



Mixtures: Issues and Challenges

From J.M. Butler (2005) Forensic DNA Typing, 2nd Edition, p. 154

- Mixtures arise when two or more individuals contribute to the sample being tested.
- Mixtures can be challenging to detect and interpret without extensive experience and careful training.
- Differential extraction can help distinguish male and female components of many sexual assault mixtures.

Mixtures: Issues and Challenges

From J.M. Butler (2005) Forensic DNA Typing, 2nd Edition, p. 155

- The probability that a mixture will be detected improves with the use of more loci and genetic markers that have a high incidence of heterozygotes.
- The detectability of multiple DNA sources in a single sample relates to the ratio of DNA present from each source, the specific combinations of genotypes, and the total amount of DNA amplified.
- · Some mixtures will not be as easily detectable as other mixtures.



A High Degree of Variability Currently Exists with Mixture Interpretation "If you show 10 colleagues a mixture, you will probably end up with 10 different answers" Peter Gill, Human Identification E-Symposium, April 14, 2005 Interlaboratory studies help to better understand why variability may exist between laboratories

NIST Initia	ated In	terlaboratory Studies
Studies involving STRs	# Labs	Publications
Evaluation of CSF1PO, TPOX, and TH01	34	Kline MC, Duewer DL, Newall P, Redman JW, Reeder DJ, Richard M. (1997) Interlaboratory evaluation of STR triplex CTT. J. Forensic Sci. 42: 897-906
Mixed Stain Studies #1 and #2 (Apr–Nov 1997 and Jan–May 1999)	45	Duewer DL, Kline MC, Redman JW, Newall PJ, Reeder DJ, (2001) NIST Mixed Stain Studies #1 and #2: interlaboratory comparison of DNA quantification practice and short tandem repeat multiple-source samples. J. Forensic Sci. 46: 1199-1210
MSS3 Mixed Stain Study #3 (Oct 2000-May 2001)	74	Kline, M.C., Duewer, D.L., Redman, J.W., Butler, J.M. (2003) NIST mixed stain study 3: DNA quantitation accuracy and its influence on short tandem repeat multiplex signal intensity. Anal. Chem. 75: 2463-2469. Duewer, D.L., Kline, M.C., Redman, J.W., Butler, J.M. (2004) NIST Mixed Stain Study #3: signal intensity balance in commercial short tandem repeat multiplexes, Anal. Chem. 76: 6928-6934.
DNA Quantitation Study (Jan-Mar 2004) QS04	80	Kline, M.C., Duewer, D.L., Redman, J.W., Butler, J.M. (2005) Results from the NIST 2004 DNA Quantitation Study, <i>J. Forensic Sci.</i> 50(3):571-578
Mixture Interpretation Study (Jan - Aug 2005)	69	MIX05 Data analysis currently on-going

Overall Lessons Learned from NIST MSS 1,2,&3

- Laboratories have instruments with different sensitivities
- Different levels of experience and training plays a part in effective mixture interpretation
- Amount of input DNA makes a difference in the ability to detect the minor component (labs that put in "too much" DNA actually detected minor components more frequently)



Mixture Interpretation Interlab Study (MIX05)

- Only involves interpretation of data to remove instrument detection variability and quantitation accuracy issues
- 94 labs enrolled for participation
- 69 labs have returned results (17 from outside U.S.)
- Four mock cases supplied with "victim" and "evidence" electropherograms (GeneScan .fsa files – that can be converted for Mac or GeneMapper; gel files made available to FMBIO labs)
- Data available with Profiler Plus, COfiler, SGM Plus, PowerPlex 16, Identifiler, PowerPlex 16 BIO (FMBIO) kits



MIX05 Study Design and Purpose Permit a large number of forensic practioners to evaluate the same mixture data

- Provide multiple cases representing a range of mixture scenarios
- Generate data from multiple STR kits on the same mixture samples to compare performance for detecting minor components
- The primary variable should be the laboratory's interpretation guidelines rather than the DNA extraction, PCR amplification, and STR typing instrument sensitivity
- Are there best practices in the field that can be advocated to others?







 Provide a copy of your laboratory mixture interpretation guidelines and a brief explanation as to why conclusions were reached in each scenario







When is a Sample a Potential Mixture? According to several MIX05 participant interpretation guidelines	
 Number of Observed Peaks Greater than two peaks at a locus More than two alleles are present at two or more loci, although three banded patterns can occur Presence of 3 alleles at a single locus within a profile 4 peaked patterns (if observed at any locus), 3 peaked patterns (if observed at two or more loci), significant imbalances (peak height ratios <60%) of alleles for a heterozygous genotype at two ore more loci with the exception of low template amplifications, which should be interpreted with caution 	
 Imbalance of heterozygote alleles thresholds range from 50-70% 	
 Stutter above expected levels generally 15-20% 	

CASE #2	2779619	D3S1358 15.15	VWA	FGA 20.24	AMEL	D8S1179 11.13	021S11 28.32.2	018551 17.18	055818 8.13	D13S317 12.14	075820 8.10	D165539 10.11	TH01 7.9.3	TPOX 9.10	CSF1P0 7.10
LaND	K9 Used														
16	PasPhys/Cofiler	-											-	-	
6	ProPlus/Coffer	15	15	20.24	XY	11.13	28.32.2	17.18	8.13	12.14	8.10	10.11	793	9.10	7.10
91	SGM Plus	15	15	20.24	XY	11.13	28.32.2	17.18				10.11	793		1110
46	PP16				1000										
37	ProPlus/Cofiler	-	15	20	XY	13	28.32.2	17.18	8.13	12.14	8.10	10.11	7.9.3	9.10	7.10
2	PP16	15	15.15	20.24	XY	11.13	28 32 2	17.18	8.13	INC	8.10	10.11	793	9.10	7.10
13	PP16 & Identifiler	15	15	20.24	100.0	11.13	28.32.2	17.18	8.13	12.14	8.10	10.11	7.9.3	9.10	7.10
34	ProPlus/Cofiler	15	15	20,24		11,13	28.32.2	17,18	8,13	12,14	8,10	10,11	7,9.3	9,10	7,10
70	Identifiler	15	15	20.24	XY	11.13	28.32.2	17.18	8.13	12.14	8,10	10.11	7.9.3	9.10	7,10
55	ProPlus/Cofiler	15	15	20,24	-	11,13	28,32.2	17,18	8,13	12,14	8,10	10,11	7,9.3	9,10	7,10
21	ProPlus/Cofiler	15,15	15.15	20,24	XY	11,13	28.32.2	17,18	8,13	12,14	8,10	10,11	7,9.3	9,10	7,10
73	ProPlus/Cofiler	15.15	15.15	20.24	XY	11.13	28.32.2	17.18	8.13	12.14	8.10	10.11	7.9.3	9.10	7.10
29	Identifiler	15	15	20,24	XY	11,13	28.32.2	17,18	8,13	12,14	8,10	10,11	7,9.3	9,10	7,10
54	All Kits	15.15	15.15	20.24	XY	11.13	28.32.2	17.18	8.13	12.14	8,10	10.11	7.9.3	9.10	7.10
90	ProPlus/Cofiler	15	15	20,24	XY	11,13	28,32.2	17,18	8,13	12,14	8,10	10,11	7,9.3	9,10	7,10
9	ProPlus/Cofiler	15	15	20,24	XY	11,13	28.32.2	17,18	8,13	12,14	8,10	10,11	7,9.3	9,10	7,10
4	ProPlus/Cofiler	15	15	20,24	X,Y	11,13	28,32.2	17,18	8,13	12,14	8,10	10,11	7,9.3	9,10	7,10
33	ProPlus/Cofiler	-				-	-						-	-	
12	ProPlus/Cofiler	15	15	20,24	XY	11,13	28,32.2	17,18	8,13	12,14	8,10	10,11	7,9.3	9,10	7,10
67	PP16	15	15,16	20,24	XY	11,13	28,32.2	17,18	8,13	12,14	8,10	10,11	7,9.3	9,10	7,10
86	ProPlus/Cofiler	15,15	15,15	20,24		11,13	28,32.2	17,18	8,13	12,14	8,10	10,11	7,9.3	9,10	7,10
79	ProPlus/Cofiler	15,15	15,15	20,24		11,13	28,32.2	17,18	8,13	12,14	8,10	10,11	7,9.3	9,10	7,10
77	Identifiler	-				-	-						-	-	
60	PP16	15	15	20,24	XY	11,13	28,32.2	17,18	8,13	12,14	8,10	10,11	7,9.3	9,10	7,10
61	Identifiler	-			-	-	-				-		-	-	

Some	Mixtu	re Ratio	os Repoi	rted in I	MIX05
	LabID	Case1 (F:M)	Case2 (M:F)	Case3 (M:F)	Case4 (F:M)
Many labs do	13	2	5	<2	10
not routinely	34	1.83.6	3.96.7	1.61.8	6.27.6
report the	70				
ostimated	55	68%:32%	85%:15%	64%:36%	
estimateu	21				
	73	2:1	6:1	2:1	not determined
mixture	29				
components	54	2:1	6:1	2:1	6:1
	90	male23-39%	not determined	male64-71%	
	9	3 or 4:1	4 or 5:1	1.4:1	~10:1
	4	10:1	6:1	1:1	not determined
	33	male60-78%	male80-90%	male58-71%	victim86%
	12	male25%	male85%	male40-45%	unknown10%
	67	1:2.3	6.4:1	2:1	1:6.8
	86	2:1	6-6.5:1	1.6-2:1	4-4.5:1
	79	~3:1 to ~2:1	~6:1 to ~4:1	~2:1*	a lot of victim
	77				
	60	2:1	5:1	2:1	10:1
	61				

Some Reported	Stats for	r MIX05	Case #1
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			Case1	
LabID	Kits Used	Caucasians	African Americans	Hispanics
- 77 -	Identifiler	PE calculated	PE calculated	PE calculated
73	ProPlus/Cofiler	none provided	none provided	none provided
4	ProPlus/Cofiler	none provided	none provided	none provided
12	ProPlus/Cofiler	none provided	none provided	none provided
29	Identifiler	none provided	none provided	none provided
90	ProPlus/Cofiler	1.18E+15	2.13E+14	3.09E+15
34	ProPlus/Cofiler	2.40E+11	7.00E+09	9.80E+10
46	PP16	5.60E+09	3.80E+11	none provided
33	ProPlus/Cofiler	2.94E+08	1.12E+08	1.74E+09
6	ProPlus/Cofiler	40,000,000	3,500,000	280,000,000
9	ProPlus/Cofiler	1.14E+07	1.97E+07	1.54E+08
61	Identifiler	1.50E+06	260,000	2.40E+07
79	ProPlus/Cofiler	930,000	47,900	1,350,000
16	ProPlus/Cofiler	434,600	31,710	399,100

S	Some Differe	nces in F	Reporting Stat	istics
			Case1	
LabID	Kits Used	Caucasians	African Americans	Hispanics
90	ProPlus/Cofiler	1.18E+15	2.13E+14	3.09E+15
34	ProPlus/Cofiler	2.40E+11	7.00E+09	9.80E+10
33	ProPlus/Cofiler	2.94E+08	1.12E+08	1.74E+09
6	ProPlus/Cofiler	40,000,000	3,500,000	280,000,000
9	ProPlus/Cofiler	1.14E+07	1.97E+07	1.54E+08
79	ProPlus/Cofiler	930,000	47,900	1,350,000
16	ProPlus/Cofiler	434,600	31,710	399,100
F	Remember th	at these la	abs are interpr	reting

the same MIX05 electropherograms

	Questions
•	Do you look at the evidence data first without considering the suspect's profile?
•	Do you have a decision point whereby you consider a mixture too complicated and do not try to solve it? If so, is the case declared inconclusive?
•	Should two amplifications be done – e.g., one at 1 ng to type the major component and one at higher concentration to move the minor component out of the low-copy number regime?





Step #1: Is a Mixture Present in an Evidentiary Sample?

- · Examine the number of peaks present in a locus
 - More than 2 peaks at a locus (except for tri-allelic patterns at perhaps one of the loci examined)
- · Examine relative peak heights
 - Heterozygote peak imbalance <60%
 Peak at stutter position >15%
- Consider all loci tested

Step #2: Designate Allele Peaks

- Use regular data interpretation rules to decipher between true alleles and artifacts
- Use stutter filters to eliminate stutter products from consideration (although stutter may hide some of minor component alleles at some loci)
- Consider heterozygote peak heights that are highly imbalanced (<60%) as possibly coming from two different contributors

Step #3: Identifying the Potential Number of Contributors

- Important for some statistical calculations
- Typically if 2, 3, or 4 alleles then 2 contributors
- · If 5 or 6 alleles per locus then 3 contributors
- If >6 alleles in a single locus, then >4 contributors
- JFS Nov 2005 paper by Forensic Bioinformatics on number of possible contributors
 - Relies on maximum allele count alone
 - Does not take into account peak height information



Step #4: Estimation of Relative Ratios for Major and Minor Components to a Mixture Mixture studies with known samples have shown that the

- Mixture studies with known samples have shown that the mixture ratio between loci is fairly well preserved during PCR amplification
- Thus it is generally thought that the peak heights (areas) of alleles present in an electropherogram can be related back to the initial component concentrations
- Start with loci possessing 4 alleles...





Step #6: Compare Reference Samples

- If there is a suspect, a laboratory must ultimately decide to include or exclude him...
- If no suspect is available for comparison, does your laboratory still work the case? (Isn't this a primary purpose of the national DNA database?)
- Victim samples can be helpful to eliminate their allele contributions to intimate evidentiary samples and thus help deduce the perpetrator

Mixture Interpretation in the Low-Copy Number Regime

- If 500 pg of total DNA is the amount inputted for PCR amplification, then in a 1:10 mixture the minor component is present in <50 pg amount and susceptible to stochastic (selected) amplification
- I would recommend amplifying mixture again using a higher total amount of DNA (if available)
 - e.g., 5 ng so that a 1:10 minor component is now at 500 pg
 - Yes, the major component will be overloaded...
- · Use caution in interpreting LCN minor components



 An ISFG DNA Commission (Peter Gill, Bruce Weir, Charles Brenner, etc.) is evaluating the statistical approaches to mixture interpretation and will make recommendations soon







- Part of FSS i-3 software suite
- Bill, M., Gill, P., Curran, J., Clayton, T., Pinchin, R., Healy, M., and Buckleton, J. (2005) PENDULUM-a guideline-based approach to the interpretation of STR mixtures. *Forensic Sci.Int.* 148(2-3): 181-189



- Virtual MixtureMaker (developed to aid MIX05 sample selection) - Creates mixture combinations through pairwise comparisons of input STR profiles
 - Returns information on the number of loci possessing 0,1,2,3,4,5, or 6 alleles in each 2-person mixture (also reports number of loci in each sample with 0,1,2, or 3 alleles)
 - Useful for selection of samples in mixture or validation studies with various degrees of overlapping alleles in combined STR profiles
- Useful in checking for potentially related individuals in a population database

rograms can be downloaded from NIST STRBase web site: http://www.cstl.nist.gov/div831/strbase/software.htm

mixSTR Program

Comparisons are made between

- suspect and evidence (S/E) alleles,
- suspect and suspect (S/S) alleles (to look for potential close relatives),
- evidence and other evidence (E/E) sample(s) alleles (to see how various evidentiary samples compare to one another), and
- controls to evidence (C/E) and controls to suspect (C/S) alleles (as a quality control contamination check).

-	-	R (R	3 9	* . % !	1 EE 11		E		a 14	arit. 10	14	1253 x 2 Au		
	G I	P	Ô.	14	1 1	K	3	1 1	0 1	P	E	0	D	A
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		0	20			10			-	11			20	# Adoles
	16	٥	18		12	7			16	0			#Loc: In, Ex, Total	
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3	20	1	-	20.22	Contra la	-		0,00,001,0,0	74	-	-	23.34*	21.23	EGA
-	- 1	- 57	0		0	1		0.51*	6.6	1		8.11.0*	9.11	TROX
	15.16	-81	0	18.15	13.15	- 61	1	14 15* 16*	18.15	0	11	13.14.15*	14	0851179
4	15	1	1	15.18	100.00	0	1	10.18*		0		16.10*	16 18	4444
		0	2	X.Y		0	- 31	X.Y*		- al	13	XY	X.Y.	Amekoperan
1	12,17	- 21	0	12.17					0	1	1	7.9	7.8	Penta E
1	17,18	2	0	17,18	15	2	0	15	15,17	+		15,10,17*	13,16	D18551
		1	1	29		1	1	28		0		28,29*	30,29	021511
	. 7	1	1	6,7	7	1	1	7,6*	. 1	1	1	7,6*	6,8	TH01
- 1	14,17	2	0	14,17	16	. 2	- 0	16	16	0	0.3	15,16,18	15,18	D051358
1	12,13	.2.	0	12,13					11		1.1	11,9*	5,9	Perta D
-	12	2	0	12	_			-	12	0	12	10,11*,52*	10,11	CSF1PO
	9,13	-36		9,13	-	-3-	1	- 11		. 9		9.11.12	11,12	D165539
-		1	0	8.	-	- 2	-	11 12	8,12	- 0		0,11,12*	11	015820
- 1	10	-	-1-	10,11	0.44	- 21	- 5	12,11		~	1.1	12,11*	11,12	013331/
-	- 14	- 11	-	12,13	0.13	÷	10	0,12,13	. \$1, 53	-	- 1	14,112,132,142	19,16	4 Advance
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ATTO	1	2	3	4	5	6	7	8
1	From	To	N.	N ₂	N.	N.	N.	N
2	Caucasian/WT51354	AfAmeri7T79338	0	1	2	12	0	0
3	CaucasianIUA16929	AfAmeriOT05565	0	3	3	9	0	0
4	CaucasianIGT38073	AfAmeriMT95372	0	2	3	10	0	0
5	AfAmeriZT79307	CaucasianIMT97141	0	2	3	10	0	0
6	Caucasian/OT07753	HispaniclGT37402	0	1	3	11	0	0
7	Hispanic/GT37767	AfAmerIGT37019	1	7	4	3	0	0
8	AfAmeriZT79330	Hispanic/PT84633	0	1	4	7	0	0
9	Caucasian MT97188	AfAmer OT05894	0	2	4	9	0	0
10	Caucasian MT94843	AfAmer OT05568	0	1	4	10	0	0
11	AfAmer[ZT79338	Caucasian MT94848	0	1	4	10	0	0
12	AfAmer OT05597	Hispanic[TT51407	0	1	4	10	0	0



Conclusions

- We plan to develop training information based on lessons learned from the MIX05 study.
- We intend to create other useful software tools like *mixSTR* and *Virtual MixtureMaker* to increase mixture interpretation capabilities of the forensic DNA typing community.

Some Final Thoughts...

- It is of the highest importance in the art of detection to be able to recognize out of a number of facts, which are incidental and which vital. Otherwise your energy and attention must be dissipated instead of being concentrated (Sherlock Holmes, *The Reigate Puzzle*).
- "Don't do mixture interpretation unless you have to" (Peter Gill, Forensic Science Service, 1998).

