single cell PCR is prone to generating artifacts (Bandelt 2005)





mtDNA Population Database: Size and Quality of Information

• Recently – mtDNA database quality has become an issue...

Int J Legal Med (2001) 115:64-69 ORIGINAL ARTICLE

H.-J. Bandelt - P. Lahermo - M. Richards - V. Macaulay Detecting errors in mtDNA data by phylogenetic analysis

Artificial recombinations and phantom mutations plague the quality of mtDNA data in population genetics, forensics, and clinical studies

mtDNA Population Database: Size and Quality of Information

• Bandelt et al. (2001)

"In order to meet high-quality standards in forensics, sequencing should be performed in both directions (Bär et al. 2000). It is then important to read the two series of outputs separately (against the CRS) and to transform either series into a data table independently, preferably of different formats (motif vs dot table); finally, the two tables should be compared by computer."

mtDNA Population Database: Size and Quality of Information **Problems in FBI mtDNA** Database Bandelt, Salas, and Bravi (2004) Science Found 5 examples of artificial recombination among the 1148 African Americans in the database





73-249d-263-290d-291d 309.1C-315.1C-489





mtDNA Population Database: Size and Quality of Information

- Phantom mutations Bandelt et al. (2002); Brandstatter et al. (2005).
- Phantom mutations are systematic artifacts generated during cycle sequencing. These can be created by either the sequencing chemistry, the automated sequencer, or lab procedures.
- Single-strand sequencing (e.g. F only) is highly susceptible to generating phantom mutations.























🗑 Anderson CR (revised)	GCATTTGGTAT: TTTCGTCTGGGGGGGT	
1_F07_UzbQ19.F314(2)_11	GCATTTGGTATCTTTCGTTTGGGGGGGT	
F07_UzbQ19.F16190(1)_11	GCATTTGGTATCTTTCGTTTGGGGGGGT	Uzb-Q-019 – pre-HV1
1_3100_E_F07_Uzb Q19.R599(2)_11	GCATTTGGTATCTTTCGTTTGGGGGGGT	
F07_UzbQ19.R599(1)_11	GCATTTGGTATCTTTCGTTTGGGGGGGT	
1_F07_UzbQ19.F16190(2)_11	GCATTTGGTATCTTTCGTTTGGGGGGGT	
F07_UzbQ19.F16460(1)_11	GCATTTGGTATCTTTCGTTTGGGGGGGT	An Alternative Alignment
1_E03_Q19.R389_09.ab1		, at , atomatico , aiginionan
1_F07_U2b Q19.F16450(2)_11		58 T-C
F07_UzbQ19.F16400(1)_11		50 1-C
F07 UzbQ19.F18450(2) 11		60.1 1
Dispath 407 bacos, Contains 0		64 C-T
ambiguities, 0 gaps & 0 edits.	GCATTTGGTATCTTTCGTTTGGGGGGGT	1 1 1
	• • •	
		+ + +
571C	Anderson CR (revised)	GCATTTGGTATTTT: CGTCTGGGGGGGT
	超 1_F07_Uzb 019.F314(2)_11	GCATTTGGTATCTTTCGTTTGGGGGGGT
04 0-1	F07_Uzb-019.F10190(1)_11	GCATTTGGTATCTTTCGTTTGGGGGGGT
	1_3100_E_F07_Udb019.R599(2)_11	GCATTTGGTATCTTTCGTTTGGGGGGGT
	#2) F07_Usb-019.R599(1)_11	GCATTTGGTATCTTTCGTTTGGGGGGGT
	1_F07_Utb Q19.F18190(2)_11	GCATTTGGTATCTTTCGTTTGGGGGGGT
	E F07_U25019.F10450(1)_11	GCATTTGGTATCTTTCGTTTGGGGGGGT
	题 1_007_019.R309_09.a61	
	1_F07_Utb 019.F18450(2)_11	
1	F07_U25019 F16400(1)_11	
	F07_U25019.F16450(2)_11	
1	17 frag bases selected at consensus	50 60.1 70
	position 144	GCATTTGGTATCTTTCGTTTGGGGGGGT

-	













Greek0194 – pre-HV1	Uzb-Q-	019 – pre-HV1
16126 T-C 16355 C-T 16362 T-C 58 T-C 64 C-T 146 T-C 152 T-C 263 A-G 309.1 C 309.2 C 315.1 C	16126 T-C 16304 T-C 16362 T-C 64 C-T 146 T-C 263 A-G 309.1 C 309.2 C 315.1 C	16126 T-C 16304 T-C 16362 T-C 58 T-C 60.1 T 64 C-T 146 T-C 263 A-G 309.1 C 309.2 C 315.1 C
	FBI method	"Global" Alignment
	(3 differences be	tween the two alignments)



mtDNA Population Database: Size and Quality of Information

- Recent efforts to increase DB sizes and quality have been undertaken by the NIJ (Grant to AFDIL Research Section) for entire control region sequences.
- EDNAP Mitochondrial Population Database (EMPOP) – developing QC tools to check sequences, including the ability to see electropherograms of all polymorphisms.

Control Region Databasing Goals

- Sequence 7500 individuals in two years.
- Focus on U.S. populations for now (W.European Caucasians, African Americans, Hispanics, Native Americans, and Asians).
- Provide entire control region data.
- Generate consistent, high quality databases.
- · Adhere to a consistent nomenclature scheme
- Make data publicly available, via publications, GenBank and EMPOP.
- Conduct population genetic analyses of regional mtDNA substructure.





•Barcode reader links plate to run sheet •2 hrs. per plate

Safeguards against DB Errors

- <u>Multiple scientists at key laboratory steps</u> initial sample placement, cherry-picking for re-dos.
- <u>Robust robotics</u> standard placement of samples, reagent blanks, negative controls; elimination of sample switches at every step. ۶
- Redundant data review At least 4 scientists review the RAW sequence data for every sample.
- Electronic data transfer No manual transcription of data into master database. ۶
- > Phylogenetic data checking and review EMPOP











Sample Identification	MDC sample 01
Database Release	1
Maximum differences displayed	5
Include partially overlapping profiles	no
Consider (multiple) insertions in the polyC-stretches at 16193, 309 and 573 as	Do not consider insertions in polyC stretches at all
Selected ranges	73 - 340 16024 - 16365
mtCRLA query profile	7345G 150C5T 263A5G 315.1C 1618075C 1619025T 16270C5T
Source	Forensic data
Selected populations	Africa: ALL East Asia: ALL South East Asia: ALL West Eurasia: ALL



In the second se	arted respect	
MDMA gavey profile TASIG, 155C7, 7883AG, 315.10, 16189754 METABLIL METABLIL VERALL RESULT SUMMARY (4877/4577) ⁵ Infrance RESULT SUMMARY (4877/4577) ⁵	16024 - 16365	
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WERALL RESULT SUMMARY (4877/4877) ⁵ Irica RESULT SUMMARY (484/348) ⁵ ast Asia RESULT SUMMARY (487/187) ⁵ Buth East Asia RESULT SUMMARY (487/187) ⁵ Vest Eurosia RESULT SUMMARY (489/3880) ⁵ Men Pare	T INFUT	
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ant Asia RESULT SUMMARY (167/167) ⁵ Jouth East Asia RESULT SUMMARY (187/187) ⁵ Yest Eurosia RESULT SUMMARY (1880/1880) ⁵ Yes Nam	rica RESULT SUMMARY (348/348) b	
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Y <u>est Eurasia RESULT SUPPIARY</u> (3830/3830) [↓]	uth East Asia RESULT SUMMARY (187/187) D	
	est Eurasia RESULT SUMMARY (3830/3830) ⁽ T Neut	

Selected ranges 7	3 - 340 6024 - 16365	
mtDNA query profile 7.	3A>G, 150C>T, 263 6192C>T, 16270C>T	S, 315.1C, 161897>C,
OVERALL RESULT SUMMARY (4	1527/4527)⊽	
DIFFERENCES TO QUERY PROFILE	NUMBER OF HAPLOTYPES	CUMULATIVE NUMBER O
0	7	7
1	14	21
2	50	71
3	72	143
4	161	304
5	420	732
>5	3795	4527
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Output			
Selected ranges	73 -	- 340	
	160	24 - 16365	
mtDNA query profile	73A: 1619	>G, 150C>T, 263/ 92C>T, 16270C>T	SG, 315.1C, 16189T>C,
EDIT. INPUT			
OVERALL RESULT SU	MMARY (452	27/4527)\$	
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Relatived states		We - there
searche (wight		16034 - 16365
withit query profile		73A>G, 150C>T, 253A>G, 315.1C, 10109T>0 10102C>T, 16270C>T
BACK SELL, DE		
West Eura	isia RESULT S	UMMARYP
0 difference	es to mtDNA que	ry profile ♥
DATABASE ID	SELECTED RANGE	
AUT050000T	73 - 340 16024 - 16365	
AUT0500017	73 - 340 10024 - 16365	
AUT0500245	72 - 340 16024 - 16363	
DEV0500041	73 - 340 16024 - 16368	
0610600068	73 - 340 16024 - 16368	
H.NO300266	73 - 340 16024 - 16368	
H.N0600337	73 - 340 16024 - 16368	
t differenci	es to mtDNA que	ry profile ≥
2 difference	es to mtDNA que	ry profile ¹
3 difference	es to mtDNA que	ny profile 1
4 difference	es to mtDNA que	ny profile 1
5 difference	to mtDNA our	ny profile l











